

# MODELS FOR DISCRETE QUANTUM GRAVITY

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## Abstract

We first discuss a framework for discrete quantum processes (DQP). It is shown that the set of  $q$ -probability operators is convex and its set of extreme elements is found. The property of consistency for a DQP is studied and the quadratic algebra of suitable sets is introduced. A classical sequential growth process is “quantized” to obtain a model for discrete quantum gravity called a quantum sequential growth process (QSGP). Two methods for constructing concrete examples of QSGP are provided.

## 1 Introduction

In a previous article, the author introduced a general framework for a discrete quantum gravity [3]. However, we did not include any concrete examples or models for this framework. In particular, we did not consider the problem of whether nontrivial models for a discrete quantum gravity actually exist. In this paper we provide a method for constructing an infinite number of such models. We first make a slight modification of our definition of a discrete quantum process (DQP)  $\rho_n$ ,  $n = 1, 2, \dots$ . Instead of requiring that  $\rho_n$  be a state on a Hilbert space  $H_n$ , we require that  $\rho_n$  be a  $q$ -probability operator on  $H_n$ . This latter condition seems more appropriate from a probabilistic viewpoint and instead of requiring  $\text{tr}(\rho_n) = 1$ , this condition normalizes the

corresponding quantum measure. By superimposing a concrete DQP on a classical sequential growth process we obtain a model for discrete quantum gravity that we call a quantum sequential growth process.

Section 2 considers the DQP formalism. We show that the set of  $q$ -probability operators is a convex set and find its set of extreme elements. We discuss the property of consistency for a DQP and introduce the so-called quadratic algebra of suitable sets. The suitable sets are those on which well-defined quantum measures (or quantum probabilities) exist.

Section 3 reviews the concept of a classical sequential growth process (CSGP) [1, 4, 5, 6, 8, 9]. The important notions of paths and cylinder sets are discussed. In Section 4 we show how to “quantize” a CSGP to obtain a quantum sequential growth process (QSGP). Some results concerning the consistency of a DQP are given. Finally, Section 5 provides two methods for constructing examples of QSGP.

## 2 Discrete Quantum Processes

Let  $(\Omega, \mathcal{A}, \nu)$  be a probability space and let

$$H = L_2(\Omega, \mathcal{A}, \nu) = \left\{ f: \Omega \rightarrow \mathbb{C}, \int |f|^2 d\nu < \infty \right\}$$

be the corresponding Hilbert space. Let  $\mathcal{A}_1 \subseteq \mathcal{A}_2 \subseteq \dots \subseteq \mathcal{A}$  be an increasing sequence of sub  $\sigma$ -algebras of  $\mathcal{A}$  that generate  $\mathcal{A}$  and let  $\nu_n = \nu | \mathcal{A}_n$  be the restriction of  $\nu$  to  $\mathcal{A}_n$ ,  $n = 1, 2, \dots$ . Then  $H_n = L_2(\Omega, \mathcal{A}_n, \nu_n)$  forms an increasing sequence of closed subspaces of  $H$  called a *filtration* of  $H$ . A bounded operator  $T$  on  $H_n$  will also be considered as a bounded operator on  $H$  by defining  $Tf = 0$  for all  $f \in H_n^\perp$ . We denote the characteristic function  $\chi_\Omega$  of  $\Omega$  by 1. Of course,  $\|1\| = 1$  and  $\langle 1, f \rangle = \int f d\nu$  for every  $f \in H$ . A *q-probability operator* is a bounded positive operator  $\rho$  on  $H$  that satisfies  $\langle \rho 1, 1 \rangle = 1$ . Denote the set of  $q$ -probability operators on  $H$  and  $H_n$  by  $\mathcal{Q}(H)$  and  $\mathcal{Q}(H_n)$ , respectively. Since  $1 \in H_n$ , if  $\rho \in \mathcal{Q}(H_n)$  by our previous convention,  $\rho \in \mathcal{Q}(H)$ . Notice that a positive operator  $\rho \in \mathcal{Q}(H)$  if and only if  $\|\rho^{1/2} 1\| = 1$  where  $\rho^{1/2}$  is the unique positive square root of  $\rho$ .

A rank 1 element of  $\mathcal{Q}(H)$  is called a *pure q-probability operator*. Thus  $\rho \in \mathcal{Q}(H)$  is pure if and only if  $\rho$  has the form  $\rho = |\psi\rangle\langle\psi|$  for some  $\psi \in H$  satisfying

$$|\langle 1, \psi \rangle| = \left| \int \psi d\nu \right| = 1$$

We then call  $\psi$  a  $q$ -probability vector and we denote the set of  $q$ -probability vectors by  $\mathcal{V}(H)$  and the set of pure  $q$ -probability operators by  $\mathcal{Q}_p(H)$ . Notice that if  $\psi \in \mathcal{V}(H)$ , then  $\|\psi\| \geq 1$  and  $\|\psi\| = 1$  if and only if  $\psi = \alpha 1$  for some  $\alpha \in \mathbb{C}$  with  $|\alpha| = 1$ . Two operators  $\rho_1, \rho_2 \in \mathcal{Q}(H)$  are *orthogonal* if  $\rho_1 \rho_2 = 0$ .

**Theorem 2.1.** (i)  $\mathcal{Q}(A)$  is a convex set and  $\mathcal{Q}_p(H)$  is its set of extreme elements. (ii)  $\rho \in \mathcal{Q}(H)$  is of trace class if and only if there exists a sequence of mutually orthogonal  $\rho_i \in \mathcal{Q}_p(H)$  and  $\alpha_i > 0$  with  $\sum \alpha_i = 1$  such that  $\rho = \sum \alpha_i \rho_i$  in the strong operator topology. The  $\rho_i$  are unique if and only if the corresponding  $\alpha_i$  are distinct.

*Proof.* (i) If  $0 < \lambda < 1$  and  $\rho_1, \rho_2 \in \mathcal{Q}(H)$ , then  $\rho = \lambda \rho_1 + (1 - \lambda) \rho_2$  is a positive operator and

$$\langle \rho 1, 1 \rangle = \langle (\lambda \rho_1 + (1 - \lambda) \rho_2) 1, 1 \rangle = \lambda \langle \rho_1 1, 1 \rangle + (1 - \lambda) \langle \rho_2 1, 1 \rangle = 1$$

Hence,  $\rho \in \mathcal{Q}(H)$  so  $\mathcal{Q}(H)$  is a convex set. Suppose  $\rho \in \mathcal{Q}_p(H)$  and  $\rho = \lambda \rho_1 + (1 - \lambda) \rho_2$  where  $0 < \lambda < 1$  and  $\rho_1, \rho_2 \in \mathcal{Q}(H)$ . If  $\rho_1 \neq \rho_2$ , then  $\text{rank}(\rho) \neq 1$  which is a contradiction. Hence,  $\rho_1 = \rho_2$  so  $\rho$  is an extreme element of  $\mathcal{Q}(H)$ . Conversely, suppose  $\rho \in \mathcal{Q}(H)$  is an extreme element. If the cardinality of the spectrum  $|\sigma(\rho)| > 1$ , then by the spectral theorem  $\rho = \rho_1 + \rho_2$  where  $\rho_1, \rho_2 \neq 0$  are positive and  $\rho_1 \neq \alpha \rho_2$  for  $\alpha \in \mathbb{C}$ . If  $\rho_1 1, \rho_2 1 \neq 0$ , then  $\langle \rho_1 1, 1 \rangle, \langle \rho_2 1, 1 \rangle \neq 0$  and we can write

$$\rho = \langle \rho_1 1, 1 \rangle \frac{\rho_1}{\langle \rho_1 1, 1 \rangle} + \langle \rho_2 1, 1 \rangle \frac{\rho_2}{\langle \rho_2 1, 1 \rangle}$$

Now  $\langle \rho_1 1, 1 \rangle^{-1} \rho_1, \langle \rho_2 1, 1 \rangle^{-1} \rho_2 \in \mathcal{Q}(H)$  and

$$\langle \rho_1 1, 1 \rangle + \langle \rho_2 1, 1 \rangle = \langle \rho 1, 1 \rangle = 1$$

which is a contradiction. Hence,  $\rho_1 1 = 0$  or  $\rho_2 1 = 0$ . Without loss of generality suppose that  $\rho_2 1 = 0$ . We can now write

$$\rho = \frac{1}{2} \rho_1 + \frac{1}{2} (\rho_1 + 2 \rho_2)$$

Now  $\rho_1 1 \neq 0$ ,  $(\rho_1 + 2 \rho_2) 1 \neq 0$  and as before we get a contradiction. We conclude that  $|\sigma(\rho)| = 1$ . Hence,  $\rho = \alpha P$  where  $P$  is a projection and  $\alpha > 0$ . If  $\text{rank}(P) > 1$ , then  $P = P_1 + P_2$  where  $P_1$  and  $P_2$  are orthogonal nonzero projections so  $\rho = \alpha P_1 + \alpha P_2$ . Proceeding as before we obtain a contradiction. Hence,  $\text{rank}(P) = 1$  so  $\rho = \alpha P$  is pure. (ii) This follows from the spectral theorem.  $\square$

Let  $\{H_n: n = 1, 2, \dots\}$  be a filtration of  $H$  and let  $\rho_n \in \mathcal{Q}(H_n)$ ,  $n = 1, 2, \dots$ . The  $n$ -decoherence functional  $D_n: \mathcal{A}_n \times \mathcal{A}_n \rightarrow \mathbb{C}$  defined by

$$D_n(A, B) = \langle \rho_n \chi_B, \chi_A \rangle$$

gives a measure of the interference between  $A$  and  $B$  when the system is described by  $\rho_n$ . It is clear that  $D_n(\Omega_n, \Omega_n) = 1$ ,  $D_n(A, B) = \overline{D_n(B, A)}$  and  $A \mapsto D_n(A, B)$  is a complex measure for all  $B \in \mathcal{A}_n$ . It is also well-known that if  $A_1, \dots, A_r \in \mathcal{A}_n$  then the matrix with entries  $D_n(A_j, A_k)$  is positive semidefinite. We define the map  $\mu_n: \mathcal{A}_n \rightarrow \mathbb{R}^+$  by

$$\mu_n(A) = D_n(A, A) = \langle \rho_n \chi_A, \chi_A \rangle$$

Notice that  $\mu_n(\Omega_n) = 1$ . Although  $\mu_n$  is not additive, it does satisfy the *grade-2 additivity condition*: if  $A, B, C \in \mathcal{A}_n$  are mutually disjoint, then

$$\begin{aligned} \mu_n(A \cup B \cup C) &= \mu_n(A \cup B) + \mu_n(A \cup C) + \mu_n(B \cup C) \\ &\quad - \mu_n(A) - \mu_n(B) - \mu_n(C) \end{aligned} \quad (2.1)$$

We say that  $\rho_{n+1}$  is *consistent* with  $\rho_n$  if  $D_{n+1}(A, B) = D_n(A, B)$  for all  $A, B \in \mathcal{A}_n$ . We call the sequence  $\rho_n$ ,  $n = 1, 2, \dots$ , *consistent* if  $\rho_{n+1}$  is consistent with  $\rho_n$  for  $n = 1, 2, \dots$ . Of course, if the sequence  $\rho_n$ ,  $n = 1, 2, \dots$ , is consistent, then  $\mu_{n+1}(A) = \mu_n(A) \forall A \in \mathcal{A}_n$ ,  $n = 1, 2, \dots$ . A *discrete quantum process* (DQP) is a consistent sequence  $\rho_n \in \mathcal{Q}(H_n)$  for a filtration  $H_n$ ,  $n = 1, 2, \dots$ . A DQP  $\rho_n$  is *pure* if  $\rho_n \in \mathcal{Q}_p(H_n)$ ,  $n = 1, 2, \dots$ .

If  $\rho_n$  is a DQP, then the corresponding maps  $\mu_n: \mathcal{A}_n \rightarrow \mathbb{R}^+$  have the form

$$\mu_n(A) = \langle \rho_n \chi_A, \chi_A \rangle = \|\rho_n^{1/2} \chi_A\|^2$$

Now  $A \mapsto \rho_n^{1/2} \chi_A$  is a vector-valued measure on  $\mathcal{A}_n$ . We conclude that  $\mu_n$  is the squared norm of a vector-valued measure. In particular, if  $\rho_n = |\psi_n\rangle\langle\psi_n|$  is a pure DQP, then  $\mu_n(A) = |\langle\psi_n, \chi_A\rangle|^2$  so  $\mu_n$  is the squared modulus of the complex-valued measure  $A \mapsto \langle\psi_n, \chi_A\rangle$ .

For a DQP  $\rho_n \in \mathcal{Q}(H_n)$ , we say that a set  $A \in \mathcal{A}$  is *suitable* if  $\lim \langle \rho_j \chi_A, \chi_A \rangle$  exists and is finite and in this case we define  $\mu(A)$  to be the limit. We denote the set of suitable sets by  $\mathcal{S}(\rho_n)$ . If  $A \in \mathcal{A}_n$  then

$$\lim \langle \rho_j \chi_A, \chi_A \rangle = \langle \rho_n \chi_A, \chi_A \rangle$$

so  $A \in \mathcal{S}(\rho_n)$  and  $\mu(A) = \mu_n(A)$ . This shows that the algebra  $\mathcal{A}_0 = \cup \mathcal{A}_n \subseteq \mathcal{S}(\rho_n)$ . In particular,  $\Omega \in \mathcal{S}(\rho_n)$  and  $\mu(\Omega) = 1$ . In general,  $\mathcal{S}(\rho_n) \neq \mathcal{A}$  and  $\mu$

may not have a well-behaved extension from  $\mathcal{A}_0$  to all of  $\mathcal{A}$  [2, 7]. A subset  $\mathcal{B}$  of  $\mathcal{A}$  is a *quadratic algebra* if  $\emptyset, \Omega \in \mathcal{B}$  and whenever  $A, B, C \in \mathcal{B}$  are mutually disjoint with  $A \cup B, A \cup C, B \cup C \in \mathcal{B}$ , we have  $A \cup B \cup C \in \mathcal{B}$ . For a quadratic algebra  $\mathcal{B}$ , a *q-measure* is a map  $\mu_0: \mathcal{B} \rightarrow \mathbb{R}^+$  that satisfies the grade-2 additivity condition (2.1). Of course, an algebra of sets is a quadratic algebra and we conclude that  $\mu_n: \mathcal{A}_n \rightarrow \mathbb{R}^+$  is a *q-measure*. It is not hard to show that  $\mathcal{S}(\rho_n)$  is a quadratic algebra and  $\mu: \mathcal{S}(\rho_n) \rightarrow \mathbb{R}^+$  is a *q-measure* on  $\mathcal{S}(\rho_n)$  [3].

### 3 Classical Sequential Growth Processes

A *partially ordered set (poset)* is a set  $x$  together with an irreflexive, transitive relation  $<$  on  $x$ . In this work we only consider unlabeled posets and isomorphic posets are considered to be identical. Let  $\mathcal{P}_n$  be the collection of all posets with cardinality  $n$ ,  $n = 1, 2, \dots$ . If  $x \in \mathcal{P}_n$ ,  $y \in \mathcal{P}_{n+1}$ , then  $x$  *produces*  $y$  if  $y$  is obtained from  $x$  by adjoining a single new element to  $x$  that is maximal in  $y$ . We also say that  $x$  is a *producer* of  $y$  and  $y$  is an *offspring* of  $x$ . If  $x$  produces  $y$  we write  $x \rightarrow y$ . We denote the set of offspring of  $x$  by  $x \rightarrow$  and for  $A \subseteq \mathcal{P}_n$  we use the notation

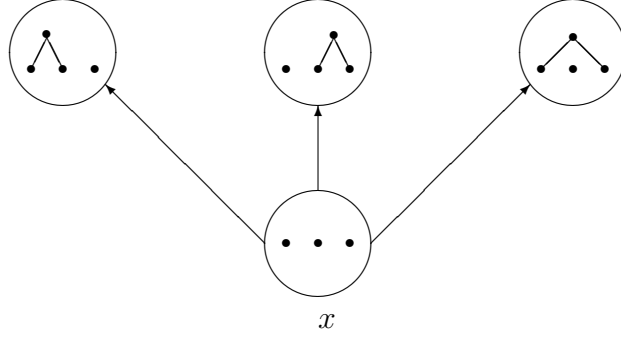
$$A \rightarrow = \{y \in \mathcal{P}_{n+1} : x \rightarrow y, x \in A\}$$

The transitive closure of  $\rightarrow$  makes the set of all finite posets  $\mathcal{P} = \cup \mathcal{P}_n$  into a poset.

A *path* in  $\mathcal{P}$  is a string (sequence)  $x_1, x_2, \dots$  where  $x_i \in \mathcal{P}_i$  and  $x_i \rightarrow x_{i+1}$ ,  $i = 1, 2, \dots$ . An *n-path* in  $\mathcal{P}$  is a finite string  $x_1 x_2 \cdots x_n$  where again  $x_i \in \mathcal{P}_i$  and  $x_i \rightarrow x_{i+1}$ . We denote the set of paths by  $\Omega$  and the set of *n-paths* by  $\Omega_n$ . The set of paths whose initial *n-path* is  $\omega_0 \in \Omega_n$  is denoted by  $\omega_0 \Rightarrow$ . Thus, if  $\omega_0 = x_1 x_2 \cdots x_n$  then

$$\omega_0 \Rightarrow = \{\omega \in \Omega : \omega = x_1, x_2 \cdots x_n y_{n+1} y_{n+2} \cdots\}$$

If  $x$  produces  $y$  in  $r$  isomorphic ways, we say that the *multiplicity* of  $x \rightarrow y$  is  $r$  and write  $m(x \rightarrow y) = r$ . For example, in Figure 1,  $m(x \rightarrow y) = 3$ . (To be precise, these different isomorphic ways require a labeling of the posets and this is the only place that labeling needs to be mentioned.)



**Figure 1**

If  $x \in \mathcal{P}$  and  $a, b \in x$  we say that  $a$  is an *ancestor* of  $b$  and  $b$  is a *successor* of  $a$  if  $a < b$ . We say that  $a$  is a *parent* of  $b$  and  $b$  is a *child* of  $a$  if  $a < b$  and there is no  $c \in x$  such that  $a < c < b$ . Let  $c = (c_0, c_1, \dots)$  be a sequence of nonnegative numbers called *coupling constants* [5, 9]. For  $r, s \in \mathbb{N}$  with  $r \leq s$ , we define

$$\lambda_c(s, r) = \sum_{k=r}^s \binom{s-r}{k-r} c_k = \sum_{k=0}^{s-r} \binom{s-r}{k} c_{r+k}$$

For  $x \in \mathcal{P}_n$   $y \in \mathcal{P}_{n+1}$  with  $x \rightarrow y$  we define the *transition probability*

$$p_c(x \rightarrow y) = m(x \rightarrow y) \frac{\lambda_c(\alpha, \pi)}{\lambda_c(n, 0)}$$

where  $\alpha$  is the number of ancestors and  $\pi$  the number of parents of the adjoined maximal element in  $y$  that produces  $y$  from  $x$ . It is shown in [5, 9] that  $p_c(x \rightarrow y)$  is a probability distribution in that it satisfies the Markov-sum rule

$$\sum \{p_c(x \rightarrow y) : y \in \mathcal{P}_{n+1}, x \rightarrow y\} = 1$$

In discrete quantum gravity, the elements of  $\mathcal{P}$  are thought of as causal sets and  $a < b$  is interpreted as  $b$  being in the causal future of  $a$ . The distribution  $y \mapsto p_c(x \rightarrow y)$  is essentially the most general that is consistent with principles of causality and covariance [5, 9]. It is hoped that other theoretical principles or experimental data will determine the coupling constants. One suggestion is to take  $c_k = 1/k!$  [6, 7]. The case  $c_k = c^k$  for some  $c > 0$  has been previously studied and is called a *percolation dynamics* [5, 6, 8].

We call an element  $x \in \mathcal{P}$  a *site* and we sometimes call an  $n$ -path an *n-universe* and a path a *universe*. The set  $\mathcal{P}$  together with the set of transition probabilities  $p_c(x \rightarrow y)$  forms a *classical sequential growth process* (CSGP)

which we denote by  $(\mathcal{P}, p_c)$  [4, 5, 6, 8, 9]. It is clear that  $(\mathcal{P}, p_c)$  is a Markov chain and as usual we define the probability of an  $n$ -path  $\omega = y_1 y_2 \cdots y_n$  by

$$p_c^n(\omega) = p_c(y_1 \rightarrow y_2) p_c(y_2 \rightarrow y_3) \cdots p_c(y_{n-1} \rightarrow y_n)$$

Denoting the power set of  $\Omega_n$  by  $2^{\Omega_n}$ ,  $(\Omega_n, 2^{\Omega_n}, p_c^n)$  becomes a probability space where

$$p_c^n(A) = \sum \{p_c^n(\omega) : \omega \in A\}$$

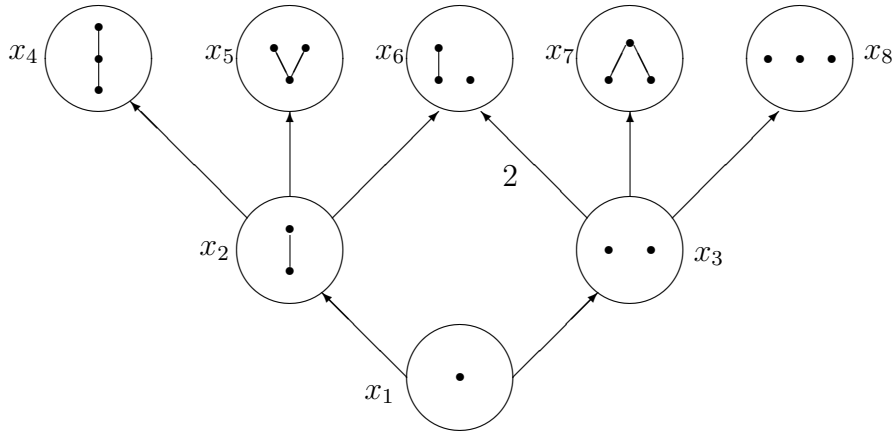
for all  $A \in 2^{\Omega_n}$ . The probability of a site  $x \in \mathcal{P}_n$  is

$$p_c^n(x) = \sum \{p_c^n(\omega) : \omega \in \Omega_n, \omega \text{ ends at } x\}$$

Of course,  $x \mapsto p_c^n(x)$  is a probability measure on  $\mathcal{P}_n$  and we have

$$\sum_{x \in \mathcal{P}_n} p_c^n(x) = 1$$

**Example 1.** Figure 2 illustrates the first two steps of a CSGP where the 2 indicates the multiplicity  $m(x_3 \rightarrow x_6) = 2$ . Table 1 lists the probabilities of the various sites for the general coupling constants  $c_k$  and the particular coupling constants  $c'_k = 1/k!$  where  $d = (c_0 + c_1)(c_0 + 2c_1 + c_2)$ .



**Figure 2**

$x_i$	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$
$p_c^{(n)}(x_i)$	1	$\frac{c_1}{c_0+c_1}$	$\frac{c_0}{c_0+c_1}$	$\frac{c_1(c_1+c_2)}{d}$	$\frac{c_1^2}{d}$	$\frac{3c_0c_1}{d}$	$\frac{c_0c_2}{d}$	$\frac{c_0^2}{d}$
$p_c^n(x_i)$	1	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{3}{14}$	$\frac{1}{7}$	$\frac{3}{7}$	$\frac{1}{14}$	$\frac{1}{7}$

**Table 1**

For  $A \subseteq \Omega_n$  we use the notation

$$A \Rightarrow = \cup \{\omega \Rightarrow : \omega \in A\}$$

Thus,  $A \Rightarrow$  is the set of paths whose initial  $n$ -paths are elements of  $A$ . We call  $A \Rightarrow$  a *cylinder set* and define

$$\mathcal{A}_n = \{A \Rightarrow : A \subseteq \Omega_n\}$$

In particular, if  $\omega \in \Omega_n$  then the *elementary cylinder set*  $\text{cyl}(\omega)$  is given by  $\text{cyl}(\omega) = \omega \Rightarrow$ . It is easy to check that the  $\mathcal{A}_n$  form an increasing sequence  $\mathcal{A}_1 \subseteq \mathcal{A}_2 \subseteq \dots$  of algebras on  $\Omega$  and hence  $\mathcal{C}(\Omega) = \cup \mathcal{A}_n$  is an algebra of subsets of  $\Omega$ . Also for  $A \in \mathcal{C}(\Omega)$  of the form  $A = A_1 \Rightarrow$ ,  $A_1 \subseteq \Omega_n$ , we define  $p_c(A) = p_c^n(A_1)$ . It is easy to check that  $p_c$  is a well-defined probability measure on  $\mathcal{C}(\Omega)$ . It follows from the Kolmogorov extension theorem that  $p_c$  has a unique extension to a probability measure  $\nu_c$  on the  $\sigma$ -algebra  $\mathcal{A}$  generated by  $\mathcal{C}(\Omega)$ . We conclude that  $(\Omega, \mathcal{A}, \nu_c)$  is a probability space, the increasing sequence of subalgebras  $\mathcal{A}_n$  generates  $\mathcal{A}$  and that the restriction  $\nu_c | \mathcal{A}_n = p_c^n$ . Hence, the subspaces  $H_n = L_2(\Omega, \mathcal{A}_n, p_c^n)$  form a filtration of the Hilbert space  $H = L_2(\Omega, \mathcal{A}, \nu_c)$ .

## 4 Quantum Sequential Growth Processes

This section employs the framework of Section 2 to obtain a quantum sequential growth process (QSGP) from the CSGP  $(\mathcal{P}, p_c)$  developed in Section 3. We have seen that the *n-path Hilbert space*  $H_n = L_2(\Omega, \mathcal{A}_n, p_c^n)$  forms a filtration of the *path Hilbert space*  $H = L_2(\Omega, \mathcal{A}, \nu_c)$ . In the sequel, we assume that  $p_c^n(\omega) \neq 0$  for every  $\omega \in \Omega_n$ ,  $n = 1, 2, \dots$ . Then the set of vectors

$$e_\omega^n = p_c^n(\omega)^{1/2} \chi_{\text{cyl}(\omega)}, \omega \in \Omega_n$$



form an orthonormal basis for  $H_n$ ,  $n = 1, 2, \dots$ . For  $A \in \mathcal{A}_n$ , notice that  $\chi_A \in H$  with  $\|\chi_A\| = p_c^n(A)^{1/2}$ .

We call a DQP  $\rho_n \in \mathcal{Q}(H_n)$  a *quantum sequential growth process* (QSGP). We call  $\rho_n$  the *local operators* and  $\mu_n(A) = D_n(A, A)$  the *local  $q$ -measures* for the process. If  $\rho = \lim \rho_n$  exists in the strong operator topology, then  $\rho$  is a  $q$ -probability operator on  $H$  called the *global operator* for the process. If the global operator  $\rho$  exists, then  $\hat{\mu}(A) = \langle \rho \chi_A, \chi_A \rangle$  is a (continuous)  $q$ -measure on  $\mathcal{A}$  that extends  $\mu_n$ ,  $n = 1, 2, \dots$ . Unfortunately, the global operator does not exist, in general, so we must be content to work with the local operators [2, 3, 7]. In this case, we still have the  $q$ -measure  $\mu$  on the quadratic algebra  $\mathcal{S}(\rho_n) \subseteq \mathcal{A}$  that extends  $\mu_n$   $n = 1, 2, \dots$ . We frequently identify a set  $A \subseteq \Omega_n$  with the corresponding cylinder set  $(A \Rightarrow) \in \mathcal{A}_n$ . We then have the  $q$ -measure, also denoted by  $\mu_n$ , on  $2^{\Omega_n}$  defined by  $\mu_n(A) = \mu_n(A \Rightarrow)$ . Moreover, we define the  $q$ -measure, again denoted by  $\mu_n$ , on  $\mathcal{P}_n$  by

$$\mu_n(A) = \mu_n(\{\omega \in \Omega_n : \omega \text{ end in } A\})$$

for all  $A \subseteq \mathcal{P}_n$ . In particular, for  $x \in \mathcal{P}_n$  we have

$$\mu_n(\{x\}) = \mu_n(\{\omega \in \Omega_n : \omega \text{ ends with } x\})$$

If  $A \in \mathcal{A}_n$  has the form  $A_1 \Rightarrow$  for  $A_1 \subseteq \Omega_n$  then  $A \in \mathcal{A}_{n+1}$  and  $A = (A_1 \rightarrow) \Rightarrow$  where  $A_1 \rightarrow \subseteq \Omega_{n+1}$ . Let  $\rho_n \in \mathcal{Q}(H_n)$ ,  $\rho_{n+1} \in \mathcal{Q}(H_{n+1})$  and let  $D_n(A, B) = \langle \rho_n \chi_B, \chi_A \rangle$ ,  $D_{n+1}(A, B) = \langle \rho_{n+1} \chi_B, \chi_A \rangle$  be the corresponding decoherence functionals. Then  $\rho_{n+1}$  is consistent with  $\rho_n$  if and only if for all  $A, B \subseteq \Omega_n$  we have

$$D_{n+1}[(A \rightarrow) \Rightarrow, (B \rightarrow) \Rightarrow] = D_n(A \Rightarrow, B \Rightarrow) \quad (4.1)$$

**Lemma 4.1.** *For  $\rho_n \in \mathcal{Q}(H_n)$ ,  $\rho_{n+1} \in \mathcal{Q}(H_{n+1})$  we have that  $\rho_{n+1}$  is consistent with  $\rho_n$  if and only if for all  $\omega, \omega' \in \Omega_n$  we have*

$$D_{n+1}[(\omega \rightarrow) \Rightarrow, (\omega' \rightarrow) \Rightarrow] = D_n(\omega \Rightarrow, \omega' \Rightarrow) \quad (4.2)$$

*Proof.* Necessity is clear. For sufficiency, suppose (4.2) holds. Then for every  $A, B \subseteq \Omega_n$  we have

$$\begin{aligned} D_{n+1}[(A \rightarrow) \Rightarrow, (B \rightarrow) \Rightarrow] &= \sum_{\omega \in A} \sum_{\omega' \in B} D_{n+1} D_{n+1}[(\omega \rightarrow) \Rightarrow, (\omega' \rightarrow) \Rightarrow] \\ &= \sum_{\omega \in A} \sum_{\omega' \in B} D_n(\omega \Rightarrow, \omega' \Rightarrow) = D_n(A \Rightarrow, B \Rightarrow) \end{aligned}$$

and the result follows from (4.1).  $\square$

For  $\omega = x_1x_2 \cdots x_n \in \Omega_n$  and  $y \in \mathcal{P}_{n+1}$  with  $x_n \rightarrow y$  we use the notation  $\omega y \in \Omega_{n+1}$  where  $\omega y = x_1x_2 \cdots x_ny$ . We also define  $p_c(\omega \rightarrow y) = p_c(x_n \rightarrow y)$  and write  $\omega \rightarrow y$  whenever  $x_n \rightarrow y$ .

**Theorem 4.2.** *For  $\rho_n \in \mathcal{Q}(H_n)$ ,  $\rho_{n+1} \in \mathcal{Q}(H_{n+1})$  we have that  $\rho_{n+1}$  is consistent with  $\rho_n$  if and only if for every  $\omega, \omega' \in \Omega_n$  we have*

$$\langle \rho_n e_{\omega'}^n, e_{\omega}^n \rangle = \sum_{\substack{x \in \mathcal{P}_{n+1} \\ \omega' \rightarrow x}} \sum_{\substack{y \in \mathcal{P}_{n+1} \\ \omega \rightarrow y}} p_c(\omega' \rightarrow x)^{1/2} p_c(\omega \rightarrow y)^{1/2} \langle \rho_{n+1} e_{\omega'x}^{n+1}, e_{\omega y}^{n+1} \rangle \quad (4.3)$$

*Proof.* By Lemma 4.1,  $\rho_{n+1}$  is consistent with  $\rho_n$  if and only if (4.2) holds. But

$$\begin{aligned} D_n(\omega \Rightarrow, \omega' \Rightarrow) &= \langle \rho_n \chi_{\omega' \Rightarrow}, \chi_{\omega \Rightarrow} \rangle = \langle \rho_n \chi_{\text{cyl}(\omega')}, \chi_{\text{cyl}(\omega)} \rangle \\ &= p_c^n(\omega')^{1/2} p_c^n(\omega)^{1/2} \langle \rho_n e_{\omega'}^n, e_{\omega}^n \rangle \end{aligned}$$

Moreover, we have

$$\begin{aligned} D_{n+1}[(\omega \rightarrow) \Rightarrow, (\omega' \rightarrow) \Rightarrow] &= \langle \rho_{n+1} \chi_{(\omega \rightarrow) \Rightarrow}, \chi_{(\omega' \rightarrow) \Rightarrow} \rangle \\ &= \sum_{\substack{x \in \mathcal{P}_{n+1} \\ \omega' \rightarrow x}} \sum_{\substack{y \in \mathcal{P}_{n+1} \\ \omega \rightarrow y}} \langle \rho_{n+1} \chi_{\omega'x \Rightarrow}, \chi_{\omega y \Rightarrow} \rangle \\ &= \sum_{\substack{x \in \mathcal{P}_{n+1} \\ \omega' \rightarrow x}} \sum_{\substack{y \in \mathcal{P}_{n+1} \\ \omega \rightarrow y}} \langle \rho_{n+1} \chi_{\text{cyl}(\omega'x)}, \chi_{\text{cyl}(\omega y)} \rangle \\ &= \sum_{\substack{x \in \mathcal{P}_{n+1} \\ \omega' \rightarrow x}} \sum_{\substack{y \in \mathcal{P}_{n+1} \\ \omega \rightarrow y}} p_c^n(\omega'x)^{1/2} p_c^n(\omega y)^{1/2} \langle \rho_{n+1} e_{\omega'x}^{n+1}, e_{\omega y}^{n+1} \rangle \\ &= p_c^n(\omega')^{1/2} p_c^n(\omega)^{1/2} \sum_{\substack{x \in \mathcal{P}_{n+1} \\ \omega' \rightarrow x}} \sum_{\substack{y \in \mathcal{P}_{n+1} \\ \omega \rightarrow y}} p_c(\omega' \rightarrow x) p_c(\omega \rightarrow y)^{1/2} \langle \rho_n e_{\omega'x}^{n+1}, e_{\omega y}^{n+1} \rangle \end{aligned}$$

The result now follows.  $\square$

Viewing  $H_n$  as  $L_2(\Omega_n, 2^{\Omega_n}, p_c^n)$  we can write (4.3) in the simple form

$$\langle \rho_n \chi_{\{\omega'\}}, \chi_{\{\omega\}} \rangle = \langle \rho_{n+1} \chi_{\omega' \rightarrow}, \chi_{\omega \rightarrow} \rangle \quad (4.4)$$

**Corollary 4.3.** *A sequence  $\rho_n \in \mathcal{Q}(H_n)$  is a QSGP if and only if (4.3) or (4.4) hold for every  $\omega, \omega' \in \Omega_n$ ,  $n = 1, 2, \dots$ .*

We now consider pure  $q$ -probability operators. In the following results we again view  $H_n$  as  $L_2(\Omega_n, 2^{\Omega_n}, p_c^n)$ .

**Corollary 4.4.** *If  $\rho_n \in \mathcal{Q}_p(H_n)$ ,  $\rho_{n+1} \in \mathcal{Q}_p(H_{n+1})$  with  $p_n = |\psi_n\rangle\langle\psi_n|$ ,  $\rho_{n+1} = |\psi_{n+1}\rangle\langle\psi_{n+1}|$ , then  $\rho_{n+1}$  is consistent with  $\rho_n$  if and only if for every  $\omega, \omega' \in \Omega_n$  we have*

$$\langle\psi_n, \chi_{\{\omega\}}\rangle\langle\chi_{\{\omega'\}}, \psi_n\rangle = \langle\psi_{n+1}, \chi_{\omega\rightarrow}\rangle\langle\chi_{\omega'\rightarrow}, \psi_{n+1}\rangle \quad (4.5)$$

**Corollary 4.5.** *A sequence  $|\psi_n\rangle\langle\psi_n| \in \mathcal{Q}_p(H_n)$  is a QSGP if and only if (4.5) holds for every  $\omega, \omega' \in \Omega_n$ .*

We say that  $\psi_{n+1} \in \mathcal{V}(H_{n+1})$  is *strongly consistent* with  $\psi_n \in \mathcal{V}(H_n)$  if for every  $\omega \in \Omega_n$  we have

$$\langle\psi_n, \chi_{\{\omega\}}\rangle = \langle\psi_{n+1}, \chi_{\omega\rightarrow}\rangle \quad (4.6)$$

By (4.5) strong consistency implies the consistency of the corresponding  $q$ -probability operators.

**Corollary 4.6.** *If  $\psi_{n+1} \in \mathcal{V}(H_{n+1})$  is strongly consistent with  $\psi_n \in \mathcal{V}(H_n)$ ,  $n = 1, 2, \dots$ , then  $|\psi_n\rangle\langle\psi_n| \in \mathcal{Q}_p(H_n)$  is a QSGP.*

**Lemma 4.7.** *If  $\psi_n \in \mathcal{V}(H_n)$  and  $\psi_{n+1} \in H_{n+1}$  satisfies (4.6) for every  $\omega \in \Omega_n$ , then  $\psi_{n+1} \in \mathcal{V}(H_{n+1})$ .*

*Proof.* Since  $\psi_n \in \mathcal{V}(H_n)$  we have by (4.6) that

$$\begin{aligned} |\langle\psi_{n+1}, 1\rangle| &= \left| \sum_{\omega \in \Omega_n} \langle\psi_{n+1}, \chi_{\omega\rightarrow}\rangle \right| = \left| \sum_{\omega \in \Omega_n} \langle\psi_n, \chi_{\{\omega\}}\rangle \right| \\ &= |\langle\psi_n, 1\rangle| = 1 \end{aligned}$$

The result now follows.  $\square$

**Corollary 4.8.** *If  $\|\psi_1\| = 1$  and  $\psi_n \in H_n$  satisfies (4.6) for all  $\omega \in \Omega_n$ ,  $n = 1, 2, \dots$ , then  $|\psi_n\rangle\langle\psi_n|$  is a QSGP.*

*Proof.* Since  $\|\psi_1\| = 1$ , it follows that  $\psi_1 \in \mathcal{V}(H_1)$ . By Lemma 4.7,  $\psi_n \in \mathcal{V}(H_n)$ ,  $n = 1, 2, \dots$ . Since (4.6) holds, the result follows from Corollary 4.6.  $\square$

Another way of writing (4.6) is

$$\sum_{\omega \rightarrow x} p_c^{n+1}(\omega x) \psi_{n+1}(\omega x) = p_c^n(\omega) \psi_n(x) \quad (4.7)$$

for every  $\omega \in \Omega_n$ .

## 5 Discrete Quantum Gravity Models

This section gives some examples of QSGP that can serve as models for discrete quantum gravity. The simplest way to construct a QSGP is to form the constant pure DQP  $\rho_n = |1\rangle\langle 1|$ ,  $n = 1, 2, \dots$ . To show that  $\rho_n$  is indeed consistent, we have for  $\omega \in \Omega_n$  that

$$\sum_{\omega \rightarrow x} p_c^{n+1}(\omega x) = \sum_{\omega \rightarrow x} p_c^n(\omega) p_c(\omega \rightarrow x) = p_c^n(\omega) \sum_{\omega \rightarrow x} p_c(\omega \rightarrow x) = p_c^n(\omega)$$

so consistency follows from (4.7). The corresponding  $q$ -measures are given by

$$\mu_n(A) = |\langle 1, \chi_A \rangle|^2 = p_c^n(A)^2$$

for every  $A \in \mathcal{A}_n$ . Hence,  $\mu_n$  is the square of the classical measure. Of course,  $|1\rangle\langle 1|$  is the global  $q$ -probability operator for this QSGP and in this case  $\mathcal{S}(\rho_n) = \mathcal{A}$ . Moreover, we have the global  $q$ -measure  $\mu(A) = \nu_c(A)^2$  for  $A \in \mathcal{A}$ .

Another simple way to construct a QSGP is to employ Corollary 4.8. In this way we can let  $\psi_1 = 1$ ,  $\psi_2$  any vector in  $L_2(\Omega_2, 2^{\Omega_2}, p_c^2)$  satisfying

$$\langle \psi_2, \chi_{\{x_1 x_2\}} \rangle + \langle \psi_2, \chi_{\{x_1 x_3\}} \rangle = \langle \psi_1, \chi_{\{x_1\}} \rangle = 1$$

and so on, where  $x_1, x_2, x_3$  are given in Figure 2. As a concrete example, let  $\psi_1 = 1$ ,

$$\psi_2 = \frac{1}{2} [p_c^2(x_1 x_2)^{-1} \chi_{\{x_1 x_2\}} + p_c^2(x_1 x_3) \chi_{\{x_1 x_3\}}]$$

and in general

$$\psi_n = \frac{1}{|\Omega_n|} \sum_{\omega \in \Omega_n} p_c^n(\omega)^{-1} \chi_{\{\omega\}}$$

The  $q$ -measure  $\mu_1$  is  $\mu_1(\{x_1\}) = 1$  and  $\mu_2$  is given by

$$\begin{aligned} \mu_2(\{x_1 x_2\}) &= |\langle \psi_2, \chi_{\{x_1 x_2\}} \rangle|^2 = \frac{1}{4} \\ \mu_2(\{x_1 x_3\}) &= |\langle \psi_2, \chi_{\{x_1 x_3\}} \rangle|^2 = \frac{1}{4} \\ \mu_2(\Omega_2) &= |\langle \psi_2, 1 \rangle|^2 = 1 \end{aligned}$$

In general, we have  $\mu_n(A) = |A|^2 / |\Omega_n|^2$  for all  $A \in \Omega_n$ . Thus  $\mu_n$  is the square of the uniform distribution. The global operator does not exist because there is no  $q$ -measure on  $\mathcal{A}$  that extends  $\mu_n$  for all  $n \in \mathbb{N}$ . For  $A \in \mathcal{A}$  we have

$$\langle \psi_n, \chi_A \rangle = \int \psi_n \chi_A d\nu_c = \frac{|A \cap \{\text{cyl}(\omega) : \omega \in \Omega_n\}|}{|\Omega_n|}$$

Letting  $\rho_n = |\psi_n\rangle\langle\psi_n|$  we conclude that  $A \in \mathcal{S}(\rho_n)$  if and only if

$$\lim_{n \rightarrow \infty} \frac{|A \cap \{\text{cyl}(\omega) : \omega \in \Omega_n\}|}{|\Omega_n|}$$

exists. For example, if  $|A| < \infty$  then for  $n$  sufficiently large we have

$$|A \cap \{\text{cyl}(\omega) : \omega \in \Omega_n\}| = |A|$$

so  $A \in \mathcal{S}(\rho_n)$  and  $\mu(A) = 0$ . In a similar way if  $|A| < \infty$  then for the complement  $A'$ , if  $n$  is sufficiently large we have

$$|A' \cap \{\text{cyl}(\omega) : \omega \in \Omega_n\}| = |\Omega_n| - |A|$$

so  $A' \in \mathcal{S}(\rho_n)$  with  $\mu(A') = 1$ .

We now present another method for constructing a QSGP. Unlike the previous method this DQP is not pure. Let  $\alpha_\omega \in \mathbb{C}$ ,  $\omega \in \Omega_n$  satisfy

$$\left| \sum_{\omega \in \Omega_n} \alpha_\omega p_c^n(\omega)^{1/2} \right| = 1 \quad (5.1)$$

and let  $\rho_n$  be the operator on  $H_n$  satisfying

$$\langle \rho_n e_\omega^n, e_{\omega'}^n \rangle = \alpha_{\omega'} \overline{\alpha_\omega} \quad (5.2)$$

Then  $\rho_n$  is a positive operator and by (5.1), (5.2) we have

$$\begin{aligned} \langle \rho_n 1, 1 \rangle &= \left\langle \rho_n \sum_{\omega} p_c^n(\omega)^{1/2} e_\omega^n, \sum_{\omega'} p_c^n(\omega')^{1/2} e_{\omega'}^n \right\rangle \\ &= \sum_{\omega, \omega'} p_c^n(\omega)^{1/2} p_c^n(\omega')^{1/2} \langle \rho_n e_\omega^n, e_{\omega'}^n \rangle \\ &= \left| \sum_{\omega} p_c^n(\omega)^{1/2} \alpha_\omega \right|^2 = 1 \end{aligned}$$

Hence,  $\rho_n \in \mathcal{Q}(H_n)$ . Now

$$\Omega_{n+1} = \{\omega x : \omega \in \Omega_n, x \in \mathcal{P}_{n+1}, \omega \rightarrow x\}$$

and for each  $\omega x \in \Omega_{n+1}$ , let  $\beta_{\omega x} \in \mathbb{C}$  satisfy

$$\left| \sum_{\omega x \in \Omega_{n+1}} \beta_{\omega x} p_c^{n+1}(\omega x)^{1/2} \right| = 1$$

Let  $\rho_{n+1}$  be the operator on  $H_{n+1}$  satisfying

$$\langle \rho_{n+1} e_{\omega x}^{n+1}, e_{\omega' x'}^{n+1} \rangle = \beta_{\omega' x'} \overline{\beta_{\omega x}} \quad (5.3)$$

As before, we have that  $\rho_{n+1} \in \mathcal{Q}(H_{n+1})$ . The next result follows from Theorem 4.2.

**Theorem 5.1.** *The operator  $\rho_{n+1}$  is consistent with  $\rho_n$  if and only if for every  $\omega, \omega' \in \Omega_n$  we have*

$$\alpha_{\omega'} \overline{\alpha_{\omega}} = \sum_{\substack{x' \in \mathcal{P}_{n+1} \\ \omega' \rightarrow x'}} \beta_{\omega' x'} p_c(\omega' \rightarrow x')^{1/2} \sum_{\substack{x \in \mathcal{P}_{n+1} \\ \omega \rightarrow x}} \overline{\beta_{\omega x}} p_c(\omega \rightarrow x)^{1/2} \quad (5.4)$$

A sufficient condition for (5.4) to hold is

$$\sum_{\substack{x \in \mathcal{P}_{n+1} \\ \omega \rightarrow x}} \beta_{\omega x} p_c(\omega \rightarrow x)^{1/2} = \alpha_{\omega} \quad (5.5)$$

The proof of the next result is similar to the proof of Lemma 4.7.

**Lemma 5.2.** *Let  $\rho_n \in \mathcal{Q}(H_n)$  be defined by (5.2) and let  $\rho_{n+1}$  be the operator on  $H_{n+1}$  defined by (5.3). If (5.5) holds, then  $\rho_{n+1} \in \mathcal{Q}(H_{n+1})$  and  $\rho_{n+1}$  is consistent with  $\rho_n$ .*

The next result gives the general construction.

**Corollary 5.3.** *Let  $\rho_1 = I \in \mathcal{Q}(H_1)$  and define  $\rho_n \in \mathcal{Q}(H_n)$  inductively by (5.3). Then  $\rho_n$  is a QSGP.*

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