

# Ramsey Theory on Trees and Applications to Infinite Graphs

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Logic Fest in the Windy City  
May 30 – June 2, 2019

Research supported by National Science Foundation Grants  
DMS-1301665 and 1600781

## Outline: Lecture 1

- (1) Ramsey theory on sets and structures
- (2) Ramsey theory on the rationals and the Rado graph
- (3) Milliken's Ramsey theorem for strong trees
- (4) Trees coding sets of rationals and graphs
- (5) Applications of Milliken's Theorem to big Ramsey degrees of the rationals and the Rado graph
  - (a) Strong similarity types of trees
  - (b) Strong tree envelopes
- (6) Connection: structural Ramsey theory and topological dynamics
- (7) The Halpern-Läuchli Theorem and its "forcing proof"

## Outline: Lecture 2

- (8) The question of big Ramsey degrees for infinite structures
- (9) Overview of known results
- (10) Henson graphs have finite big Ramsey degrees
- (11) Techniques of the proof
  - (a) Trees with coding nodes
  - (b) Ramsey theorems for strong coding trees - “forcing proofs”
  - (c) Strict similarity types and envelopes
- (12) Future directions in big Ramsey degrees and infinite dimensional structural Ramsey theory

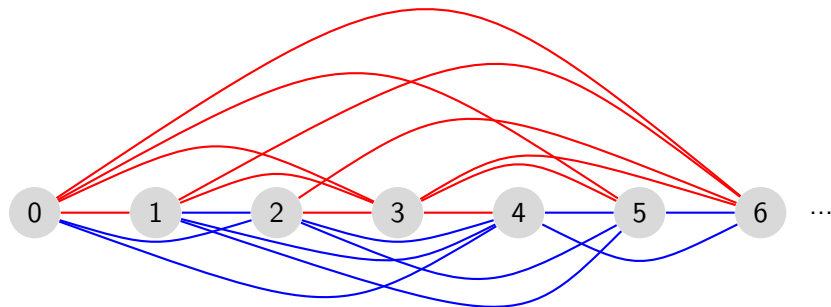
# Infinite Ramsey's Theorem

**Infinite Ramsey's Theorem.** (Ramsey, 1929) Given  $k, r \geq 1$  and a coloring  $c : [\omega]^k \rightarrow r$ , there is an infinite subset  $X \subseteq \omega$  such that  $c$  is constant on  $[X]^k$ .

**Graph Interpretation:** For  $k \geq 1$ , given a complete  $k$ -hypergraph on infinitely many vertices and a coloring of the  $k$ -hyperedges into finitely many colors, there is an infinite complete sub-hypergraph in which all  $k$ -hyperedges have the same color.

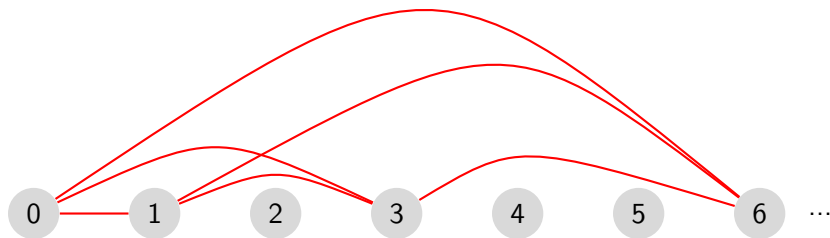
## Example

Given a 2-coloring of the edges of a complete graph on  $\omega$  vertices,



## Example

There is an infinite complete subgraph such that all edges have the same color.



## Finite Ramsey's Theorem and Logic

Ramsey deduced the finite version from the infinite version.

**Finite Ramsey Theorem.** (Ramsey, 1929) Given  $k, m, r \geq 1$ , there is an  $n \geq m$  such that for each coloring of the  $k$ -element subsets of  $n$  into  $r$  colors, there is an  $X \subseteq n$  of size  $m$  such that the coloring takes one color on the  $k$ -element subsets of  $X$ .

This theorem appears in Ramsey's paper, *On a problem of formal logic*, and is motivated by [Hilbert's Entscheidungsproblem](#):

[Find a procedure for determining whether any given formula is valid.](#)

Ramsey applied his theorem to solve this problem for formulas with only universal quantifiers in front ( $\Pi_1$ ).

# Finite Structural Ramsey Theory

**Note:** Ramsey's theorems may be thought of as involving the class of complete graphs (or hypergraphs) on finitely many vertices, or the class of finite linear orders.

For structures  $A, B$ , write  $A \leq B$  iff  $A$  embeds into  $B$ .

A class  $\mathcal{K}$  of structures has the **Ramsey property** if for each pair  $A \leq B$  in  $\mathcal{K}$  and  $r \geq 1$ , there is some  $C$  in  $\mathcal{K}$  such that for each coloring of the copies of  $A$  in  $C$  into  $r$  colors, there is a  $B' \leq C$  isomorphic to  $B$  such that all copies of  $A$  in  $B'$  have the same color.

## **Some classes of finite structures with the Ramsey property:**

Linear orders, complete graphs, Boolean algebras, vector spaces over a finite field, ordered graphs, ordered hypergraphs, ordered graphs omitting  $k$ -cliques, ordered metric spaces, and many others.



Do analogues of the Infinite Ramsey Theorem hold for infinite structures?

## Test Case: The Rationals as a Linear Ordering $(\mathbb{Q}, <)$

**Fact.**  $(\mathbb{Q}, <)$  is **indivisible**: Given any partition of the rationals into finitely many pieces, one of the pieces contains a copy of the rationals.

**Question.** Given a coloring of pairs of rationals into two colors, can one find a subset  $Q \subseteq \mathbb{Q}$  such that  $(Q, <) \cong (\mathbb{Q}, <)$  and all pairsets in  $Q$  have the same color?

**Answer.** Not necessarily! Sierpiński designed the following example:

Let  $\prec$  be a well-ordering of the rationals.

Define  $c(\{p, q\}) = 0$  iff the two orders  $\prec$  and  $<$  agree on  $\{p, q\}$ .

Otherwise,  $c(\{p, q\}) = 1$ .

A modern proof uses a Ramsey theorem for strong trees.

## Strong Subtrees of $2^{<\omega}$

For  $t \in 2^{<\omega}$ , the length of  $t$  is  $|t| = \text{dom}(t)$ .

$T \subseteq 2^{<\omega}$  is a tree if  $\exists L \subseteq \omega$  such that  $T = \{t \upharpoonright l : t \in T, l \in L\}$ .

For  $t \in T$ , the height of  $t$  is  $\text{ht}_T(t) = \text{o.t.}\{u \in T : u \subset t\}$ .

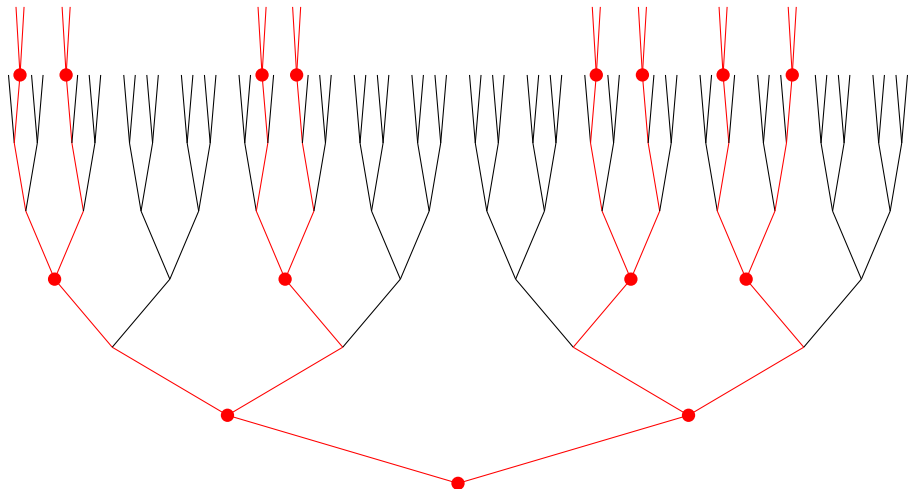
$T(n) = \{t \in T : \text{ht}_T(t) = n\}$ .

For  $t \in T$ ,  $\text{Succ}_T(t) = \{u \upharpoonright (|t| + 1) : u \in T \text{ and } u \supset t\}$ .

$S \subseteq T$  is a strong subtree of  $T$  iff for some  $\{m_n : n < N\}$  ( $N \leq \omega$ ),

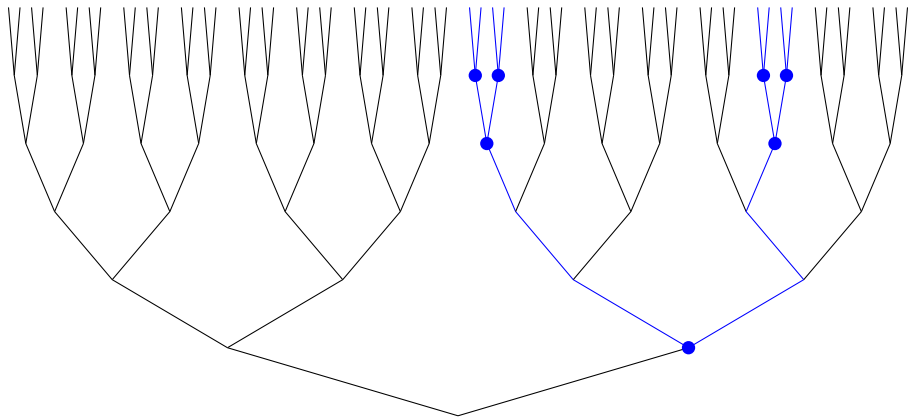
- 1 Each  $S(n) \subseteq T(m_n)$ , and
- 2 For each  $n < N$ ,  $s \in S(n)$  and  $u \in \text{Succ}_T(s)$ , there is exactly one  $s' \in S(n+1)$  extending  $u$ .

# Example: A Strong Subtree $T \subseteq 2^{<\omega}$



The nodes in  $T$  are of lengths  $0, 1, 3, 6, \dots$

## Example: A Strong Subtree $U \subseteq 2^{<\omega}$



The nodes in  $U$  are of lengths  $1, 4, 5, \dots$

## A Ramsey Theorem for Strong Trees

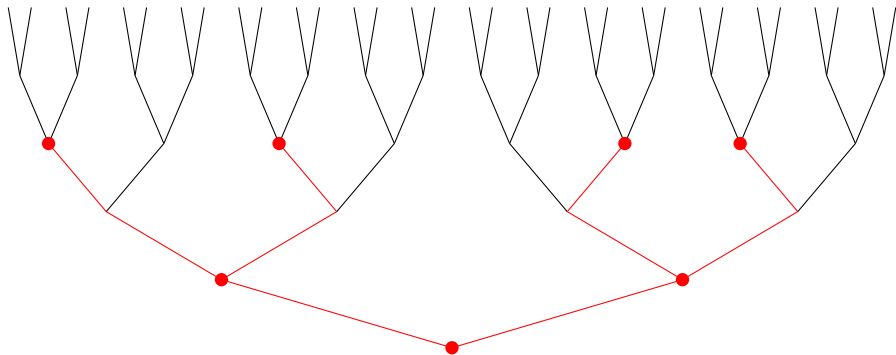
**Thm.** (Milliken 1979) Let  $T \subseteq 2^{<\omega}$  be a strong tree with no terminal nodes. Let  $k \geq 1$ ,  $r \geq 2$ , and  $c$  be a coloring of all  $k$ -strong subtrees of  $T$  into  $r$  colors. Then there is a strong subtree  $S \subseteq T$  such that all  $k$ -strong subtrees of  $S$  have the same color.

A  $k$ -strong tree is a finite strong tree where all terminal nodes have height  $k - 1$ .

We give some examples for  $T = 2^{<\omega}$ .

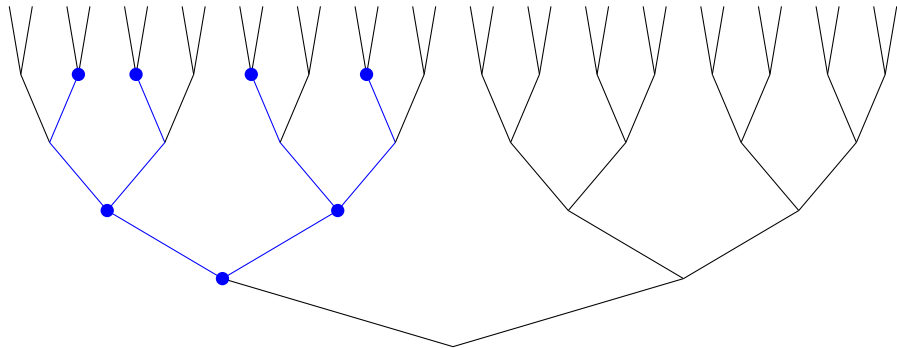
# Milliken's Theorem for 3-Strong Subtrees of $T = 2^{<\omega}$

Given a coloring  $c$  of all 3-strong trees in  $2^{<\omega}$  into red and blue:

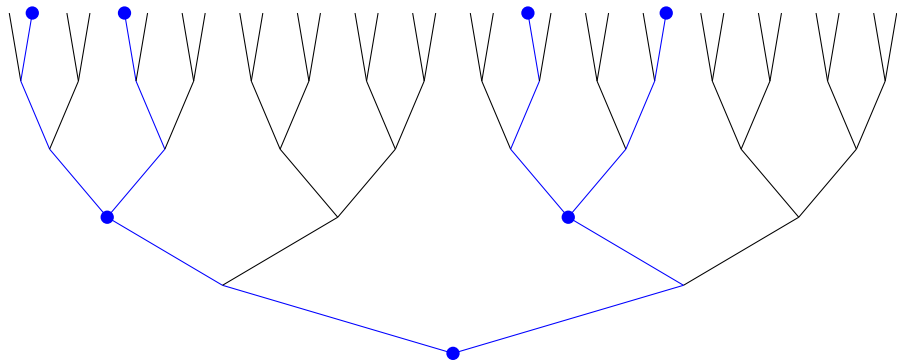




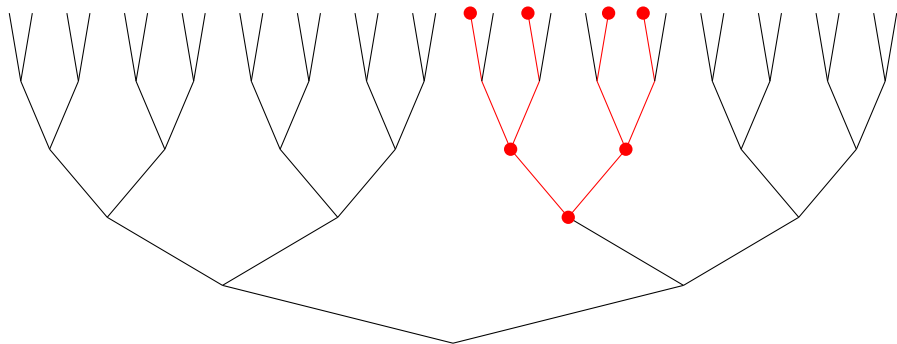
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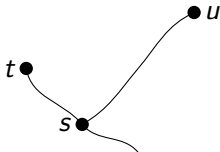


Milliken's Theorem guarantees a strong subtree in which all 3-strong subtrees have the same color.

# The Rationals Coded in $2^{<\omega}$

For  $x, y \in 2^{<\omega}$ , define  $x \triangleleft y$  iff one of the following holds:

- 1  $x <_{\text{lex}} y$ ,
- 2  $x \sqsubset y$  and  $y(|x|) = 1$ , or
- 3  $y \sqsubset x$  and  $x(|y|) = 0$ .



In this picture,  $t \triangleleft s \triangleleft u$ .

**Note:**  $(2^{<\omega}, \triangleleft) \cong (\mathbb{Q}, <)$ .

## Sierpiński's result viewed in trees

Given a pair of nodes  $s, t$  in  $2^{<\omega}$  with  $|s| < |t|$ , let

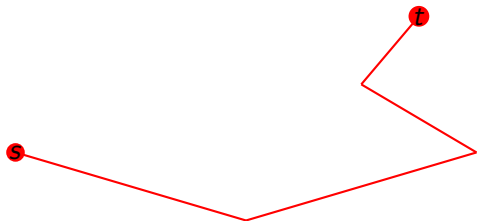
$$c(\{s, t\}) = \begin{cases} 0 & \text{if } s \triangleleft t \\ 1 & \text{if } t \triangleleft s \end{cases}$$

Given any subset  $S \subseteq 2^{<\omega}$  for which  $(S, \triangleleft) \cong (\mathbb{Q}, <)$ , both colors will persist in  $S$ .

**Thm.** (Galvin) Given any coloring of pairs of rationals into finitely many colors, there is a subset which is again a dense linear order in which at most two colors are used.

Given  $s, t \in 2^{<\omega}$  with  $|s| < |t|$ , a **strong tree envelope** is a 3-strong tree which contains  $s$  and  $t$  and has nodes of lengths  $|s \wedge t|, |s|, |t|$ .

Example 1:  $|s| < |t|$  and  $s \triangleleft t$

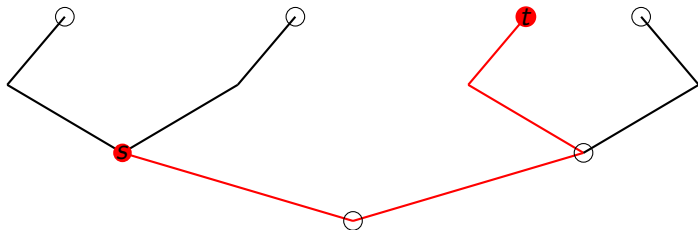


## A strong tree envelope of $s$ and $t$

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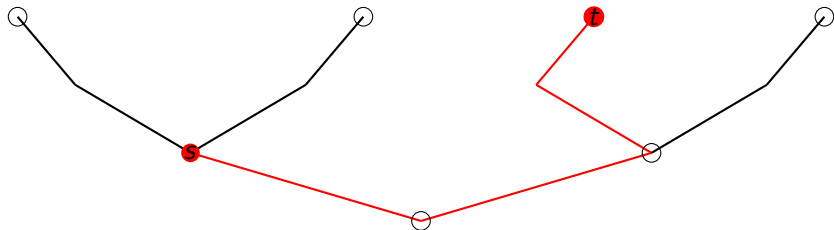


## Another strong tree envelope of $s$ and $t$

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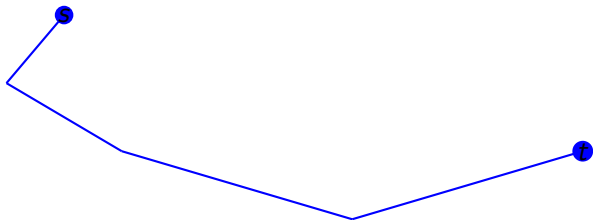
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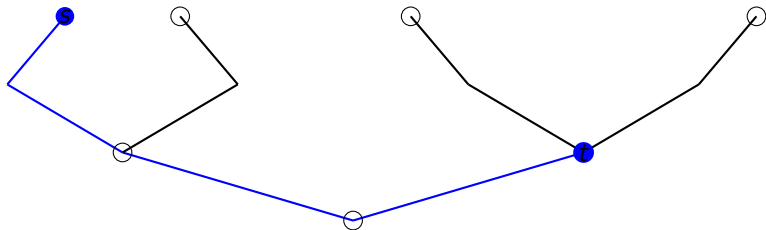




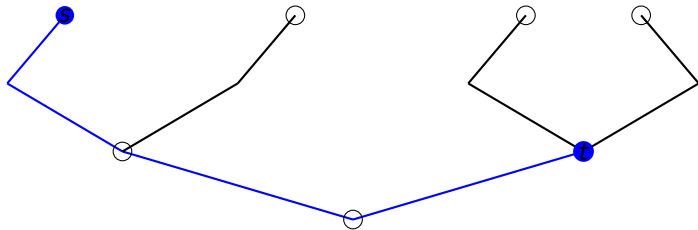
Example 2:  $|s| < |t|$  with  $t \triangleleft s$



## Example 2: A strong tree envelope



## Example 2: Another strong tree envelope



## Strong Similarity Types

Two finite antichains  $A, B \subseteq 2^{<\omega}$  are **strongly similar** iff they have the same cardinality, and the lexicographic preserving map from the tree induced by  $A$  to the tree induced by  $B$  is a tree isomorphism preserving **passing numbers** at levels of meets and maximal nodes.

## Big Ramsey Degree for Pairs of Rationals is 2

- 1 Let  $c$  be a coloring of  $[\mathbb{Q}]^2$  into finitely many colors.
- 2 Transfer the coloring to pairs of nodes in  $2^{<\omega}$ . There are two strong similarity types for pairs.
- 3 Fix one strong similarity type. For each pair of nodes  $s, t$  of that type, color all 3-strong trees containing  $s$  and  $t$  with the color  $c(\{s, t\})$ .
- 4 Apply Milliken's Theorem to 3-strong trees. Get one color for all pairs with that similarity type.
- 5 Repeat for the second strong similarity type.
- 6 Take a strongly diagonal antichain  $\mathbb{A} \subseteq 2^{<\omega}$  such that  $(\mathbb{A}, \triangleleft) \cong (\mathbb{Q}, <)$ .

## $(\mathbb{Q}, <)$ has an approximate Infinite Ramsey Theorem

**Thm.** (Laver (bounds, unpublished), Devlin (exact bounds) 1979)

Given  $k \geq 2$ , there is a number  $T(k, \mathbb{Q})$  such that for each coloring of the  $k$ -element subsets of  $\mathbb{Q}$  into finitely many colors, there is a copy  $Q$  of  $\mathbb{Q}$  in which no more than  $T(k, \mathbb{Q})$  colors occur.

These are actually **tangent numbers**.

So  $(\mathbb{Q}, <)$  does not have the exact analogue of Ramsey's Theorem for  $\mathbb{N}$ .

But this structure still behaves quite nicely in that finite bounds exist. These bounds  $T(k, \mathbb{Q})$  are called the **big Ramsey degrees** of  $k$  in  $\mathbb{Q}$ .

Next, we look at Ramsey theory on the Rado graph.

# The Rado Graph $\mathcal{R} = (R, E)$

The Rado graph is the **homogeneous** graph on countably many vertices which is **universal** for all countable graphs.

**homogeneous**: Any isomorphism between two finite subgraphs of  $\mathcal{R}$  extends to an automorphism of  $\mathcal{R}$ .

**universal**: Each graph on countably many vertices embeds into  $\mathcal{R}$ .

The Rado graph is **indivisible**: Given any partition of the vertices into finitely many pieces, one piece contains a copy of  $\mathcal{R}$ .

However, Erdős, Hajnal and Posa found a two-valued edge coloring for which both colors persist on every subgraph isomorphic to  $\mathcal{R}$ .



# Ramsey Theory on the Rado graph

First, some terminology:

Let  $G$  be a finite graph.  $T(G, \mathcal{R})$  denotes the minimal number  $T$  such that given a coloring of the copies of  $G$  in  $\mathcal{R}$  into finitely many colors, there is an induced subgraph  $\mathcal{R}' \subseteq \mathcal{R}$  isomorphic to  $\mathcal{R}$  in which the copies of  $G$  take no more than  $T$  colors.

$T(G, \mathcal{R})$  is called the **big Ramsey degree** of  $G$  in  $\mathcal{R}$ , if it exists.

**Fact.** (Folklore) Vertices have big Ramsey degree 1:  $\mathcal{R}$  is indivisible.

**Thm.** (Erdős-Hajnal-Pósa 1975) Edges have big Ramsey degree  $\geq 2$ .

**Thm.** (Pouzet-Sauer 1996) Edges have big Ramsey degree exactly 2.

# Ramsey Theory on the Rado graph

**Thm.** (Sauer 2006, Laflamme-Sauer-Vuksanovic 2006)  
Every finite graph has a finite big Ramsey degree.

Actual degrees were found structurally in (Laflamme-Sauer-Vuksanovic 2006) and computed in (J. Larson 2008).

# Colorings of Finite Graphs

Example: The path of length 2 embeds into the graph  $B$ .

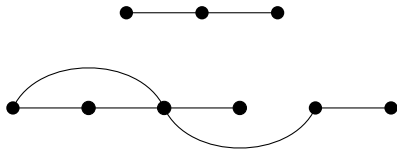
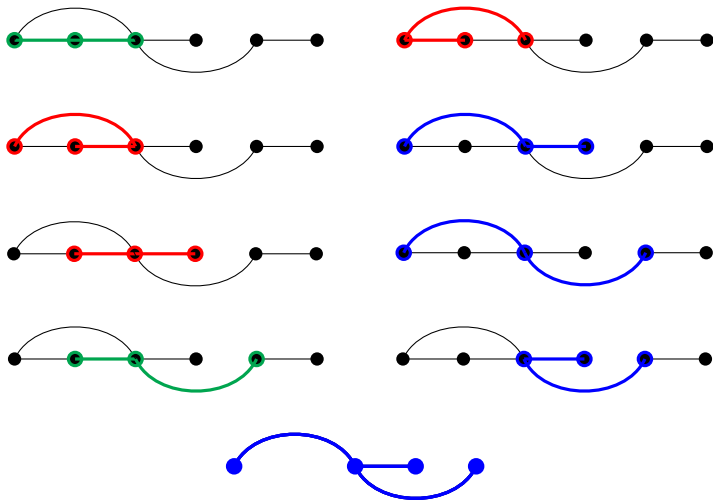


Figure: Graph B

# Copies of the Path of Length 2 in $B$



A star with all paths blue.

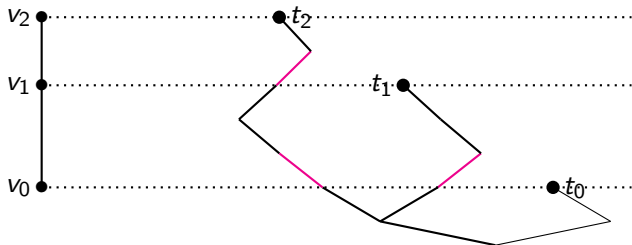
## Nodes in Trees can Code Graphs

Let  $A$  be a graph. Enumerate the vertices of  $A$  as  $\langle v_n : n < N \rangle$ .

A set of nodes  $\{t_n : n < N\}$  in  $2^{<\omega}$  codes  $A$  if and only if for each pair  $m < n < N$ ,

$$v_n E v_m \Leftrightarrow t_n(|t_m|) = 1.$$

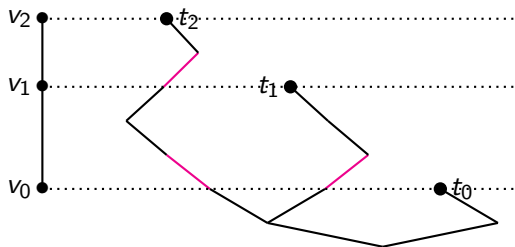
The number  $t_n(|t_m|)$  is called the **passing number** of  $t_n$  at  $t_m$ .



## Diagonal Trees Code Graphs

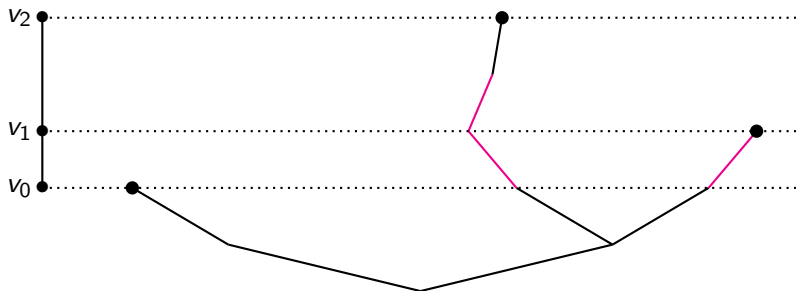
A tree  $T$  is **diagonal** if there is at most one meet or terminal node per level.

$T$  is **strongly diagonal** if passing numbers at splitting levels are all 0 (except for the right extension of the splitting node).

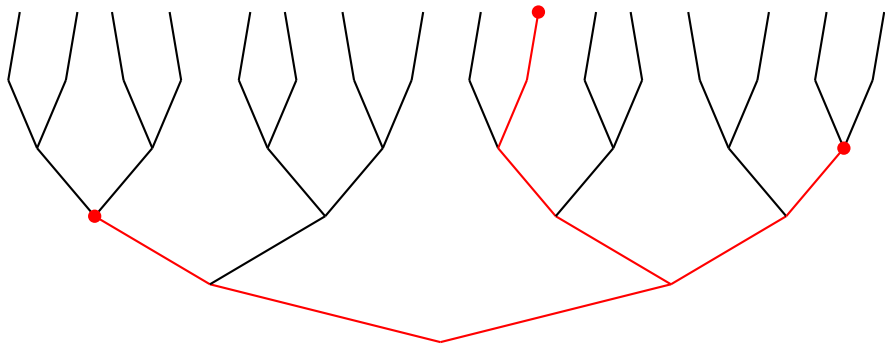


Every graph can be coded by the terminal nodes of a diagonal tree.

# A Different Strongly Diagonal Tree Coding a Path

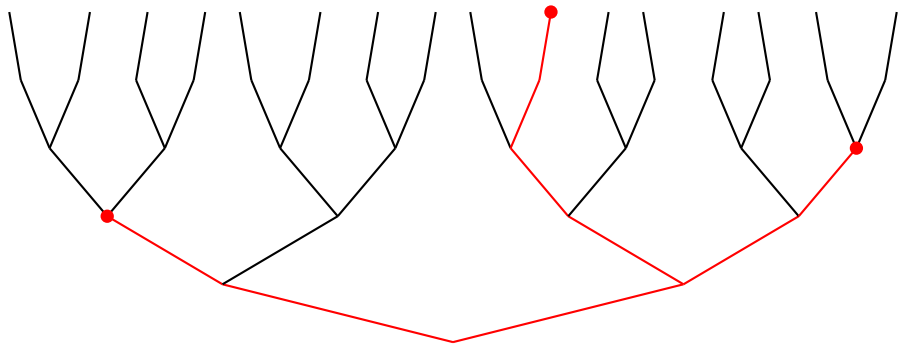


# Strongly diagonal trees can be enveloped into strong trees





## Another strong tree envelope



## Outline of Proof: $\mathcal{R}$ has finite big Ramsey degrees

- 1 The Rado graph is bi-embeddable with the graph coded by all nodes in the tree  $2^{<\omega}$ .
- 2 Each finite graph can be coded by finitely many strong similarity types of strongly diagonal trees.
- 3 Each strongly diagonal tree can be enveloped into a finite strong tree.
- 4 Apply Milliken's Theorem finitely many times to obtain one color for each type.
- 5 Choose a strongly diagonal antichain coding the Rado graph.

# Big Ramsey Degrees of Infinite Structures

Let  $\mathcal{S}$  be an infinite structure. For a finite substructure  $A \leq \mathcal{S}$ , let  $T(A, \mathcal{S})$  denote the least number, if it exists, such that for each coloring of the copies of  $A$  in  $\mathcal{S}$  into finitely many colors, there is a substructure  $\mathcal{S}'$  isomorphic to  $\mathcal{S}$  in which the copies of  $A$  take no more than  $T(A, \mathcal{S})$  colors.

(Kechris, Pestov, Todorćević, 2005)  $\mathcal{S}$  has **finite big Ramsey degrees** if for each finite  $A \leq \mathcal{S}$ ,  $T(A, \mathcal{S})$  exists.

# Structures with finite big Ramsey degrees

- The infinite complete graph. (Ramsey 1929)
- The rationals. (Devlin 1979)
- The Rado graph, random tournament, and similar binary relational structures. (Sauer 2006)
- The countable ultrametric Urysohn space. (Nguyen Van Thé 2008)
- $\mathbb{Q}_n$  and the directed graphs  $\mathbf{S}(2)$ ,  $\mathbf{S}(3)$ . (Laflamme, NVT, Sauer 2010)
- The random  $k$ -clique-free graphs. (Dobrinen 2017 and 2019)
- Several more universal structures, including some metric spaces with finite distance sets. (Mašulović 2019)

# Ramsey Theory and Topological Dynamics

(Kechris, Pestov, Todorćevic 2005) The KPT Correspondence:  
A Fraïssé class  $\mathcal{K}$  has the Ramsey property iff  $\text{Aut}(\text{Flim}(\mathcal{K}))$  is extremely amenable.

(Zucker 2019) Characterized universal completion flows of  $\text{Aut}(\text{Flim}(\mathcal{K}))$  whenever  $\text{Flim}(\mathcal{K})$  admits a big Ramsey structure (big Ramsey degrees with a coherence property).

A class  $\mathcal{K}$  of finite structures is a **Fraïssé class** if it is hereditary, has the Joint Embedding Property, and the Amalgamation Property.

$\text{Flim}(\mathcal{K})$  is a homogeneous countable structure into which each member of  $\mathcal{K}$  embeds.

## Halpern-Läuchli Theorem - strong tree version

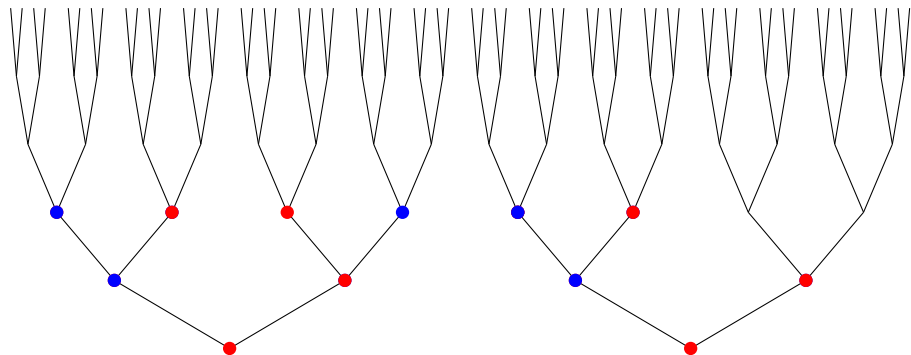
Notation:  $\bigotimes_{i < d} T_i := \bigcup_{n < \omega} \prod_{i < d} T_i(n)$

**Theorem.** (Halpern-Läuchli, 1966) Let  $T_i \subseteq \omega^{<\omega}$ ,  $i < d$ , be finitely branching trees with no terminal nodes and let  $r \geq 2$ . Given a coloring  $c : \bigotimes_{i < d} T_i \rightarrow r$ , there are strong subtrees  $S_i \leq T_i$  with nodes of the same lengths such that  $c$  is constant on  $\bigotimes_{i < d} S_i$ .

This was discovered as a key lemma in the proof that the Boolean Prime Ideal Theorem is strictly weaker than the Axiom of Choice over ZF. (Halpern-Lévy, 1971) It is also the crux of Milliken's Theorem.

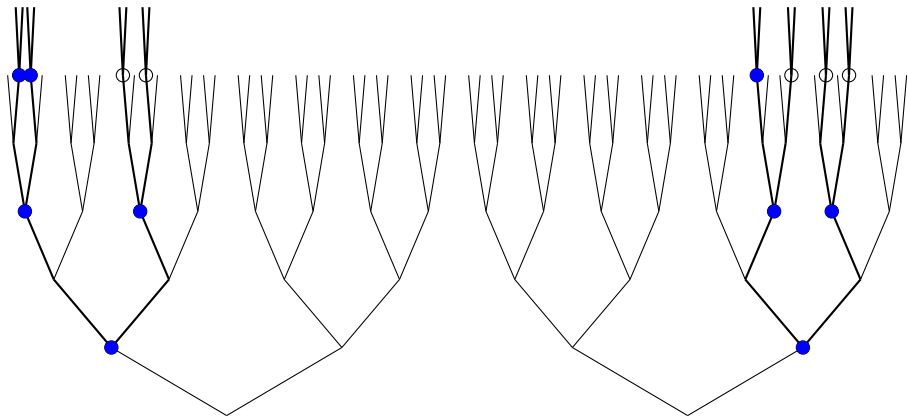
We now give some examples of colorings of level products of two trees  $T_0 = T_1 = 2^{<\omega}$ , and show visually what the Halpern-Läuchli Theorem does.

# Coloring Products of Level Sets: $T_0(0) \times T_1(0)$





# HL gives Strong Subtrees with 1 color for level products



$S_0$

$S_1$

## Application to Products of Rationals

**Thm.** (Laver, 1984) Given  $d < \omega$  and a coloring of  $\mathbb{Q}^d$  into finitely many colors, there are  $X_i \subseteq \mathbb{Q}$ ,  $i < d$ , isomorphic to  $\mathbb{Q}$  such that  $X_0 \times \cdots \times X_{d-1}$  takes at most  $d!$  many colors.

# Harrington's 'Forcing' Proof of Halpern-Läuchli Theorem

Harrington devised a proof of the Halpern-Läuchli Theorem that uses forcing methods, but never goes to a generic extension.

Fix  $d \geq 2$  and let  $T_i = 2^{<\omega}$  ( $i < d$ ) be finitely branching trees with no terminal nodes. Fix a coloring  $c : \bigotimes_{i < d} T_i \rightarrow 2$ .

**Thm.** (Erdős-Rado, 1956) For  $r < \omega$  and  $\mu$  an infinite cardinal,

$$\beth_r(\mu)^+ \rightarrow (\mu^+)_\mu^{r+1}$$

Let  $\kappa = \beth_{2d}$ . Then  $\kappa \rightarrow (\aleph_1)_{\aleph_0}^{2d}$ .

# Harrington's 'Forcing' Proof: The Forcing

**The Forcing:**  $\mathbb{P}$  is the set of functions  $p$  of the form

$$p : d \times \vec{\delta}_p \rightarrow \bigcup_{i < d} T_i \upharpoonright l_p$$

where  $\vec{\delta}_p \in [\kappa]^{<\omega}$ ,  $l_p < \omega$ , and  $\forall i < d$ ,  $\{p(i, \delta) : \delta \in \vec{\delta}_p\} \subseteq T_i \upharpoonright l_p$ .

$q \leq p$  iff  $l_q \geq l_p$ ,  $\vec{\delta}_q \supseteq \vec{\delta}_p$ , and  $\forall (i, \delta) \in d \times \vec{\delta}_p$ ,  $q(i, \delta) \supseteq p(i, \delta)$ .

$\mathbb{P}$  adds  $\kappa$  branches through each tree  $T_i$ ,  $i < d$ .

$\mathbb{P}$  is Cohen forcing adding  $\kappa$  new branches to each tree.

# Harrington's 'Forcing' Proof: Set-up for the Ctbl Coloring

For  $i < d$ ,  $\alpha < \kappa$ , let  $\dot{b}_{i,\alpha}$  denote the  $\alpha$ -th generic branch in  $T_i$ :

$$\dot{b}_{i,\alpha} = \{\langle p(i, \alpha), p \rangle : p \in \mathbb{P}, \text{ and } (i, \alpha) \in \text{dom}(p)\}.$$

Note: If  $(i, \alpha) \in \text{dom}(p)$ , then  $p \Vdash \dot{b}_{i,\alpha} \upharpoonright I_p = p(i, \alpha)$ .

Let  $\dot{U}$  be a  $\mathbb{P}$ -name for a non-principal ultrafilter on  $\omega$ .

For  $\vec{\alpha} = \langle \alpha_0, \dots, \alpha_{d-1} \rangle \in [\kappa]^d$ , let  $\dot{b}_{\vec{\alpha}} := \langle \dot{b}_{0,\alpha_0}, \dots, \dot{b}_{d-1,\alpha_{d-1}} \rangle$ .

- For  $\vec{\alpha} \in [\kappa]^d$ , take some  $p_{\vec{\alpha}} \in \mathbb{P}$  with  $\vec{\alpha} \subseteq \vec{\delta}_{p_{\vec{\alpha}}}$  such that
  - 1  $p_{\vec{\alpha}}$  decides an  $\varepsilon_{\vec{\alpha}} \in 2$  such that  $p_{\vec{\alpha}} \Vdash "c(\dot{b}_{\vec{\alpha}} \upharpoonright I) = \varepsilon_{\vec{\alpha}} \text{ for } \dot{U} \text{ many } I"$ ;
  - 2  $c(\{p_{\vec{\alpha}}(i, \alpha_j) : i < d\}) = \varepsilon_{\vec{\alpha}}$ .

# Harrington's 'Forcing' Proof: The Countable Coloring

Let  $\mathcal{I}$  be the collection of functions  $\iota : 2d \rightarrow 2d$  such that

$$\{\iota(0), \iota(1)\} < \{\iota(2), \iota(3)\} < \cdots < \{\iota(2d-2), \iota(2d-1)\}.$$

For  $\vec{\theta} \in [\kappa]^{2d}$ ,  $\iota \in \mathcal{I}$  determines two sequences of ordinals in  $[\kappa]^d$ :

$$\iota_e(\vec{\theta}) := (\theta_{\iota(0)}, \theta_{\iota(2)}, \dots, \theta_{\iota(2d-2)}) \text{ and } \iota_o(\vec{\theta}) := (\theta_{\iota(1)}, \theta_{\iota(3)}, \dots, \theta_{\iota(2d-1)}).$$

For  $\vec{\theta} \in [\kappa]^{2d}$  and  $\iota \in \mathcal{I}$ , define

$$\begin{aligned} f(\iota, \vec{\theta}) = \langle & \iota, \varepsilon_{\vec{\alpha}}, k_{\vec{\alpha}}, \langle \langle p_{\vec{\alpha}}(i, \delta_{\vec{\alpha}}(j)) : j < k_{\vec{\alpha}} \rangle : i < d \rangle, \\ & \langle \langle i, j \rangle : i < d, j < k_{\vec{\alpha}}, \delta_{\vec{\alpha}}(j) = \alpha_i \rangle, \\ & \langle \langle j, k \rangle : j < k_{\vec{\alpha}}, k < k_{\vec{\beta}}, \delta_{\vec{\alpha}}(j) = \delta_{\vec{\beta}}(k) \rangle \rangle, \end{aligned} \quad (1)$$

where  $\vec{\alpha} = \iota_e(\vec{\theta})$ ,  $\vec{\beta} = \iota_o(\vec{\theta})$ ,  $k_{\vec{\alpha}} = |\vec{\delta}_{p_{\vec{\alpha}}}|$ , and  $\langle \delta_{\vec{\alpha}}(j) : j < k_{\vec{\alpha}} \rangle$  enumerates  $\vec{\delta}_{p_{\vec{\alpha}}}$  in increasing order. For  $\vec{\theta} \in [\kappa]^{2d}$ , define  $f(\vec{\theta}) = \langle f(\iota, \vec{\theta}) : \iota \in \mathcal{I} \rangle$ .

# Harrington's 'Forcing' Proof: $f$ gives fixed ranges and color

Note:  $\text{dom}(f) = [\kappa]^{2d}$  and  $\text{ran}(f)$  is a countable set.

Since  $\kappa \rightarrow (\aleph_1)_{\aleph_0}^{2d}$ , take  $K \in [\kappa]^{\aleph_1}$  homogeneous for  $f$ .

Take  $K_i \in [K]^{\aleph_0}$  so that  $K_0 < \dots < K_{d-1}$  and  $K' := \bigcup_{i < d} K_i$  thin in  $K$ .

**Lem 1.** There are  $\varepsilon^* \in 2$ ,  $k^* \in \omega$ , and  $\langle \langle t_{i,j} : j < k^* \rangle : i < d \rangle$ , such that for all  $\vec{\alpha} \in \prod_{i < d} K_i$ ,

$$\varepsilon_{\vec{\alpha}} = \varepsilon^*, \quad k_{\vec{\alpha}} = k^*, \quad \text{and } (\forall i < d) \langle p_{\vec{\alpha}}(i, \delta_{\vec{\alpha}}(j)) : j < k_{\vec{\alpha}} \rangle = \langle t_{i,j} : j < k^* \rangle.$$

**Pf.** Let  $\iota \in \mathcal{I}$  be the identity function on  $2d$ . For any  $\vec{\alpha}, \vec{\beta} \in \prod_{i < d} K_i$ , there are  $\vec{\theta}, \vec{\theta}' \in [K]^{2d}$  such that  $\vec{\alpha} = \iota_e(\vec{\theta})$  and  $\vec{\beta} = \iota_e(\vec{\theta}')$ . Then  $f(\iota, \vec{\theta}) = f(\iota, \vec{\theta}')$  implies the conclusion.  $\square$

## Harrington's 'Forcing' Proof: Same ordinals, same position

**Lem 2.** For  $\vec{\alpha}, \vec{\beta} \in \prod_{i < d} K_i$ , if  $j, j' < k^*$  and  $\delta_{\vec{\alpha}}(j) = \delta_{\vec{\beta}}(j')$ , then  $j = j'$ .

**Pf Idea.** (sliding argument) Suppose  $\delta_{\vec{\alpha}}(j) = \delta_{\vec{\beta}}(j')$ .

Let  $\rho_i \in \{<, =, >\}$  be the relation such that  $\alpha_i \rho_i \beta_i$ , ( $i < d$ ).

Take  $\iota \in \mathcal{I}$  so that for any  $\vec{\zeta} \in [K]^{2d}$  and  $i < d$ ,  $\zeta_{\iota(2i)} \rho_i \zeta_{\iota(2i+1)}$ .

Fix  $\vec{\theta} \in [K']^{2d}$  such that  $\iota_e(\vec{\theta}) = \vec{\alpha}$  and  $\iota_o(\vec{\theta}) = \vec{\beta}$ .

Take  $\vec{\gamma} \in [K]^d$  such that  $(\forall i < d) \alpha_i \rho_i \gamma_i$  and  $\gamma_i \rho_i \beta_i$ .

Take  $\vec{\mu}, \vec{\nu} \in [K]^{2d}$  with  $\iota_e(\vec{\mu}) = \vec{\alpha}$ ,  $\iota_o(\vec{\mu}) = \iota_e(\vec{\nu}) = \vec{\gamma}$ , and  $\iota_o(\vec{\nu}) = \vec{\beta}$ .

$\delta_{\vec{\alpha}}(j) = \delta_{\vec{\beta}}(j')$  implies  $\langle j, j' \rangle$  is in the last sequence in  $f(\iota, \vec{\theta})$ .

$f(\iota, \vec{\mu}) = f(\iota, \vec{\nu}) = f(\iota, \vec{\theta})$  implies  $\delta_{\vec{\gamma}}(j) = \delta_{\vec{\beta}}(j') = \delta_{\vec{\alpha}}(j) = \delta_{\vec{\gamma}}(j')$ ,

which implies  $j = j'$ . □



# Harrington's 'Forcing' Proof: Set of compatible conditions

**Main Lemma.**  $\{p_{\vec{\alpha}} : \vec{\alpha} \in \prod_{i < d} K_i\}$  is compatible.

**Pf.** Suppose TAC  $\exists \vec{\alpha}, \vec{\beta} \in \prod_{i < d} K_i$  with  $p_{\vec{\alpha}} \perp p_{\vec{\beta}}$ .

By Lem 1, for each  $i < d$  and  $j < k^*$ ,  $p_{\vec{\alpha}}(i, \delta_{\vec{\alpha}}(j)) = p_{\vec{\beta}}(i, \delta_{\vec{\beta}}(j))$ .

So  $p_{\vec{\alpha}} \perp p_{\vec{\beta}}$  implies  $\exists i < d$  and  $j, j' < k^*$  with  $\delta_{\vec{\alpha}}(j) = \delta_{\vec{\beta}}(j')$  but  $p_{\vec{\alpha}}(i, \delta_{\vec{\alpha}}(j)) \neq p_{\vec{\beta}}(i, \delta_{\vec{\beta}}(j'))$ .

Note that  $p_{\vec{\alpha}}(i, \delta_{\vec{\alpha}}(j)) = t_{i,j}$  and  $p_{\vec{\beta}}(i, \delta_{\vec{\beta}}(j')) = t_{i,j'}$  imply  $j \neq j'$ .

But by Lem 2,  $j \neq j'$  implies  $\delta_{\vec{\alpha}}(j) \neq \delta_{\vec{\beta}}(j')$ .  $\rightarrow \leftarrow$  □

By homogeneity of  $f$ , there is a strictly increasing sequence  $\langle j_i : i < d \rangle \in [k^*]^d$  such that for each  $\vec{\alpha} \in \prod_{i < d} K_i$ ,  $\delta_{\vec{\alpha}}(j_i) = \alpha_i$ .

Then for each  $\vec{\alpha} \in \prod_{i < d} K_i$ ,

$$p_{\vec{\alpha}}(i, \alpha_i) = p_{\vec{\alpha}}(i, \delta_{\vec{\alpha}}(j_i)) = t_{i,j_i} =: t_i^*.$$

## Harrington's 'Forcing' Proof: The Construction

Build strong subtrees  $S_i \subseteq T_i$  homogeneous for  $c$ : Let  $\text{stem}(S_i) = t_i^*$ .

**Induction Assumption:**  $m \geq 1$ , and we have constructed  $m$ -strong subtrees  $\bigcup_{j < m} S_i(j)$  of  $T_i$  such that  $c$  takes color  $\varepsilon^*$  on  $\bigcup_{j < m} \prod_{i < d} S_i(j)$ .

Let  $X_i$  be the set of immediate extensions in  $T_i$  of the nodes in  $S_i(m-1)$ . Let  $J_i \subseteq [K_i]^{|X_i|}$ . Label the nodes in  $X_i$  as  $\{q(i, \delta) : \delta \in J_i\}$ . Let  $\vec{J} = \prod_{i < d} J_i$ . For each  $\vec{\alpha} \in \vec{J}$  and  $i < d$ ,  $q(i, \alpha_i) \supseteq t_i^* = p_{\vec{\alpha}}(i, \alpha_i)$ . Let  $\vec{\delta}_q = \bigcup \{\vec{\delta}_{\vec{\alpha}} : \vec{\alpha} \in \vec{J}\}$ . For each pair  $(i, \gamma)$  with  $\gamma \in \vec{\delta}_q \setminus J_i$ ,  $\exists \vec{\alpha} \in \vec{J}$  and  $\exists j' < k^*$  such that  $\delta_{\vec{\alpha}}(j') = \gamma$ . By Main Lemma,  $\vec{\beta} \in \vec{J}$  and  $\gamma \in \vec{\delta}_{\vec{\beta}}$  imply that  $p_{\vec{\beta}}(i, \gamma) = p_{\vec{\alpha}}(i, \gamma) = t_{i,j'}^*$ . Let  $q(i, \gamma)$  be the leftmost extension of  $t_{i,j'}^*$  in  $T$ . This defines  $q$ . Check that  $q \in \mathbb{P}$ .

Note that  $q \leq p_{\vec{\alpha}}$ , for all  $\vec{\alpha} \in \vec{J}$ .

# Harrington's 'Forcing' Proof of Halpern-Läuchli Theorem

To construct  $S_i(m)$ , take  $r \leq q$  for which  $r \Vdash \text{``}\forall \vec{\alpha} \in \vec{J}, c(\dot{b}_{\vec{\alpha}} \upharpoonright l_r) = \varepsilon^*\text{''}$ .

Then it is simply true in the ground model that

$$c(\{r(i, \alpha_i) : i < d\}) = \varepsilon^*, \text{ for each } \vec{\alpha} \in \vec{J}.$$

For each  $i < d$ , we define  $S_i(m) = \{r(i, \delta) : \delta \in J_i\}$ . This set extends  $X_i$ .

Then  $c$  takes value  $\varepsilon^*$  on  $\prod_{i < d} S_i(m)$ .

Set  $S_i = \bigcup_{m < \omega} S_i(m)$ .  $c$  is monochromatic on  $\bigotimes_{i < d} S_i$ . □ HL

# Milliken's Ramsey Theorem for Strong Trees

The Halpern-Läuchli Theorem is the basis for

**Thm.** (Milliken 1979) Let  $k \geq 1$ ,  $r \geq 2$ , and  $c$  be a coloring of all  $k$ -strong subtrees of  $2^{<\omega}$  into  $r$  colors. Then there is a strong subtree  $S \subseteq 2^{<\omega}$  such that all  $k$ -strong subtrees of  $S$  have the same color.

The proof is by induction on  $k$  using the Halpern-Läuchli Theorem.

## Outline: Lecture 2

- (8) The question of big Ramsey degrees for infinite structures
- (9) Overview of known results
- (10) Henson graphs have finite big Ramsey degrees
- (11) Techniques of the proof
  - (a) Trees with coding nodes
  - (b) Ramsey theorems for strong coding trees - 'forcing proofs'
  - (c) Strict similarity types and envelopes
- (12) Future directions in big Ramsey degrees and infinite dimensional structural Ramsey theory

## Big Ramsey Degrees of Infinite Structures

Let  $\mathcal{S}$  be an infinite structure and  $A$  be a finite substructure.  $T(A, \mathcal{S})$  denotes the least number, if it exists, such that for each coloring of the copies of  $A$  in  $\mathcal{S}$  into finitely many colors, there is a substructure  $\mathcal{S}'$  isomorphic to  $\mathcal{S}$  in which the copies of  $A$  take no more than  $T(A, \mathcal{S})$  colors.

(KPT 2005)  $\mathcal{S}$  has **finite big Ramsey degrees** if for each finite  $A \leq \mathcal{S}$ ,  $T(A, \mathcal{S})$  exists.

**Question.** Which infinite structures have finite big Ramsey degrees?

# Structures with finite big Ramsey degrees

- The infinite complete graph. (Ramsey 1929)
- The rationals. (Devlin 1979)
- The Rado graph, random tournament, and similar binary relational structures. (Sauer 2006)
- The countable ultrametric Urysohn space. (Nguyen Van Thé 2008)
- $\mathbb{Q}_n$  and the directed graphs  $\mathbf{S}(2)$ ,  $\mathbf{S}(3)$ . (Laflamme, NVT, Sauer 2010)
- The random  $k$ -clique-free graphs. (Dobrinen 2017 and 2019)
- Several more universal structures, including some metric spaces with finite distance sets. (Mašulović 2019)

# Ramsey Theory and Topological Dynamics

(Kechris, Pestov, Todorćević 2005) The KPT Correspondence:  
A Fraïssé class  $\mathcal{K}$  has the Ramsey property iff  $\text{Aut}(\text{Flim}(\mathcal{K}))$  is extremely amenable.

(Zucker 2019) Characterized universal completion flows of  $\text{Aut}(\text{Flim}(\mathcal{K}))$  whenever  $\text{Flim}(\mathcal{K})$  admits a big Ramsey structure (big Ramsey degrees with a coherence property).

A class  $\mathcal{K}$  of finite structures is a **Fraïssé class** if it is hereditary, has the Joint Embedding Property, and the Amalgamation Property.

$\text{Flim}(\mathcal{K})$  is a homogeneous countable structure into which each member of  $\mathcal{K}$  embeds.



## $k$ -Clique-Free Random Graphs

For  $k \geq 3$ , a  $k$ -clique, denoted  $K_k$ , is a complete graph on  $k$  vertices.

$\mathcal{H}_k$ , the  $k$ -clique-free Henson graph, is the homogenous  $K_k$ -free graph which is universal for all  $k$ -clique-free graphs on countably many vertices.

Henson graphs are the  $k$ -clique-free analogues of the Rado graph. They were constructed by Henson in 1971.

## Henson Graphs: History of Results

- For each  $k \geq 3$ ,  $\mathcal{H}_k$  is weakly indivisible (Henson, 1971).
- The Fraïssé class of finite ordered  $K_k$ -free graphs has the Ramsey property. (Nešetřil-Rödl, 1977/83)
- $\mathcal{H}_3$  is indivisible. (Komjáth-Rödl, 1986)
- For all  $k \geq 4$ ,  $\mathcal{H}_k$  is indivisible. (El-Zahar-Sauer, 1989)
- Edges have big Ramsey degree 2 in  $\mathcal{H}_3$ . (Sauer, 1998)

There progress halted. Why?

“A proof of the big Ramsey degrees for  $\mathcal{H}_3$  would need new Halpern-Läuchli and Milliken Theorems, and nobody knows what those should be.” (Todorćević, 2012)

# Ramsey Theory for Henson Graphs

**Theorem.** (D.) Let  $k \geq 3$ . For each finite  $k$ -clique-free graph  $A$ , there is a positive integer  $T(A, \mathcal{G}_k)$  such that for any coloring of all copies of  $A$  in  $\mathcal{H}_k$  into finitely many colors, there is a subgraph  $\mathcal{H} \leq \mathcal{H}_k$ , with  $\mathcal{H} \cong \mathcal{H}_k$ , such that all copies of  $A$  in  $\mathcal{H}$  take no more than  $T(A, \mathcal{G}_k)$  colors.

# Structure of Proof

## Proof Strategy:

- I Develop notion of **strong  $\mathcal{H}_k$ -coding tree** to represent  $\mathcal{H}_k$ .  
These are analogues of Milliken's strong trees able to handle forbidden  $k$ -cliques.
  
- II Prove a Ramsey Theorem for **strictly similar** finite antichains.  
This is an analogue of Milliken's Theorem for strong trees - the proof uses forcing for a ZFC result. It also requires a new notion of envelope.
  
- III Apply Ramsey Theorem for strictly similar antichains finitely many times. Then take an antichain of coding nodes coding  $\mathcal{H}_3$ .  
Similar to the end of Sauer's proof.

# Trees with Coding Nodes

A **tree with coding nodes** is a structure  $\langle T, N; \subseteq, <, c \rangle$  in the language  $\mathcal{L} = \{\subseteq, <, c\}$  where  $\subseteq, <$  are binary relation symbols and  $c$  is a unary function symbol satisfying the following:

$T \subseteq 2^{<\omega}$  and  $(T, \subseteq)$  is a tree.

$N \leq \omega$  and  $<$  is the standard linear order on  $N$ .

$c : N \rightarrow T$  is injective, and  $m < n < N \rightarrow |c(m)| < |c(n)|$ .

$c(n)$  is the  **$n$ -th coding node in  $T$** , usually denoted  $c_n^T$ .

## $K_k$ -Free Branching Criterion

**Note:** A collection of coding nodes  $\{c_{n_i} : i < k\}$  in  $T$  codes a  $k$ -clique iff  $i < j < k \rightarrow c_{n_j}(|c_{n_i}|) = 1$ .

A tree  $T$  with coding nodes  $\langle c_n : n < N \rangle$  satisfies the  $K_k$ -Free Branching Criterion ( $k$ -FBC) if for each non-maximal node  $t \in T$ ,  $t \hat{\ } 0 \in T$  and

(\*)  $t \hat{\ } 1$  is in  $T$  iff adding  $t \hat{\ } 1$  as a coding node to  $T$  would not code a  $k$ -clique with coding nodes in  $T$  of shorter length.

## Henson's Criterion for building $\mathcal{H}_k$

Henson gave a criterion for building  $\mathcal{H}_k$ , interpreted to our setting here:

A tree with coding nodes satisfies  $(A_k)^{\text{tree}}$  iff

- (i)  $T$  satisfies the  $K_k$ -Free Criterion.
- (ii) Let  $\langle F_i : i < \omega \rangle$  be any enumeration of finite subsets of  $\omega$  such that for each  $i < \omega$ ,  $\max(F_i) < i - 1$ , and each finite subset of  $\omega$  appears as  $F_i$  for infinitely many indices  $i$ . Given  $i < \omega$ , if for each subset  $J \subseteq F_i$  of size  $k - 1$ ,  $\{c_j : j \in J\}$  does not code a  $(k - 1)$ -clique, then there is some  $n \geq i$  such that for all  $j < i$ ,  $c_n(l_j) = 1$  iff  $j \in F_i$ .

**Thm.** (D.) Suppose  $T$  is a tree with no maximal nodes satisfying the  $K_k$ -Free Branching Criterion, and the set of coding nodes dense in  $T$ . Then  $T$  satisfies  $(A_k)^{\text{tree}}$ , and hence codes  $\mathcal{H}_k$ .

# Strong $K_3$ -Free Tree

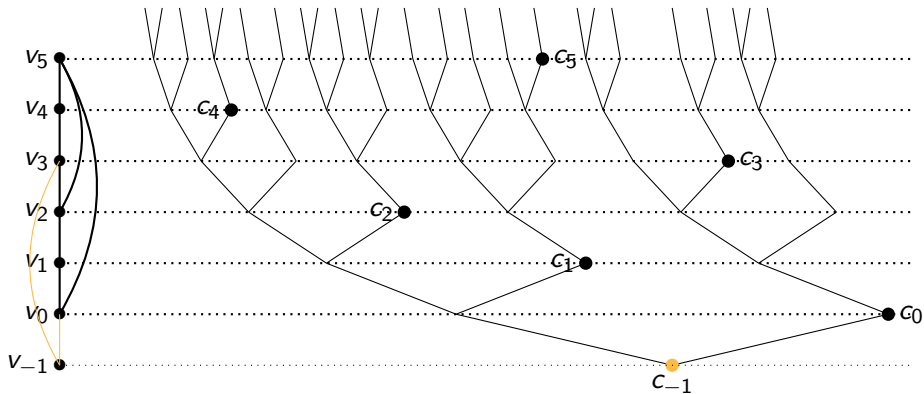


Figure: A strong triangle-free tree  $\mathbb{S}_3$  densely coding  $\mathcal{H}_3$



# Strong $K_4$ -Free Tree

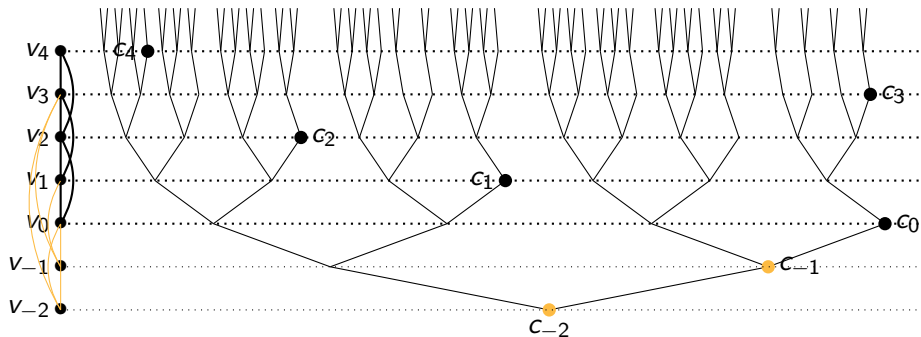


Figure: A strong  $K_4$ -free tree  $\mathbb{S}_4$  densely coding  $\mathcal{H}_4$

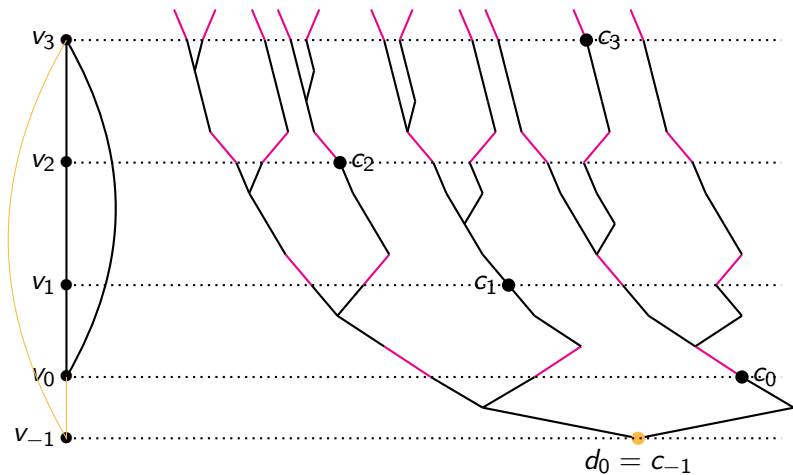
## Almost sufficient

One can develop almost all the Ramsey theory one needs on strong  $K_k$ -free trees

except for vertex colorings: there is a bad coloring of coding nodes.

Solution: Skew the levels of interest.

# Strong $\mathcal{H}_3$ -Coding Tree $\mathbb{T}_3$



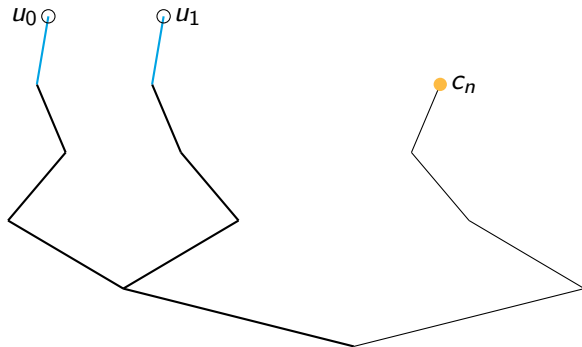


## Pre- $a$ -Clique: A key concept

Let  $k \geq 3$  be fixed, and let  $a \in [3, k]$ . A level set  $X \subseteq \mathbb{T}_k$  of size at least two, with nodes of length  $\ell_X$ , **has a pre- $a$ -clique** if there are  $a - 2$  coding nodes in  $\mathbb{T}_k$  coding an  $(a - 2)$ -clique, and each node in  $X$  has passing number 1 by each of these coding nodes.

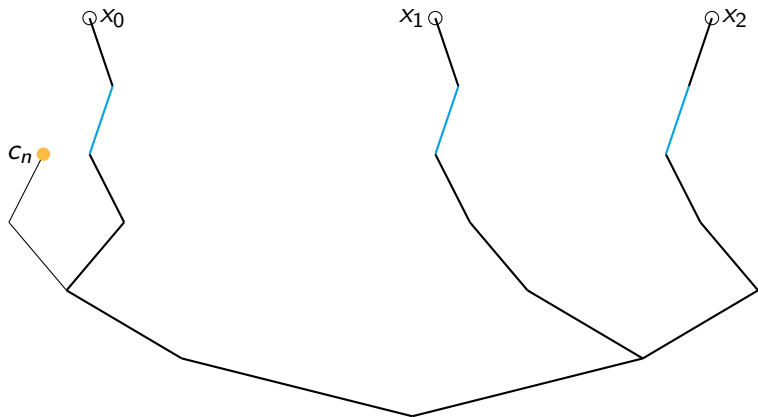
**The Point.** Pre- $a$ -cliques for  $a \in [3, k]$  code entanglements that affect how nodes in  $X$  can extend inside  $\mathbb{T}$ .

## A level set $U$ with a pre-3-clique



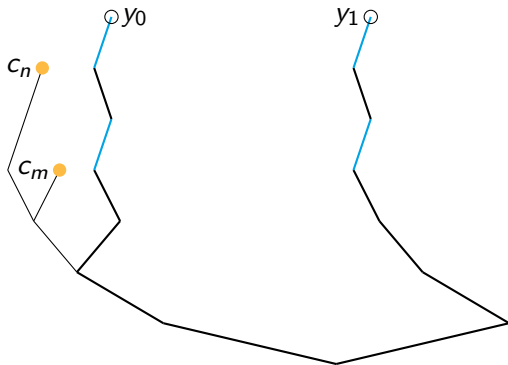
The yellow node is a coding node in  $\mathbb{T}_k$  not in  $U$ .

## A level set $X$ with a pre-3-clique



The yellow node is a coding node in  $\mathbb{T}_k$  not in  $X$ .

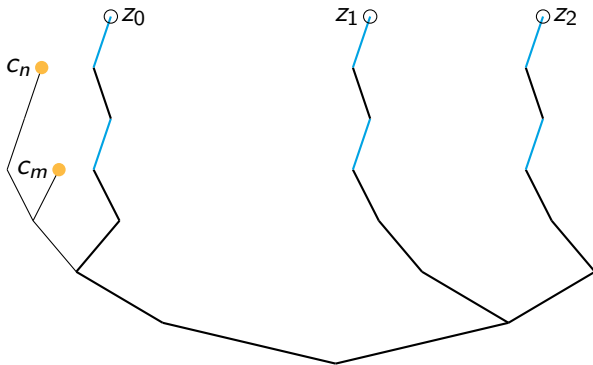
## A level set $Y$ with a pre-4-clique



The yellow node is a coding node in  $\mathbb{T}_k$  not in  $Y$ .



## A level set $Z$ with a pre-4-clique



The yellow node is a coding node in  $\mathbb{T}_k$  not in  $Z$ .

## Strong Similarity Map

Let  $k \geq 3$  be given and let  $S, T \subseteq \mathbb{T}_k$  be meet-closed subsets. A bijection  $f : S \rightarrow T$  is a **strong similarity map** if for all nodes  $s, t, u, v \in S$ , the following hold:

- 1  $f$  preserves lexicographic order.
- 2  $f$  preserves meets, and hence splitting nodes.
- 3  $f$  preserves relative lengths.
- 4  $f$  preserves initial segments.
- 5  $f$  preserves coding nodes.
- 6  $f$  preserves passing numbers at coding nodes.

Two subtrees  $S$  and  $T$  of  $\mathbb{T}_k$  are **stably isomorphic** iff there is a strong similarity map  $f : S \rightarrow T$  which preserves maximal new pre-cliques in each interval. Such a map  $f$  is a **stable isomorphism**.

## The Space of Strong $\mathcal{H}_k$ -Coding Trees $\mathcal{T}_k$

$\mathcal{T}_k$  is the collection of all subtrees of  $\mathbb{T}_k$  which are **stably isomorphic** to  $\mathbb{T}_k$ .

The members of  $\mathcal{T}_k$  are called **strong  $\mathcal{H}_k$ -coding trees**.

Extension Lemmas provide conditions guaranteeing when a given finite subtree of a strong coding tree  $T$  can be extended within  $T$  as needed.

## Part II: Ramsey Theorem for Strictly Similar Finite Antichains

- (a) Use forcing to find Halpern-Läuchli style theorems for colorings of level sets. This builds on ideas from Harrington's 'forcing proof' of the Halpern-Läuchli Theorem.
- (b) Then weave together to obtain an analogue of Milliken's Theorem.
- (c) New notion of envelope.

## Ramsey Theorem for Strictly Similar Antichains

**Thm.** Let  $Z$  be a finite antichain of coding nodes in a strong  $\mathcal{H}_k$ -coding tree  $T \in \mathcal{T}_k$ , and suppose  $h$  colors of all subsets of  $T$  which are **strictly similar** to  $Z$  into finitely many colors. Then there is an strong  $\mathcal{H}_k$ -coding tree  $S \leq T$  such that all subsets of  $S$  **strictly similar** to  $Z$  have the same  $h$  color.

Strict similarity takes into account the tree structure and the order and intervals in which new pre-cliques appear.

## Some Examples of Strict Similarity Types for $k = 3$

Let  $G$  be the graph with three vertices and no edges.

We show some distinct strict similarity types of trees coding  $G$ .

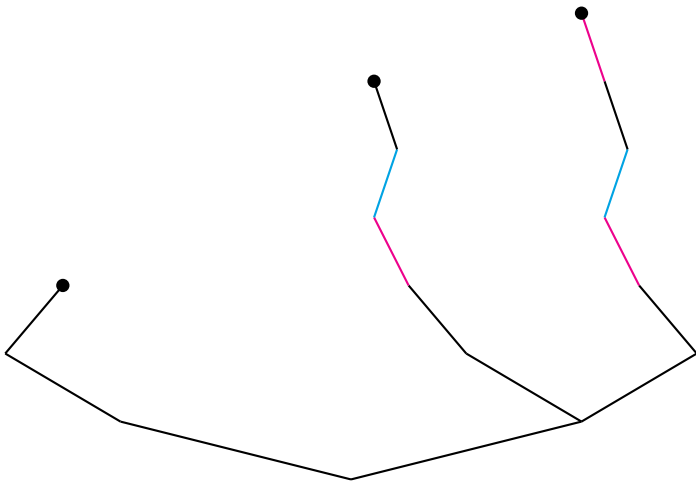
## Some Examples of Strict Similarity Types for $k = 3$

Let  $G$  be the graph with three vertices and no edges.

We show some distinct strict similarity types of trees coding  $G$ .

# $G$ a graph with three vertices and no edges

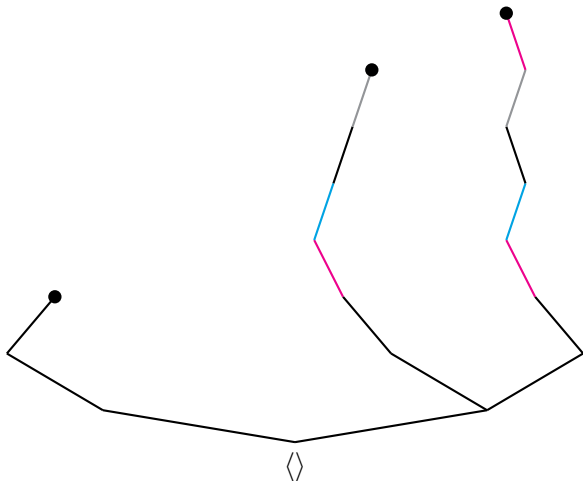
A tree  $A$  coding  $G$





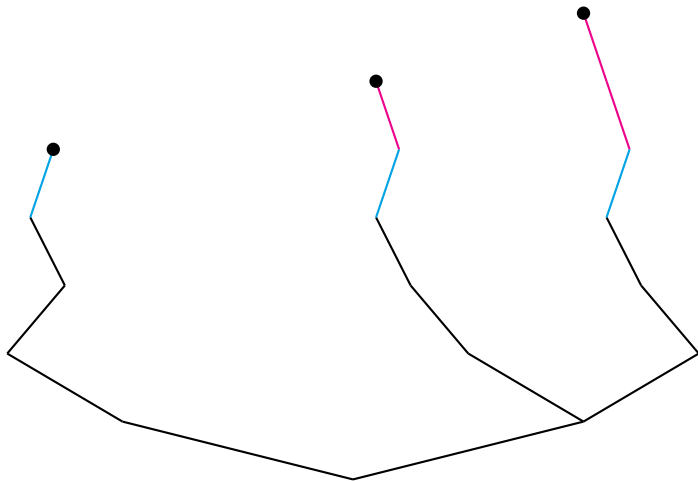
# $G$ a graph with three vertices and no edges

$B$  codes  $G$  and is strictly similar to  $A$ .



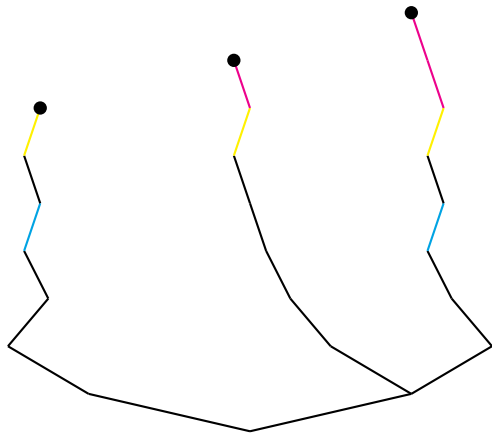
# The tree $C$ codes $G$

$C$  is not strictly similar to  $A$ .

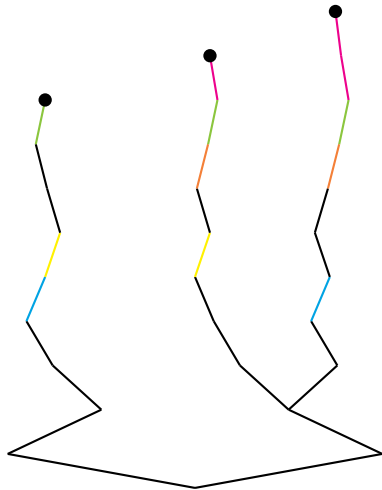


# The tree $D$ codes $G$

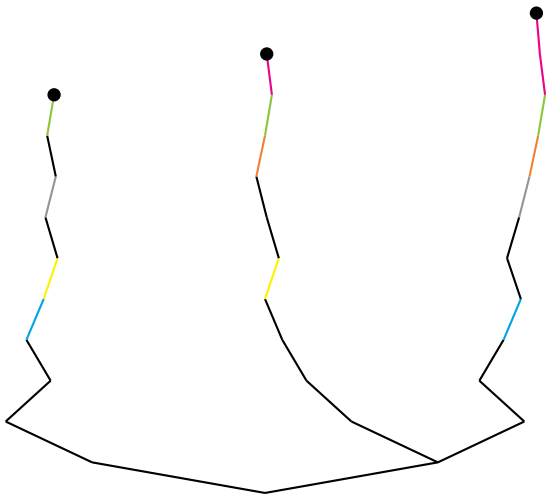
$D$  is not strictly similar to either  $A$  or  $C$ .



The tree  $E$  codes  $G$  and is not strictly similar to  $A - D$



The tree  $F$  codes  $G$  and is strictly similar to  $E$



## Envelopes and Witnessing Coding Nodes

**Envelopes** add some neutral coding nodes to a finite tree to make it satisfy the Strict Witnessing Property.

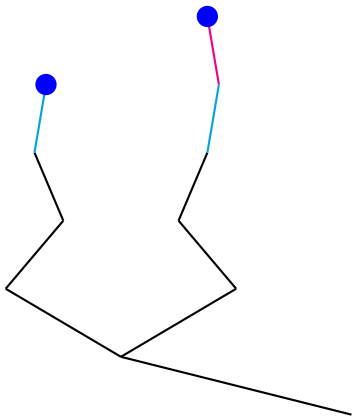
Envelopes for an antichain  $A$  in a strong coding tree  $T$  do not always exist in  $T$ .

Instead, given  $T$  where the Ramsey theorem has been applied to the strict similarity type of a prototype envelope of  $A$ , we take  $S \leq T$  and a set of witnessing coding nodes  $W \subseteq T$  so that each antichain in  $S$  has an envelope in  $T$ , using coding nodes from  $W$ .

We now give some examples of envelopes.



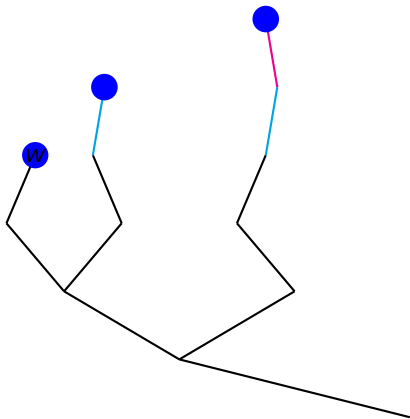
$I$  codes a non-edge



$I$  is not its own envelope.



# An Envelope $E(I)$

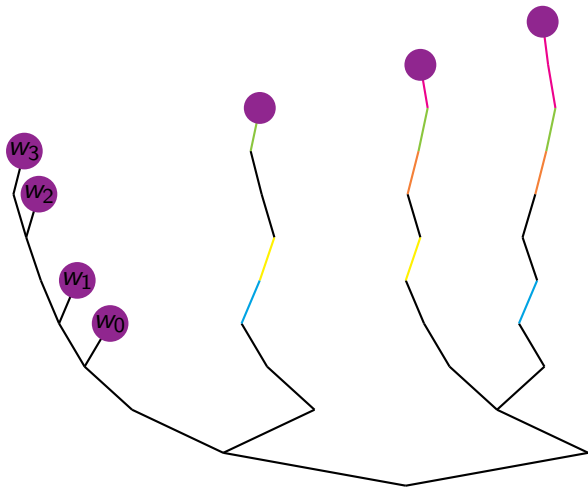


An envelope of  $I$ .

## The antichain $E$ from before

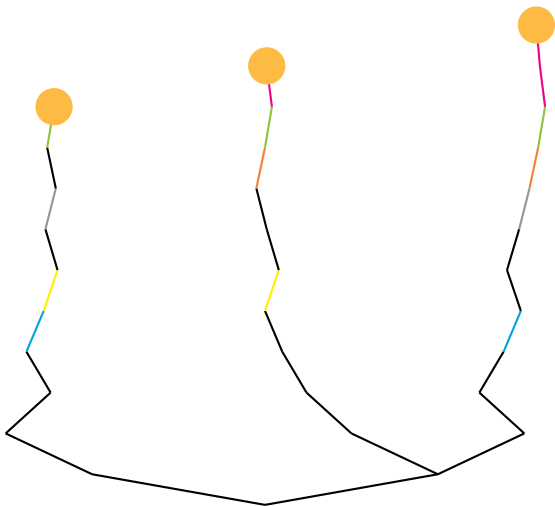


## An envelope $E(E)$

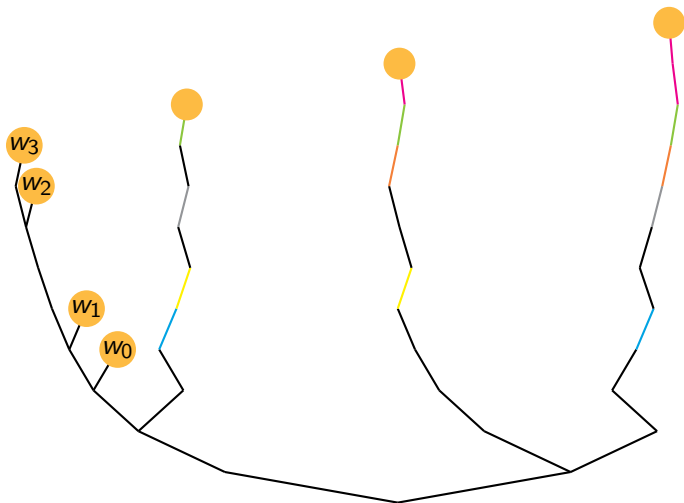


The **coding nodes**  $w_0, \dots, w_3$  make an envelope of  $E$ .

The tree  $F$  from before is strictly similar to  $E$



$E(F)$  is strictly similar to  $E(E)$



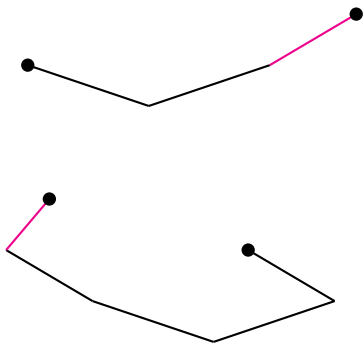
The coding nodes  $w_0, \dots, w_3$  make an envelope of  $F$ .

Part III: Apply the Ramsey Theorem to Strictly Similarity Types  
of Antichains to obtain the Main Theorem.

## Bounds for Big Ramsey Degrees $T(G, \mathcal{H}_k)$

- 1 Let  $G$  be a finite  $K_k$ -free graph, and let  $f$  color the copies of  $G$  in  $\mathcal{H}_k$  into finitely many colors.
- 2 Define  $f'$  on antichains in  $\mathbb{T}$ : For an antichain  $A$  of coding nodes in  $\mathbb{T}$  coding a copy,  $G_A$ , of  $G$ , define  $f'(A) = f(G_A)$ .
- 3 List the strict similarity types of antichains of coding nodes in  $\mathbb{T}$  coding  $G$ . There are finitely many.
- 4 Apply the Ramsey Theorem from Part III, once for each strict similarity type, to obtain a strong coding tree  $S \leq \mathbb{T}$  in which  $f'$  has one color per type.
- 5 Take an antichain of coding nodes,  $\mathbb{A}$  in  $S$ , which codes  $\mathcal{H}_k$ . Let  $\mathcal{H}'$  be the subgraph of  $\mathcal{H}_k$  coded by  $\mathbb{A}$ .
- 6 Then  $f$  has no more colors on the copies of  $G$  in  $\mathcal{H}'$  than the number of strict similarity types of antichains coding  $G$ .

## Edges have big Ramsey degree 2 in $\mathcal{H}_3$

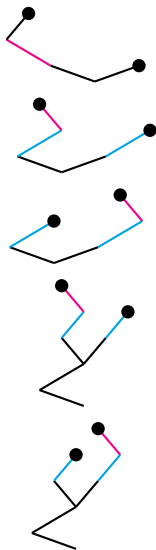


These are their own envelopes.

$T(\text{Edge}, \mathcal{G}_3) = 2$  was obtained in (Sauer 1998) by different methods.



## Non-edges have 5 Strict Similarity Types in $\mathcal{H}_3$ (D.)



## Part II: Ramsey Theorem for Finite Trees with the Strict Witnessing Property.

Goal: Find a Ramsey theorem of the form, “Given a finitary coloring of all copies of a finite  $k$ -clique-free graph  $A$  inside the  $k$ -clique-free Henson graph, as coded by a strong coding tree  $T$ , find a subtree  $S$ , which is again a strong coding tree, in which all copies of  $A$  of a given strict similarity type have the same color.

Ideas:

- (a) Use forcing to find Halpern-Läuchli style theorems for colorings of level sets. This builds on ideas from Harrington’s ‘forcing proof’ of the Halpern-Läuchli Theorem.
- (b) Then weave together to obtain an analogue of Milliken’s Theorem.

## Set-up for level set colorings

Let  $T \in \mathcal{T}_k$  and  $A \subseteq B \subseteq T$  finite subtrees of  $T$  with  $\max(A) \subseteq \max(B)$ , and both have the Witnessing Property.

Let  $A^+$  be the set of immediate extensions in  $\widehat{T}$  of  $\max(A)$ .

Let  $A_e \subseteq A^+$  contain  $0^{(l_A+1)}$  and have at least two members.

Suppose that  $\tilde{X}$  is a level set of nodes in  $T$  extending  $A_e$  and  $A \cup \tilde{X}$  is a finite valid subtree of  $T$  satisfying WP, and assume  $0^{(l_{\tilde{X}})} \in \tilde{X}$ .

**Case (a).**  $\tilde{X}$  contains a splitting node.

**Case (b).**  $\tilde{X}$  contains a coding node.

$\text{Ext}_T(A, \tilde{X}) = \{X \subseteq T : X \supseteq \tilde{X} \text{ is a level set, } A \cup X \cong A \cup \tilde{X},$   
and  $A \cup X$  is valid in  $T\}$ .

## Ramsey Theorem for Level Sets with a Splitting Node

**Thm.** (D.) Assume Case (a) in the previous set-up.

Given any coloring  $h : \text{Ext}_T(A, \tilde{X}) \rightarrow 2$ , there is a strong coding tree  $S \leq T$  such that  $B \sqsubset S$  and  $h$  is monochromatic on  $\text{Ext}_S(A, \tilde{X})$ .

## 'Forcing Proof'

Case (i): level set  $\tilde{X}$  contains a splitting node.

List the immediate successors of  $\max(A)$  as  $s_0, \dots, s_d$ , where  $s_d$  denotes the node which the splitting node in  $\tilde{X}$  extends.

Let  $T_i = \{t \in T : t \supseteq s_i\}$ , for each  $i \leq d$ .

Fix  $\kappa$  large enough so that  $\kappa \rightarrow (\aleph_1)_{\aleph_0}^{2d}$  holds.

Such a  $\kappa$  is guaranteed in ZFC by a theorem of Erdős and Rado.

# The Forcing

$\mathbb{P}$  is the set of functions  $p$  such that

$$p : \{d\} \cup (d \times \vec{\delta}_p) \rightarrow T \upharpoonright l_p,$$

where  $\vec{\delta}_p \in [\kappa]^{<\omega}$  and  $l_p \in L$ , such that

- (i)  $p(d)$  is the splitting node extending  $s_d$  at level  $l_p$ ;
- (ii) For each  $i < d$ ,  $\{p(i, \delta) : \delta \in \vec{\delta}_p\} \subseteq T_i \upharpoonright l_p$ .
- (iii)  $\text{ran}(p)$  has no pre-determined new pre-cliques in  $T$ .

$q \leq p$  if and only if  $\vec{\delta}_q \supseteq \vec{\delta}_p$ ,  $l_q \geq l_p$ , and

- (i)  $q(d) \supset p(d)$ , and  $q(i, \delta) \supset p(i, \delta)$  for each  $\delta \in \vec{\delta}_p$  and  $i < d$ ; and
- (ii)  $\text{ran}(q \upharpoonright \vec{\delta}_p)$  has no new pre-cliques above  $\text{ran}(p)$ .

## Set-up for applying Erdős-Rado

For  $i < d$ ,  $\alpha < \kappa$ , let  $\dot{b}_{i,\alpha}$  denote the  $\alpha$ -th generic branch in  $T_i$ , and  $\dot{b}_d$  the generic branch in  $T_d$ .

Let  $\dot{U}$  be a  $\mathbb{P}$ -name for a non-principal ultrafilter on  $\dot{L}$ , a name for the levels in  $\dot{b}_d$ .

For  $\vec{\alpha} = \langle \alpha_0, \dots, \alpha_{d-1} \rangle \in [\kappa]^d$ , let  $\dot{b}_{\vec{\alpha}} := \langle \dot{b}_{0,\alpha_0}, \dots, \dot{b}_{d-1,\alpha_{d-1}}, \dot{b}_d \rangle$ .

- For  $\vec{\alpha} \in [\kappa]^d$ , take some  $p_{\vec{\alpha}} \in \mathbb{P}$  with  $\vec{\alpha} \subseteq \vec{\delta}_{p_{\vec{\alpha}}}$  such that
  - 1  $p_{\vec{\alpha}}$  decides an  $\varepsilon_{\vec{\alpha}} \in 2$  such that  $p_{\vec{\alpha}} \Vdash "c(\dot{b}_{\vec{\alpha}} \upharpoonright l) = \varepsilon_{\vec{\alpha}} \text{ for } \dot{U} \text{ many } l"$ ;
  - 2  $c(\{p_{\vec{\alpha}}(i, \alpha_j) : i < d\}) = \varepsilon_{\vec{\alpha}}$ .

# The Countable Coloring

Let  $\mathcal{I}$  be the collection of functions  $\iota : 2d \rightarrow 2d$  such that

$$\{\iota(0), \iota(1)\} < \{\iota(2), \iota(3)\} < \cdots < \{\iota(2d-2), \iota(2d-1)\}.$$

For  $\vec{\theta} \in [\kappa]^{2d}$ ,  $\iota \in \mathcal{I}$  determines two sequences of ordinals in  $[\kappa]^d$ :

$$\iota_e(\vec{\theta}) := (\theta_{\iota(0)}, \theta_{\iota(2)}, \dots, \theta_{\iota(2d-2)}) \text{ and } \iota_o(\vec{\theta}) := (\theta_{\iota(1)}, \theta_{\iota(3)}, \dots, \theta_{\iota(2d-1)}).$$

For  $\vec{\theta} \in [\kappa]^{2d}$  and  $\iota \in \mathcal{I}$ , define

$$\begin{aligned} f(\iota, \vec{\theta}) = & \langle \iota, \varepsilon_{\vec{\alpha}}, k_{\vec{\alpha}}, p(d), \langle \langle p_{\vec{\alpha}}(i, \delta_{\vec{\alpha}}(j)) : j < k_{\vec{\alpha}} \rangle : i < d \rangle, \\ & \langle \langle i, j \rangle : i < d, j < k_{\vec{\alpha}}, \delta_{\vec{\alpha}}(j) = \alpha_i \rangle, \\ & \langle \langle j, k \rangle : j < k_{\vec{\alpha}}, k < k_{\vec{\beta}}, \delta_{\vec{\alpha}}(j) = \delta_{\vec{\beta}}(k) \rangle \rangle, \end{aligned} \quad (2)$$

where  $\vec{\alpha} = \iota_e(\vec{\theta})$ ,  $\vec{\beta} = \iota_o(\vec{\theta})$ ,  $k_{\vec{\alpha}} = |\vec{\delta}_{p_{\vec{\alpha}}}|$ , and  $\langle \delta_{\vec{\alpha}}(j) : j < k_{\vec{\alpha}} \rangle$  enumerates  $\vec{\delta}_{p_{\vec{\alpha}}}$  in increasing order. For  $\vec{\theta} \in [\kappa]^{2d}$ , define  $f(\vec{\theta}) = \langle f(\iota, \vec{\theta}) : \iota \in \mathcal{I} \rangle$ .



## $f$ provides a large homogeneous set of conditions

Note:  $\text{dom}(f) = [\kappa]^{2d}$  and  $\text{ran}(f)$  is a countable set.

Since  $\kappa \rightarrow (\aleph_1)_{\aleph_0}^{2d}$ , take  $K \in [\kappa]^{\aleph_1}$  homogeneous for  $f$ .

Take  $K_i \in [K]^{\aleph_0}$  so that  $K_0 < \dots < K_{d-1}$  and  $K' := \bigcup_{i < d} K_i$  thin in  $K$ .

**Main Lemma.** There are  $\varepsilon^* \in 2$  and  $t_i^* \in T_i$  such that for all  $\vec{\alpha} \in \prod_{i < d} K_i$ ,  $\varepsilon_{\vec{\alpha}} = \varepsilon^*$  and  $p_{\vec{\alpha}}(i, \alpha_i) = t_i^*$ . Furthermore,  $\{p_{\vec{\alpha}} : \vec{\alpha} \in \prod_{i < d} K_i\}$  is compatible.

The  $t_0^*, \dots, t_d^*$  provide good starting nodes for constructing the tree homogeneous for the coloring on  $\text{Ext}_T(A, \tilde{X})$ .

## Building a tree homogeneous for level set coloring

We alternate between building the subtree by hand and using the forcing to find the next level where homogeneity is guaranteed.

**Remarks.** (1) No generic extension is actually used.

(2) These forcings are not simply Cohen forcings; the partial orderings are stronger in order to guarantee that the new levels we obtain by forcing are extendible inside  $T$  to another strong coding tree.

(3) The assumption that  $A \cup \tilde{X}$  satisfies the Witnessing Property is necessary.

## Case (b): Coloring level sets with a coding node

This case is harder, because the forcing proof only produces an end-homogeneous strong coding tree.

Then there is a third forcing argument needed to homogenize over monochromatic cones.

Much induction produces the Milliken analogue: The Ramsey Theorem for trees with the Strict Witnessing Property.

Envelopes are then used to obtain the final Ramsey Theorem for Strict Similarity Types.

## Strict Witnessing Property

A subtree  $A$  of  $\mathbb{T}_k$  satisfies the **Strict Witnessing Property (SWP)** if  $A$  satisfies the Witnessing Property and for each interval  $(|d_m^A|, |d_{m+1}^A|]$ :

- 1 If  $d_{m+1}^A$  is a splitting node,  $A$  has no new pre-cliques in the interval.
- 2 If  $d_{m+1}^A$  is a coding node,  $A$  has at most one new pre-clique in this interval.
- 3 If  $Y$  is a new pre-clique in this interval, then each proper subset of  $Y$  has a new pre-clique in some interval  $(|d_j^A|, |d_{j+1}^A|]$ , where  $j < m$ .

**Lem.** (D.) If  $A \subseteq \mathbb{T}_k$  has the Strict Witnessing Property and  $B \cong A$ , then  $B$  also has the Strict Witnessing Property.

Any  $B$  stably isomorphic to  $A$  is a **copy** of  $A$ .

## Ramsey Theorem for Finite Trees with SWP

**Thm.** (D.) Let  $T \in \mathcal{T}_k$  and  $A$  be a finite subtree of  $T$  with the Strict Witnessing Property. Let  $c$  be a coloring of all copies of  $A$  in  $T$ . Then there is a strong  $\mathcal{H}_k$ -coding tree  $S \leq T$  in which all copies of  $A$  in  $S$  have the same color.

This is an analogue of Milliken's Theorem for strong coding trees.

## Future Directions

- 1 Extend methods to other infinite structures with or without forbidden configurations.
- 2 Trees with coding nodes and forcing arguments have allowed the development of infinite dimensional Ramsey theory on copies of the Rado graph: analogues of the Galvin-Prikry Theorem. Extend these methods to other structures with finite big Ramsey degrees.
- 3 Milliken was used to determine Ramsey theory of the profinite graph (Huber-Geshke-Kojman, and Zheng). Extend to other uncountable structures.
- 4 Prove lower bounds cohere so that Zucker's work may be applied to obtain new examples of minimal completion flows.

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