

CATEGORICAL SKEW LATTICES

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ABSTRACT. Categorical skew lattices are a variety of skew lattices on which the natural partial order is especially well behaved. While most skew lattices of interest are categorical, not all are. They are characterized by a countable family of forbidden subalgebras. We also consider the subclass of strictly categorical skew lattices.

1. INTRODUCTION AND BACKGROUND

A *skew lattice* is an algebra $\mathbf{S} = (S; \vee, \wedge)$ where \vee and \wedge are associative, idempotent binary operations satisfying the absorption identities

$$x \wedge (x \vee y) = x = (y \vee x) \wedge x \quad \text{and} \quad x \vee (x \wedge y) = x = (y \wedge x) \vee x. \quad (1.1)$$

Given that \vee and \wedge are associative and idempotent, (1.1) is equivalent to the dualities:

$$x \wedge y = x \quad \text{iff} \quad x \vee y = y \quad \text{and} \quad x \wedge y = y \quad \text{iff} \quad x \vee y = x. \quad (1.2)$$

Every skew lattice has a *natural preorder* defined by

$$x \succeq y \quad \Leftrightarrow \quad x \vee y \vee x = x \quad \text{or equivalently} \quad y \wedge x \wedge y = y. \quad (1.3)$$

This preorder is refined by the *natural partial order* defined by

$$x \geq y \quad \Leftrightarrow \quad x \vee y = x = y \vee x \quad \text{or equivalently} \quad x \wedge y = y = y \wedge x. \quad (1.4)$$

In what follows, any mentioned preordering or partial ordering of a skew lattice is assumed to be natural. Of course $x > y$ means $x \geq y$ but $x \neq y$; likewise, $x \succ y$ means $x \succeq y$ but not $y \succeq x$.

Every skew lattice is *regular* in that the identity $x \circ y \circ x \circ z \circ x = x \circ y \circ z \circ x$ holds for both $\circ = \vee$ and $\circ = \wedge$ (see [8, Theorem 1.15] or [12, Theorem 1.11]). As a consequence, one quickly gets:

$$x \vee y \vee x' \vee z \vee x'' = x \vee y \vee z \vee x'' \quad \text{if} \quad x' \preceq x, x'' \quad (1.5a)$$

and

$$x \wedge y \wedge x' \wedge z \wedge x'' = x \wedge y \wedge z \wedge x'' \quad \text{if} \quad x' \succeq x, x''. \quad (1.5b)$$

In any lattice, \geq and \succeq are identical, with \vee and \wedge determined by $s \vee y = \sup\{x, y\}$ and $x \wedge y = \inf\{x, y\}$. For skew lattices, the situation is more complicated. To see what happens, we must first recall several fundamental aspects of skew lattices. The preorder \succeq induces a natural equivalence \mathcal{D} defined by $x \mathcal{D} y$ if $x \succeq y \succeq x$. This is one of three *Green's relations* defined by:

$$x \mathcal{R} y \Leftrightarrow (x \wedge y = y \ \& \ y \wedge x = x) \Leftrightarrow (x \vee y = x \ \& \ y \vee x = y). \quad (\mathcal{R})$$

$$x \mathcal{L} y \Leftrightarrow (x \wedge y = x \ \& \ y \wedge x = y) \Leftrightarrow (x \vee y = y \ \& \ y \vee x = x). \quad (\mathcal{L})$$

$$x \mathcal{D} y \Leftrightarrow (x \wedge y \wedge x = x \ \& \ y \wedge x \wedge y = y) \Leftrightarrow (x \vee y \vee x = x \ \& \ y \vee x \vee y = y). \quad (\mathcal{D})$$

\mathcal{R} , \mathcal{L} and \mathcal{D} are congruences on any skew lattice, with $\mathcal{L} \vee \mathcal{R} = \mathcal{L} \circ \mathcal{R} = \mathcal{R} \circ \mathcal{L} = \mathcal{D}$ and $\mathcal{L} \cap \mathcal{R} = \Delta$, the identity equivalence. Their congruence classes (called \mathcal{R} -classes, \mathcal{L} -classes or \mathcal{D} -classes) are all rectangular subalgebras. (A skew lattice is *rectangular* if $x \wedge y \wedge x = x = x \wedge y \wedge x$, or equivalently,

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$x \wedge y = y \vee x$ holds. These are precisely the anti-commutative skew lattices in that $x \wedge y = y \wedge x$ or $x \vee y = y \vee x$ imply $x = y$. See [8, §1] or recently, [7, §1].) The Green's congruence classes of an element x are denoted, respectively, by \mathcal{R}_x , \mathcal{L}_x or \mathcal{D}_x .

The First Decomposition Theorem for Skew Lattices [8, Theorem 1.7] states: *Given a skew lattice \mathbf{S} , each \mathcal{D} -class is a maximal rectangular subalgebra of \mathbf{S} and \mathbf{S}/\mathcal{D} is the maximal lattice image of \mathbf{S} .* In brief, *every skew lattice is a lattice of rectangular [anticommutative] subalgebras* in that it looks roughly like a lattice whose points are rectangular skew lattices. Clearly $x \succeq y$ in \mathbf{S} if and only if $D_x \geq D_y$ in

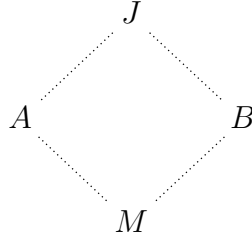


FIGURE 1. A , B , J , & M are maximal rectangular subalgebras

the lattice \mathbf{S}/\mathcal{D} where D_x and D_y are the \mathcal{D} -classes of x and y , respectively. Given $a \in A$ and $b \in B$ for \mathcal{D} -classes A and B , $a \vee b$ just lie in their join \mathcal{D} -class J ; similarly $a \wedge b$ must lie in their meet \mathcal{D} -class M .

Our interest in this paper is in **skew chains** that consist of totally ordered families of \mathcal{D} -classes: $A > B > \dots > X$. As a (sub-)skew lattice, a skew chain T is **totally preordered**: given $x, y \in T$, either $x \preceq y$ or $y \preceq x$. Of special interest are skew chains of length 1 ($A > B$) called **primitive skew lattices**, and skew chains of length 2 ($A > B > C$) that occur in skew lattices.

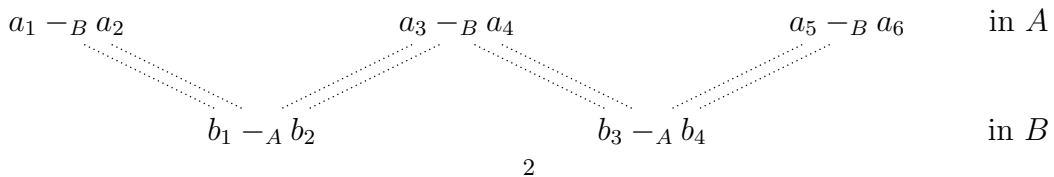
Given a primitive skew lattice with \mathcal{D} -class structure $A > B$, an **A-coset in B** is any subset of B of the form

$$A \wedge b \wedge A = \{a \wedge b \wedge a' \mid a, a' \in A\} = \{a \wedge b \wedge a \mid a \in A\}$$

for some $b \in B$. (The second equality follows from (1.5b).) Any two A -cosets in B are either identical or else disjoint. Since b must lie in $A \wedge b \wedge A$ for all $b \in B$, *the A -cosets in B form a partition of B* . Dually a **B-coset in A** is a subset of A of the form

$$B \vee a \vee B = \{b \vee a \vee b' \mid b, b' \in B\} = \{b \vee a \vee b \mid b \in B\}$$

for some $a \in A$. Again, the B -cosets in A partition A . Given a B -coset X in A and an A -coset Y in B , the natural partial ordering induces a **coset bijection** $\varphi : X \rightarrow Y$ given by $\varphi(a) = b$ for $a \in X$ and $b \in Y$ if and only if $a > b$, in which case $b = \varphi(a) = a \wedge y \wedge a$ for *all* $y \in Y$ and $a = \varphi^{-1}(b) = b \vee x \vee b$ for *all* $x \in X$. Cosets are rectangular subalgebras of their \mathcal{D} -classes; moreover, all coset bijections are isomorphisms between these subalgebras. *All A -cosets in B and all B -cosets in A thus share a common size and structure.* If $a, a' \in A$ lie in a common B -coset, we denote this by $a -_B a'$; likewise $b -_A b'$ in B if b and b' lie in a common A -coset. This is illustrated in the partial configuration below where $\dot{\cdot}$ and $\dot{\cdot}$ indicate $>$ between a 's and b 's. (The coset bijections from $\{a_1, a_2\}$ to $\{b_3, b_4\}$ and from $\{a_5, a_6\}$ to $\{b_1, b_2\}$ are not shown.)



Cosets and their bijections determine \vee and \wedge in this situation. Given $a \in A$ and $b \in B$:

$$a \vee b = a \vee a' \text{ and } b \vee a = a' \vee a \text{ in } A \text{ where } a' -_B a \text{ is such that } a' \geq b. \quad (1.6a)$$

$$a \wedge b = b' \wedge b \text{ and } b \wedge a = b \wedge b' \text{ in } B \text{ where } b' -_A b \text{ is such that } a \geq b. \quad (1.6b)$$

(See [11, Lemma 1.3].) This explains how \geq determines \vee and \wedge in the primitive case. How this is extended to the general case where A and B are incomparable \mathcal{D} -classes is explained in [11, §3]; see also [12].

This paper focuses on skew chains of \mathcal{D} -classes $A > B > C$ in a skew lattice and their three primitive subalgebras: $A > B$, $B > C$ and $A > C$. Viewing coset bijections as partial bijections between the relevant \mathcal{D} -classes one may ask: *is the composite $\psi\varphi$ of coset bijections $\varphi : A \rightarrow B$ and $\psi : B \rightarrow C$, if nonempty, a coset bijection from A to C ?* If the answer is always yes, the skew chain is called **category**. (Since including identity maps on \mathcal{D} -classes and empty partial bijections if needed creates a category with \mathcal{D} -classes for objects, coset bijections for morphisms and composition being that of partial bijections.) If this occurs for all skew chains in a skew lattice \mathbf{S} , then \mathbf{S} is categorical. If such compositions are also always nonempty, the skew chain [skew lattice] is **strictly category**.

Both category and strictly category skew lattices form varieties. (See [11, Theorem 3.16] and Corollary 4.3 below.) We will see that distributive skew lattices are category, and in particular skew lattices in rings are category. All skew Boolean algebras [10] are strictly category. Category skew lattices were introduced in [11]. Here we take an alternatively approach.

In all this, individual ordered pairs $a > b$ are bundled to form coset bijections. We first look at how this “bundling” process (parallelism) extends from the $A - B$ and $B - C$ settings to the $A - C$ settings in the next section.

2. PARALLEL ORDERED PAIRS

Suppose $A > B$ is a (primitive) skew chain and $\varphi : X \rightarrow Y$ is a fixed coset bijection where X is a B -coset in A and Y is an A -coset in B . Viewing the function φ as a binary relation, let us momentarily identify it with the set of strictly ordered pairs $a > b$ where $a \in X$, $b \in Y$ are such that $\varphi(a) = b$. Suppose $a > b$ and $a' > b'$ are two such pairs. Since $b' = \varphi(a') = a' \wedge y \wedge a'$ for all $y \in Y$, we certainly have $b' = a' \wedge b \wedge a$ and similarly $b = a \wedge b' \wedge a$. Since $a' = \varphi^{-1}(b') = b' \vee x \vee b'$ for all $x \in X$, we have $a' = b' \vee a \vee b'$ and similarly $a = b \vee a' \vee b$. These observations motivate the following definition.

Strictly ordered pairs $a > b$ and $a' > b'$ in a skew lattice \mathbf{S} are said to be **parallel**, denoted $a > b // a' > b'$, if $a \mathcal{D} a'$, $b \mathcal{D} b'$, $a' = b' \vee a \vee b'$ and $b' = a' \wedge b \wedge a'$. In this case, (1.5a) and (1.5b) imply that $a = b \vee a' \vee b$ and $b = a \wedge b' \wedge a$ also, so that the concept is symmetric with respect to both inequalities. In fact, the two pairs are parallel precisely when both lie in a common coset bijection φ , when considered to be a binary relation. Indeed, $a > b // a' > b'$ implies that both a and a' share a common \mathcal{D}_b -coset in \mathcal{D}_a , and b and b' share a common \mathcal{D}_a -coset in \mathcal{D}_b , making both pairs belong to a common φ . Conversely, if $a > b$ and $a' > b'$ lie in a common coset bijections so that a, a' share a \mathcal{D}_b -coset in \mathcal{D}_a and b, b' share a \mathcal{D}_a -coset in \mathcal{D}_b , then $a' = b' \vee a \vee b'$ and $b' = a' \wedge b \wedge a'$ must follow so that $a > b // a' > b'$. Thus:

Proposition 2.1. *Parallelism is an equivalence relation on the set of all partially ordered pairs $a > b$ in a skew lattice \mathbf{S} , the equivalence classes of which form coset bijections when the latter are viewed as binary relations. Moreover:*

- i) *If $a > b // a' > b'$, then $a = a'$ if and only if $b = b'$;*
- ii) *If $a > b // a' > b'$ and $b > c // b' > c'$, then $a > c // a' > c'$;*
- iii) *Given just $a \succ b$, then $a > a \wedge b \wedge a // b \vee a \vee b > b$.*

Proof. The first claim is routine, and (i)-(iii) follow from basic properties of coset bijections: their being bijections indeed, their composition and their connections to their particular cosets of relevance. \square

Now we return to the point of view that for a skew chain $A > B$, a coset bijection $\varphi : X \rightarrow Y$, $X \subseteq A$, $Y \subseteq B$, is a partial bijection $\varphi : A \rightarrow B$ of the \mathcal{D} -classes. Let $A > B > C$ be a 3-term skew chain and suppose $\varphi : A \rightarrow B$ and $\psi : B \rightarrow C$ are coset (partial) bijections. Suppose that the composite partial bijection $\psi \circ \varphi : A \rightarrow C$ is nonempty, say $a > b > c$ with $b = \varphi(a)$ and $c = \psi(b)$. Then there is a uniquely determined partial bijection $\chi : A \rightarrow C$ defined on its coset domain by $\chi(u) = u \wedge c \wedge u$ such that $\psi \circ \varphi \subseteq \chi$. Later we shall see instances where the inclusion is proper. We are interested in characterizing equality.

In terms of parallelism and the fixed triple $a > b > c$, the situation we have described so far is that if $a > b // a' > b'$ and $b > c // b' > c'$, then $a > c // a' > c'$. We see that $\chi = \psi \circ \varphi$ precisely when the converse holds, that is, if $a > c // a' > c'$, then there exists a (necessarily) unique $b' \in B$ such that $a > b // a' > b'$ and $b > c // b' > c'$. In particular, b' must equal both $a' \wedge b \wedge a'$ and $c' \vee b \vee c'$. This gives the following Hasse configuration of parallel pairs.

$$\begin{array}{ccc}
a & \text{---} & a' = c' \vee a \vee c' = b' \vee a \vee b' \\
\vdots & & \vdots \\
b & \text{---} & b' = c' \vee b \vee c' = a' \wedge b \wedge a' \\
\vdots & & \vdots \\
c & \text{---} & c' = a' \wedge c \wedge a' = b' \wedge c \wedge b'
\end{array} \tag{2.1}$$

Now considering this for all possible coset bijections in a skew lattice, we obtain the following characterization.

Proposition 2.2. *A skew lattice \mathbf{S} is categorical if and only if, given $a > b > c$ with $a > c // a' > c'$, there exists a unique $b' \in S$ such that $a > b // a' > b'$ and $b > c // b' > c'$.*

Theorem 2.3. *For a skew lattice \mathbf{S} , the following are equivalent.*

- i) \mathbf{S} is categorical;
- ii) For all $x, y, z \in S$,

$$x \geq y \succeq z \quad \Rightarrow \quad x \wedge (z \vee y \vee z) \wedge x = (x \wedge z \wedge x) \vee y \vee (x \wedge z \wedge x); \tag{2.2}$$

- iii) For all $x, y, z \in S$,

$$x \succeq y \geq z \quad \Rightarrow \quad z \vee (x \wedge y \wedge x) \vee z = (z \vee x \vee z) \wedge y \wedge (z \vee x \vee z). \tag{2.3}$$

Proof. Assume (i) holds and let $a \geq b \succeq c$ be given. If $a = b$ or if $b \mathcal{D} c$, then their insertion into (2.2) produces a trivial identity. Thus we may assume the comparisons to be strict: $a > b \succ c$. Proposition 2.1(iii) gives $a > a \wedge c \wedge a // c \vee a \vee c > c$. Since $c \vee a \vee c > c \vee b \vee c > c$, (2.1) gives

$$a \wedge (c \vee b \vee c \wedge a) = (a \wedge c \wedge a) \vee c \vee b \vee c \vee (a \wedge c \wedge a).$$

From $c \mathcal{D} a \wedge c \wedge a$, (1.5a) reduces the right side to $(a \wedge c \wedge a) \vee b \vee (a \wedge c \wedge a)$ and so (2.2) holds. We have established (i) \Rightarrow (ii).

Conversely assume that (ii) holds, and let both $a > c // a' > c'$ and $a > b > c$. Since $b > c \mathcal{D} c'$, $b \succ c'$. Thus $a > b \succ c'$, and so by (2.2),

$$a \wedge (c' \vee b \vee c') \wedge a = (a \wedge c' \wedge a) \vee b \vee (a \wedge c' \wedge a) = c \vee b \vee c = b,$$

since $a > b$ and $a \wedge c' \wedge a = c$. Taking two-sided meets with a' gives

$$\begin{aligned}
a' \wedge b \wedge a' &= a' \wedge a \wedge (c' \vee b \vee c') \wedge a \wedge a' \\
&= a' \wedge a \wedge a' \wedge (c' \vee b \vee c') \wedge a' \wedge a \wedge a' && \text{(by regularity)} \\
&= a' \wedge (c' \vee b \vee c') \wedge a' && \text{(since } a \mathcal{D} a') \\
&= (c' \vee a \vee c') \wedge (c' \vee b \vee c') \wedge (c' \vee a \vee c') && \text{(since } a' > c') \\
&= (c' \vee a \vee b \vee c') \wedge (c' \vee b \vee c') \wedge (c' \vee b \vee a \vee c') && \text{(by (1.5a))} \\
&= (c' \vee a \vee c' \vee b \vee c') \wedge (c' \vee b \vee c') \wedge (c' \vee b \vee c' \vee a \vee c') && \text{(by (1.5a))} \\
&= c' \vee b \vee c && \text{(by (1.1)).}
\end{aligned}$$

Thus (2.1) holds and \mathbf{S} is categorical.

We have established (i) \Leftrightarrow (ii). The proof of (i) \Leftrightarrow (iii) is dual to this, exchanging \wedge and \vee as needed. \square

Next we will show that categorical skew lattices form a variety by giving characterizing identities. This was already done in [11, Theorem 3.16], but the identity given there is rather long. Here we give two new ones, the first being the shortest we know and the second exhibiting a certain amount of symmetry in the variables. First we recall more basic notions.

A skew lattice is **right-handed** [respectively, **left-handed**] if it satisfies the identities

$$x \wedge y \wedge x = y \wedge x \quad \text{and} \quad x \vee y \vee x = x \vee y. \quad (2.4a)$$

$$[x \wedge y \wedge x = x \wedge y \quad \text{and} \quad x \vee y \vee x = y \vee x]. \quad (2.4b)$$

Equivalently, $x \wedge y = y$ and $x \vee y = x$ [$x \wedge y = x$ and $x \vee y = y$] hold in each \mathcal{D} -class, thus reducing \mathcal{D} to \mathcal{R} [or \mathcal{L}]. Useful right- and left-handed variants of (2.4a) and (2.4b) are

$$x \succeq x' \quad \Rightarrow \quad x \wedge y \wedge x' = y \wedge x' \quad \text{and} \quad x \vee y \vee x' = x \vee y; \quad (2.5a)$$

$$x \succeq x' \quad \Rightarrow \quad x' \wedge y \wedge x = x' \wedge y \quad \text{and} \quad x' \vee y \vee x = y \vee x'; \quad (2.5b)$$

The *Second Decomposition Theorem* [8, Theorem 1.15] states that *given any skew lattice \mathbf{S} , \mathbf{S}/\mathcal{R} and \mathbf{S}/\mathcal{L} are its respective maximal left- and right-handed images, and \mathbf{S} is isomorphic to their fibred product (pullback) $\mathbf{S}/\mathcal{R} \times_{\mathbf{S}/\mathcal{D}} \mathbf{S}/\mathcal{L}$ over their maximal lattice image under the map $x \mapsto (\mathcal{R}_x, \mathcal{L}_x)$. Thus a skew lattice \mathbf{S} belongs to a variety \mathcal{V} of skew lattices if and only if both \mathbf{S}/\mathcal{R} and \mathbf{S}/\mathcal{L} do.* (See also [5, 12].)

Theorem 2.4. *Let \mathbf{S} be a skew lattice. The following are equivalent.*

i) \mathbf{S} is categorical.

ii) For all $x, y, z \in S$,

$$x \wedge [(x \wedge y \wedge z \wedge y \wedge x) \vee y \vee (x \wedge y \wedge z \wedge y \wedge x)] \wedge x = x \wedge y \wedge x. \quad (2.6)$$

iii) For all $x, y, z \in S$,

$$x \wedge [(x \wedge z \wedge x) \vee y \vee (x \wedge z \wedge x)] \wedge x = x \wedge [(z \wedge x \wedge z) \vee y \vee (z \wedge x \wedge z)] \wedge x. \quad (2.7)$$

Proof. Assume first that \mathbf{S} is a left-handed categorical skew lattice. Suppose (i) holds. By Theorem 2.3, \mathbf{S} satisfies the left-handed version of (??):

$$x \geq y \succeq z \quad \Rightarrow \quad x \wedge (y \vee z) = y \vee (x \wedge z). \quad (2.8)$$

Note that $x \vee y \geq y \succeq (y \vee x) \wedge y \wedge z$. We may thus apply (2.8). The right side becomes

$$y \vee [(x \vee y) \wedge (y \vee x) \wedge y \wedge z] = y \vee [(x \vee y) \wedge y \wedge z] = y \vee [y \wedge z] = y,$$

using left-handedness and absorption. Therefore the identity

$$(x \vee y) \wedge [y \vee ((y \vee x) \wedge y \wedge z)] = y \quad (2.9)$$

holds. Taking the meet of both sides on the left with x , we get

$$x \wedge [y \vee ((y \vee x) \wedge y \wedge z)] = x \wedge y. \quad (2.10)$$

Now replace y with $y \wedge x$. The left side of (2.10) becomes

$$x \wedge [(y \wedge x) \vee (((y \wedge x) \vee x) \wedge y \wedge z)] = x \wedge [(y \wedge x) \vee (x \wedge y \wedge z)],$$

and the right side becomes $x \wedge y \wedge x = x \wedge y$. Thus we have the identity

$$x \wedge [(y \wedge x) \vee (x \wedge y \wedge z)] = x \wedge y. \quad (2.11)$$

Now meet both sides of (2.11) on the left with $x \wedge (y \vee (x \wedge y \wedge z))$. On the right side, we get

$$x \wedge (y \vee (x \wedge y \wedge z)) \wedge x \wedge y = x \wedge (y \vee (x \wedge y \wedge z)) \wedge y = x \wedge (y \vee (x \wedge y \wedge z)),$$

since $y = y \vee y \succeq y \vee (x \wedge y \wedge z)$. The left side becomes

$$\begin{aligned} & x \wedge [y \vee (x \wedge y \wedge z)] \wedge x \wedge [(y \wedge x) \vee (x \wedge y \wedge z)] \\ &= x \wedge [y \vee (y \wedge x) \vee (x \wedge y \wedge z)] \wedge [(y \wedge x) \vee (x \wedge y \wedge z)] \\ &= x \wedge [(y \wedge x) \vee (x \wedge y \wedge z)] \\ &= x \wedge y, \end{aligned}$$

where the last step is an application of (2.11). Thus we have established

$$x \wedge (y \vee (x \wedge y \wedge z)) = x \wedge y, \quad (2.12)$$

which is the left-handed version of (2.6). This proves (i) \Rightarrow (ii) for all left-handed skew lattices.

Continuing to assume \mathbf{S} is left-handed, suppose (ii) holds. Replace y with $y \vee z$ in (2.12). On the left side, we obtain

$$x \wedge (y \vee z \vee (x \wedge (y \vee z) \wedge z)) = x \wedge (y \vee z \vee (x \wedge z)).$$

On the right side, we get $x \wedge (y \vee z)$, and so we have

$$x \wedge (y \vee z \vee (x \wedge z)) = x \wedge (y \vee z). \quad (2.13)$$

Now in (2.13), replace z with $z \wedge x$. On the left side, we get

$$x \wedge (y \vee (z \wedge x) \vee (x \wedge z \wedge x)) = x \wedge (y \vee (z \wedge x \wedge z) \vee (x \wedge z)) = x \wedge (y \vee (x \wedge z)).$$

On the right side, we get $x \wedge (y \vee (z \wedge x))$, and thus we obtain the identity

$$x \wedge (y \vee (x \wedge z)) = x \wedge (y \vee (z \wedge x)), \quad (2.14)$$

which is the left-handed version of (2.7). This proves (ii) \Rightarrow (iii) in left-handed skew lattices.

Still assuming \mathbf{S} is left-handed, suppose (iii) holds. Fix $a, b, c \in S$ satisfying $a \geq b \succeq c$. Then

$$\begin{aligned} a \wedge (b \vee c) &= a \wedge (b \vee (c \wedge a)) && \text{(since } a \succeq c) \\ &= a \wedge (b \vee (a \wedge c)) && \text{(by (2.14))} \\ &= (a \vee (a \wedge c)) \wedge (b \vee (a \wedge c)) \\ &= (a \vee b \vee (a \wedge c)) \wedge (b \vee (a \wedge c)) && \text{(since } a \geq b) \\ &= b \vee (a \wedge c). \end{aligned}$$

Thus (2.8) holds and so by Theorem 2.3, \mathbf{S} is categorical. This proves (iii) \Rightarrow (i) for left-handed skew lattices.

In general, if \mathbf{S} is a skew lattice, then conditions (i), (ii) and (iii) are equivalent for the maximal left-handed image \mathbf{S}/\mathcal{R} . The left-right (horizontal) dual of the whole argument implies that the same is true for \mathbf{S}/\mathcal{L} . It follows that (i), (ii) and (iii) are equivalent for \mathbf{S} itself. \square

Corollary 2.5. *Categorical skew lattices form a variety.*

Of course, categorical skew lattices are also characterized by the $\vee - \wedge$ duals of (2.6) and (2.7). Recall that a skew lattice is **distributive** if the following dual pair of identities holds:

$$x \wedge (y \vee z) \wedge x = (x \wedge y \wedge x) \vee (x \wedge z \wedge x), \quad (2.15)$$

$$x \vee (y \wedge z) \vee x = (x \vee y \vee x) \wedge (x \vee z \vee x). \quad (2.16)$$

Many important classes of skew lattices are distributive, in particular, skew lattices in rings and skew Boolean algebras [1, 2, 3, 4, 8, 10, 13, 15]. Since (2.15) implies (2.2), we have:

Corollary 2.6. *Distributive skew lattices are categorical.*

3. FORBIDDEN SUBALGEBRAS

Clearly what occurs in the middle class of a 3-term skew chain $A > B > C$ is significant. Two elements $b, b' \in B$ are **AC-connected** if a finite sequence $b = b_0, b_1, \dots, b_n = b'$ in B exists such that $b_i -_A b_{i+1}$ or $b_i -_C b_{i+1}$ for all $i \leq n-1$. A maximally AC-connected subset of B is an **AC-component** of B (or just **component** if the context is clear). Given a component B' in the middle class B , a sub-skew chain is given by $A > B' > C$. Indeed, if A_1 and C_1 are B -cosets in A and C respectively, then $A_1 > B' > C_1$ is an even smaller sub-skew chain.

Furthermore, let X denote an A -coset in B (thus $X = A \wedge b \wedge A$ for any $b \in X$) and let Y denote a C -coset in B (thus $Y = C \vee b \vee C$ for any $b \in Y$). If $X \cap Y \neq \emptyset$, it is called an **AC-coset** in B . When \mathbf{S} is categorical, $(X \cap Y) \vee a \vee (X \cap Y)$ is a C -coset in A and dually, $(X \cap Y) \wedge c \wedge (X \cap Y)$ is an A -coset in C for all $a \in A, c \in C$. Conversely, when \mathbf{S} is categorical, given a C -coset U in A , for all $b \in B$, $U \wedge b \wedge U$ is an AC-coset in B ; likewise given any A -coset V in C , $V \vee b \vee V$ is an AC-coset in B for all $b \in B$. In both cases we get the unique AC-coset in B containing b . An extended discussion of these matters occurs in [14, §2].

We start our characterization of categorical skew lattices in terms of forbidden subalgebras with a relevant lemma.

Lemma 3.1. *Let $A > B > C$ be a left-handed skew chain with $a > c // a' > c'$ where $a \neq a' \in A$ and $c \neq c' \in C$. Set $A^* = \{a, a'\}$, $B^* = \{x \in B \mid a > x > c \text{ or } a' > x > c'\}$ and $C^* = \{c, c'\}$. Then $A^* > B^* > C^*$ is a sub-skew chain. In particular,*

- i) $a' > x > c'$ for $x \in B^*$ implies: $a >$ both $a \wedge x$ and $x \vee c > c$ with $a \wedge x -_{A^*} x -_{C^*} x \vee c$.
- ii) $a > x > c$ for $x \in B^*$ implies: $a' >$ both $a' \wedge x$ and $x \vee c' > c'$ with $a' \wedge x -_{A^*} x -_{C^*} x \vee c'$.

All A^* -cosets and all C^* -cosets in B^* are of order 2. An A^*C^* -component in B^* is either a subset $\{b, b'\}$ that is simultaneously an A^* -coset and C^* -coset in B^* or else it is a larger subset with all A^*C^* -cosets having size 1 and having the alternating coset form

$$\cdots -_{A^*} \bullet -_{C^*} \bullet -_{A^*} \bullet -_{C^*} \bullet -_{A^*} \bullet -_{C^*} \cdots$$

Only the former case can occur if the skew chain is categorical.

Proof. Being left-handed, we need only check the mixed outcomes, say $a \wedge x, x \wedge a, c \vee x$ and $x \vee c$ where $a' > x > c'$ for case (i). Trivially $x \wedge a = x = c \vee x$. As for $a \wedge x, a \wedge (a \wedge x) = a \wedge x = (a \wedge x) \wedge a$, due to left-handedness, so that $a > a \wedge x$; likewise $c \wedge (a \wedge x) = c$, while

$$(a \wedge x) \wedge c = a \wedge x \wedge a \wedge c' = a \wedge x \wedge c' = a \wedge c' = c$$

by left-handedness and parallelism. Hence $a \wedge x > c$ also, so that $a \wedge x$ is in B^* . The dual argument gives $a > x \vee c > c$, so that $x \vee c \in B^*$ also. Similarly (ii) holds and we have a sub-skew chain.

Clearly the A^* -cosets in B^* either all have order 1 or all have order 2. If they have order 1, then $a, a' >$ all elements in B^* , and by transitivity, $a, a' >$ both c, c' , so that $a > c$ is not parallel to $a' > c'$. Thus all A^* -cosets in B^* have order 2 and likewise all C^* -cosets in B^* have order 2. In an A^*C^* -component in B^* ,

if the first case does not occur, a situation $x -_{C^*} y -_{A^*} z$ with x, y, z distinct develops. Since A^* -cosets and C^* -cosets have size 2, it extends in an alternating coset pattern in both directions, either doing so indefinitely or eventually connecting to form a cycle of even length. \square

A complete set of examples with B^* being a single A^*C^* -component is as follows.

Example 3.2. Consider the class of skew chains $A > B_n > C$ for $1 \leq n \leq \omega$, where

$$A = \{a_1, a_2\}, C = \{c_1, c_2\} \text{ and} \\ B_n = \{b_1, b_2, \dots, b_{2n}\} \text{ or } \{\dots, b_{-2}, b_{-1}, b_0, b_1, b_2, \dots\} \text{ if } n = \omega.$$

The partial order is given by parity: $a_1 > b_{\text{odd}} > c_1$ and $a_2 > b_{\text{even}} > c_2$. Both A and C are full B -cosets as well as full cosets of each other. A -cosets and C -cosets in B are given respectively by:

$$\{b_1, b_2 \mid b_3, b_4 \mid \dots \mid b_{2n-1}, b_{2n}\} \quad \text{and} \quad \{b_{2n}, b_1 \mid b_2, b_3 \mid \dots \mid b_{2n-2}, b_{2n-1}\} \quad \text{for } n < \omega.$$

For $n > 1$, B_n has the following alternating coset structure (modulo n when n is finite):

$$\dots -_A b_{2k-2} -_C b_{2k-1} -_A b_{2k} -_C b_{2k+1} -_A b_{2k+2} -_C \dots$$

Clearly B_n is a single component. We denote the left-handed skew chain thus determined by \mathbf{X}_n and its right-handed dual by \mathbf{Y}_n for $n \leq \omega$. Their Hasse diagrams for $n = 1, 2$ are given in Figure 2.

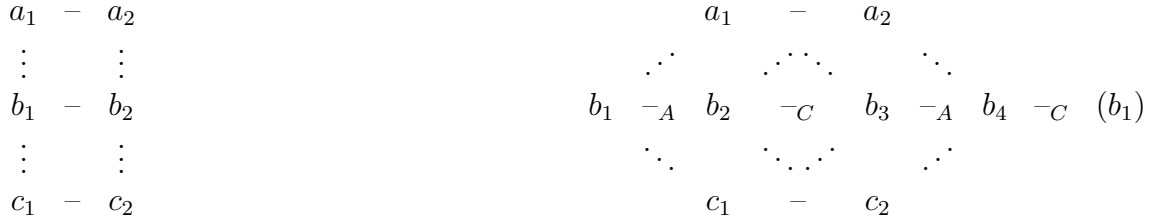


FIGURE 2. Hasse diagrams for $\mathbf{X}_n/\mathbf{Y}_n$, $n = 1, 2$

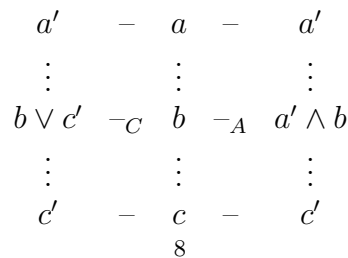
Applying (1.6a) and (1.6b) above, instances of left-handed operations on \mathbf{X}_2 are given by

$$a_1 \vee c_2 = a_2 = a_1 \vee a_2, \quad a_1 \wedge b_4 = b_3 \wedge b_4 = b_3, \quad \text{and} \quad b_1 \vee c_2 = b_1 \vee b_4 = b_4.$$

Except for \mathbf{X}_1 and \mathbf{Y}_1 , none of these skew lattices is categorical. In \mathbf{X}_n for $n \geq 2$, $a_1 > b_1 > c_1$, $a_2 \wedge c_1 = c_2$, $a_1 \vee c_2 = a_2$, but $a_2 \wedge b_1 = b_2$, while $b_1 \vee c_2$ is either b_{2n} or b_0 . Note that while all A -cosets and all C -cosets in B_n have order 2, the AC -cosets have order 1.

Theorem 3.3. *A left-handed skew lattice is categorical if and only if it contains no copy of \mathbf{X}_n for $2 \leq n \leq \omega$. Dually, a right-handed skew lattice is categorical if and only if it contains no copy of \mathbf{Y}_n for $2 \leq n \leq \omega$. In general, a skew lattice is categorical if and only if it contains no copy of any of these algebras. Finally, none of these algebras is a subalgebra of another one.*

Proof. We begin with a skew chain $A > B > C$ in a left-handed skew lattice \mathbf{S} . Given $a > b > c$ in \mathbf{S} , where $a \in A$, $b \in B$ and $c \in C$, let $a > c // a' > c'$ with $a \neq a'$. In the skew chain of Lemma 3.1, $A^* > B^* > C^*$ where $A^* = \{a, a'\}$ and $C^* = \{c, c'\}$, we obtain the following configuration.



When $a' \wedge c = b \vee c'$, the situation is compatible with \mathbf{S} being categorical. Otherwise, in the A^*C^* -component of b in B^* , the middle row in the above configuration extends to an alternating coset pattern of the type in Lemma 3.1, giving us a copy of \mathbf{X}_n where $2 \leq n \leq \omega$. If \mathbf{S} is not categorical, such a situation must occur. Conversely, any left-handed skew lattice containing a copy of \mathbf{X}_n for $n \geq 2$ is not categorical. The first assertion now follows. The nature of the middle row implies that no \mathbf{X}_m can be embedded in any \mathbf{X}_n for $n > m$.

The right-handed case is similar. Clearly, a categorical skew lattice contains no \mathbf{X}_n or \mathbf{Y}_n copy for $n \geq 2$. Conversely, if a skew lattice \mathbf{S} contains copies of none of them, then neither does \mathbf{S}/\mathcal{R} or \mathbf{S}/\mathcal{L} since every skew chain with three \mathcal{D} -classes in either \mathbf{S}/\mathcal{R} or \mathbf{S}/\mathcal{L} can be lifted to an isomorphic subalgebra of \mathbf{S} . (Indeed, given any skew chain $T: A > B > C$, one easily finds $a > b > c$ with $a \in A$, $b \in B$ and $c \in C$. Then, *e.g.*, the sub-skew chain $\mathcal{R}_a > \mathcal{R}_b > \mathcal{R}_c$ of \mathcal{R} -classes in T is isomorphic to T/\mathcal{L} . See [5].) Thus \mathbf{S}/\mathcal{R} and \mathbf{S}/\mathcal{L} are categorical, and hence so is \mathbf{S} . \square

A skew chain $A > B > A'$ is **reflective** if (1) A and A' are full cosets of each other in themselves, making $A \equiv A'$ with both being full B -cosets in themselves, and (2) B consists of a single AA' -component. All \mathbf{X}_n and \mathbf{Y}_n are reflective. If B is both an A -coset and an A' -coset for every reflective skew chain in a skew lattice \mathbf{S} (making the skew chain a direct product of a chain $a > b > a'$ and a rectangular subalgebra), then \mathbf{S} is categorical. Indeed, copies of \mathbf{X}_n or \mathbf{Y}_n for $n \geq 2$ are eliminated as subalgebras, while \mathbf{X}_1 and \mathbf{Y}_1 clearly factor as stated.

The converse is also true. Consider a reflective skew chain $A > B > A'$ in a categorical skew lattice. Let $\varphi: A \rightarrow B$ be a coset bijection of A onto an A -coset in B and let $\psi: B \rightarrow A'$ be a coset bijection of B onto A' such that the composition $\psi \circ \varphi$ is the unique coset bijection of A onto A' . As partial bijections, the only way for $\psi \circ \varphi$ to be both one-to-one and onto is for φ and ψ to be full bijections between A and B , and between B and A' , respectively, thus making B both a full A -coset and a full A' -coset within itself. We thus have:

Proposition 3.4. *A skew lattice \mathbf{S} is categorical if and only if every reflective skew chain $A > B > A'$ in \mathbf{S} factors as a direct product of a chain, $a > b > a'$, and a rectangular skew lattice.*

4. STRICTLY CATEGORICAL SKEW LATTICES

Recall that a categorical skew lattice \mathbf{S} is **strictly categorical** if for every skew chain of \mathcal{D} -classes $A > B > C$ in \mathbf{S} , each A -coset in B has nonempty intersection with each C -coset in B , making both B an entire AC -component and empty coset bijections unnecessary. Examples are:

- a) *Normal* skew lattices characterized by the conditions: $x \wedge y \wedge z \wedge w = x \wedge z \wedge y \wedge w$; equivalently, every subset $[e] \downarrow = \{x \in S \mid e \geq x\} = \{e \wedge x \wedge e \mid x \in S\}$ is a sublattice;
- b) *Conormal* skew lattices satisfying the dual condition $x \vee y \vee z \vee w = x \vee z \vee y \vee w$; equivalently, every subset $[e] \uparrow = \{x \in S \mid e \leq x\} = \{e \vee x \vee e \mid x \in S\}$ is a sublattice;
- c) *Primitive* skew lattices consisting of two \mathcal{D} -classes: $A > B$ and rectangular skew lattices.
- d) *Skew diamonds* in cancellative skew lattices, and in particular, skew diamonds in rings. (A skew diamond is a skew lattice $\{J > A, B > M\}$ consisting of two incomparable \mathcal{D} -classes A and B along with their join \mathcal{D} -class J and their meet \mathcal{D} -class M .) See [7].

See [7] for general results on normal skew lattices. Their importance is due in part to skew Boolean algebras being normal as skew lattices [1, 2, 12, 13, 15]. Some nice counting theorems for categorical and strictly categorical skew lattices are given in [14].

Theorem 4.1. *Let $A > B > C$ be a strictly categorical skew chain. Then:*

- i) *For any $a \in A$, all images of a in B lie in a unique C -coset in B ;*
- ii) *For any $c \in C$, all images of c in B lie in a unique A -coset in B ;*

- iii) Given $a > c$ with $a \in A$ and $c \in C$, a unique $b \in B$ exists such that $a > b > c$. This b lies jointly in the C -coset in B containing all images of a in B and in the A -coset in B containing all images of c in B .

Proof. To verify (i) we assume without loss of generality that C is a full B -coset within itself. If $a \wedge C \wedge a = \{c \in C \mid a > c\}$ is the image set of a in C parameterizing the A -cosets in C and $b \in B$ is such that $a > b$, then $\{c \vee b \vee c \mid c \in a \wedge C \wedge a\}$, the set of all images of a in the C -coset $C \vee b \vee C$ in B , parameterizes the AC -cosets in B lying in $C \vee b \vee C$ (since AC -cosets in $C \vee b \vee C$ are inverse images of the A -cosets in C under the coset bijection of $C \vee b \vee C$ onto C). By assumption, all A -cosets X in B are in bijective correspondence with all these AC -cosets under the map $X \mapsto X \cap C \vee b \vee C$. Thus each element x in $\{c \vee b \vee c \mid c \in a \wedge C' \wedge a\}$ is the (necessarily) unique image of a in the A -coset in B which x belongs, and as we traverse through these x 's, every such A -coset occurs as $A \wedge x \wedge A$. Thus all images of a in B lie within the C -coset $C \vee b \vee C$ in B . In similar fashion one verifies (ii). Finally, given $a > c$ with $a \in A$ and $c \in C$, a unique AC -coset U exists that is the intersection of the A -coset containing all images of c in B and the C -coset containing all images of a in B . In particular, U contains unique elements u, v such that $a > u$ and $v > c$. Consider $b = a \wedge v \wedge a$ in B . Clearly $a > b > c$ so that b is a simultaneous image of a and c in B (since $b -_A v$) and thus is in U ; moreover, by uniqueness of u and v in U , we have $u = b = v$. \square

This leads to the following multiple characterization of strictly categorical skew lattices.

Theorem 4.2. *The following seven conditions on a skew lattice \mathbf{S} are equivalent.*

- i) \mathbf{S} is strictly categorical;
ii) \mathbf{S} satisfies

$$x > y > z \quad \& \quad x > y' > z \quad \& \quad y \mathcal{D} y' \quad \Rightarrow \quad y = y';$$

- iii) \mathbf{S} satisfies

$$x \geq y \geq z \quad \& \quad x \geq y' \geq z \quad \& \quad y \mathcal{D} y' \quad \Rightarrow \quad y = y';$$

- iv) \mathbf{S} has no subalgebra isomorphic to either of the following 4-element skew chains.



- v) If $a > b$ in \mathbf{S} , the interval subalgebra $[a, b] = \{x \in S \mid a \geq x \geq b\}$ is a sublattice.
vi) Given $a \in S$, $[a] \uparrow = \{x \in S \mid x \geq a\}$ is a normal subalgebra of \mathbf{S} and $[a] \downarrow = \{x \in S \mid a \geq x\}$ is a conormal subalgebra of S .
vii) \mathbf{S} is categorical and given any skew chain $A > B > C$ of \mathcal{D} -classes in S , for each coset bijection $\varphi : A \rightarrow C$, there exist unique coset bijections $\psi : A \rightarrow B$ and $\chi : B \rightarrow C$ such that $\varphi = \chi \circ \psi$.
viii) Every reflective skew chain $A > B > C$ is an isochain.

Proof. Theorem 4.1(iii) gives us (i) \Rightarrow (ii). Conversely, if \mathbf{S} satisfies (ii) then no subalgebra of \mathbf{S} can be one of the forbidden subalgebras of the last section, making \mathbf{S} categorical. We next show that given $x, y \in B$, there exist $u, v \in B$ such that $x -_A u -_C y$ and $x -_C v -_A y$. This guarantees that in B , every A -coset meets every C -coset. Indeed, pick $a \in A$ and $c \in C$ so that $a > x > c$. Note that $a > a \wedge (c \vee y \vee c) \wedge a$, $c \vee (a \wedge y \wedge a) \vee c > c$. But by assumption x is the unique element in B between a and c under $>$. Thus

$a \wedge (c \vee y \vee c) \wedge a = x = c \vee (a \wedge y \wedge a) \vee c$ so that both $x -_A c \vee y \vee c -_C y$ and $x -_C a \wedge y \wedge a -_A y$ in B , which gives (ii) \Rightarrow (i).

Next let \mathbf{S} be categorical with $A > B > C$ as stated in (vii). The unique factorization in (vii) occurs precisely when (ii) holds, making (ii) and (vii) equivalent, with (viii) being a variant of (vii). Finally, (iii)-(vi) are easily seen to be equivalent variants of (ii). \square

Corollary 4.3. *Strictly categorical skew lattices form a variety of skew lattices.*

Proof. We will show that strictly categorical skew lattices are characterized by the following identity (or its dual):

$$x \vee (y \wedge z \wedge u \wedge y) \vee x = x \vee (y \wedge u \wedge z \wedge y) \vee x. \quad (4.1)$$

Let e denote the left side and f denote the right side. Observe that $e \mathcal{D} f$ since $z \wedge u \mathcal{D} u \wedge z$. Note that $x \vee y \vee x \geq e, f \geq x$ by (1.1). Hence if a skew lattice \mathbf{S} is strictly categorical, then (4.1) holds by Theorem 4.2(iii). Conversely, let (4.1) hold in \mathbf{S} and suppose that $a \geq$ both $b, b' \geq c$ in S with $b \mathcal{D} b'$. Assigning $x \mapsto c, y \mapsto a, z \mapsto b \wedge b'$ and $u \mapsto b' \wedge b$ reduced (4.1) to $b = b \wedge b' \wedge b = b' \wedge b \wedge b'$ so that \mathbf{S} is strictly categorical by Theorem 4.2(iii). \square

While distributive skew lattices are categorical, they need not be strictly categorical, but *a strictly categorical skew lattice \mathbf{S} is distributive iff \mathbf{S}/\mathcal{D} is distributive.* (See [7, Theorem 5.4].)

It is natural to ask: *What is the variety generated jointly from the varieties of normal and conormal skew lattices?* To refine this question, we first proceed as follows.

A primitive skew lattice $A > B$ is **order-closed** if for $a, a' \in A$ and $b, b' \in B$, both $a, a' > b$ and $a > b, b'$ imply $a' > b'$. A primitive skew lattice $A > B$ is **simply order-closed** if $a > b$ for all $a \in A$

$$\begin{array}{cccc} A & a & - & - & - & a' \\ & \vdots & \ddots & & \ddots & \\ & \vdots & \ddots & & \ddots & \\ B & b & - & - & - & b' \end{array}$$

and all $b \in B$. In this case the cosets of A and B in each other are singleton subsets. It is easy to verify that *a primitive skew lattice \mathbf{S} is order-closed if and only if it factors into a product $D \times T$ where D is rectangular and T is simply order-closed and primitive.*

A skew lattice is **order-closed** if all its primitive subalgebras are thus. Examples include:

- a) Normal skew lattices and conormal skew lattices;
- b) The sequences of examples \mathbf{X}_n and \mathbf{Y}_n of section 3.

On the other hand, primitive skew lattices that are not order-closed are easily found. (See [11, §§1,2].)

Theorem 4.4. *Order-closed skew lattices form a variety of skew lattices.*

Proof. The following generic situation holds between comparable \mathcal{D} -classes in a skew lattice: where as

$$\begin{array}{cccc} x \wedge y & - & - & - & (x \wedge y \wedge u \wedge v \wedge x \wedge y) \vee (y \wedge x) \vee (x \wedge y \wedge u \wedge v \wedge x \wedge y) \\ \vdots & \ddots & & \ddots & \\ \vdots & \ddots & & \ddots & \\ x \wedge y \wedge u \wedge v \wedge x \wedge y & - & - & - & x \wedge y \wedge v \wedge u \wedge x \wedge y \end{array}$$

usual, the dotted lines denote \geq relationships. Being order-closed requires both expressions on the right side of the diagram to commute under \vee (or \wedge). Commutativity under \vee together with (1.1) gives

$$(x \wedge y \wedge \underbrace{v \wedge u \wedge x \wedge y}) \vee (y \wedge x) \vee (x \wedge y \wedge \underbrace{u \wedge v \wedge x \wedge y}) = (x \wedge y \wedge \underbrace{u \wedge v \wedge x \wedge y}) \vee (y \wedge x) \vee (x \wedge y \wedge \underbrace{v \wedge u \wedge x \wedge y}) \quad (4.2)$$

(or its dual) as a characterizing identity for order-closed skew lattices. □

Refining the above question, we ask:

Problem 4.5. *Do order-closed, strictly categorical skew lattices form the join variety of the varieties of normal skew lattices and their conormal duals?*

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