

1 **GAPS IN THE SATURATION SPECTRUM OF TREES**

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ABSTRACT. A graph  $G$  is  $H$ -saturated if  $H$  is not a subgraph of  $G$  but the addition of any edge from the complement of  $G$  to  $G$  results in a copy of  $H$ . The minimum number of edges (the size) of an  $H$ -saturated graph on  $n$  vertices is denoted  $\mathbf{sat}(n, H)$ , while the maximum size is the well studied extremal number,  $\mathbf{ex}(n, H)$ . The saturation spectrum for a graph  $H$  is the set of sizes of  $H$ -saturated graphs between  $\mathbf{sat}(n, H)$  and  $\mathbf{ex}(n, H)$ . In this paper we show that paths and also trees with a vertex adjacent to many leaves, have a gap in the saturation spectrum.

3 1. INTRODUCTION

4 We will consider only simple graphs. Let the vertex set and edge set of  $G$  be denoted  
5 by  $V(G)$  and  $E(G)$  respectively. Let  $|G| = |V(G)|$ ,  $e(G) = |E(G)|$  and  $\overline{G}$  denote the  
6 complement of  $G$ . A graph  $G$  is called  $H$ -saturated if  $H$  is not a subgraph of  $G$  but for every  
7  $e \in E(\overline{G})$ ,  $H$  is a subgraph of  $G + e$ . Let  $\mathbf{SAT}(n, H)$  denote the set of  $H$ -saturated graphs of  
8 order  $n$ . The saturation number of a graph  $H$ , denoted  $\mathbf{sat}(n, H)$ , is the minimum number  
9 of edges in an  $H$ -saturated graph on  $n$  vertices and  $\mathbf{SAT}(n, H)$  is the set of  $H$ -saturated  
10 graphs order  $n$  with size  $\mathbf{sat}(n, H)$ . The extremal number of a graph  $H$ , denoted  $\mathbf{ex}(n, H)$   
11 (also called the Turán number) is the maximum number of edges in an  $H$ -saturated graph  
12 on  $n$  vertices and  $\overline{\mathbf{SAT}}(n, H)$  is the set of  $H$ -saturated graphs order  $n$  with size  $\mathbf{ex}(n, H)$ .

13 The *saturation spectrum* of a graph  $H$ , denoted  $\mathbf{spec}(n, H)$ , is the set of sizes of  $H$ -  
14 saturated graphs of order  $n$ , that is,  $\mathbf{spec}(n, H) = \{e(G) : G \in \mathbf{SAT}(n, H)\}$ .

15 In this paper we give a bound on the maximum average degree of a connected  $H$ -saturated  
16 graph that is not a complete graph when  $H$  is a member of a large family of trees. One  
17 of the consequences of this bound is that the saturation spectrum has a gap immediately  
18 preceding  $\mathbf{ex}(n, H)$ . The proof used to give this bound and hence the gap in the saturation  
19 spectrum is not dependent on the structure of the tree, hence the proof establishes a general  
20 technique that could be applied to a broad families of trees. We apply this technique to both  
21 paths and to trees with a vertex adjacent to many leaves.

22 2. BACKGROUND

23 Graph saturation is a well-studied area of extremal graph theory. Mantel [13] gave the first  
24 result when he determined  $\mathbf{ex}(n, K_3)$ . Following this Turán [16] determined  $\mathbf{ex}(n, K_{p+1})$  for  
25 all  $p \geq 2$  and showed that there is a unique  $K_{p+1}$ -saturated graph of size  $\mathbf{ex}(n, K_{p+1})$ , namely  
26 the complete balanced  $p$ -partite graph of order  $n$  (often called the Turán graph,  $T_{n,p}$ ). Erdős

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*Date:* August 30, 2016.

*2010 Mathematics Subject Classification.* Primary: 05C35; Secondary: 05C05.

*Key words and phrases.* Saturation Spectrum, Trees, Saturation Number.

27 and Simonovits [6] observed that  $T_{n,p}$  does not contain any graph  $H$  with  $\chi(H) \geq p + 1$  and  
 28 hence

$$\mathbf{ex}(n, H) \geq e(T_{n,p}) = \left(1 - \frac{1}{p}\right) \binom{n}{2} + o(n^2),$$

29 Further, they showed this bound is sharp.

30 **Theorem 2.1.** *If  $H$  is a graph with  $\chi(H) = p + 1$ , then*

$$\mathbf{ex}(n, H) = \left(1 - \frac{1}{p}\right) \binom{n}{2} + o(n^2).$$

31 As a consequence of this theorem the magnitude of the extremal number for a graph  $G$   
 32 with  $\chi(G) \geq 3$  is known. When  $\chi(G) = 2$ , less is known. Consider the  $n$ -vertex graph  $G$  that  
 33 is the union of  $\lfloor n/(k-1) \rfloor$  vertex disjoint copies of  $K_{k-1}$  and a  $K_r$  ( $0 \leq r \leq k-2$ ). It in  
 34 not difficult to see that  $G$  does not contain any tree with  $k$  vertices. It follows that

$$\mathbf{ex}(n, T_k) \geq \frac{k-2}{2}n - \frac{1}{8}k^2.$$

35 Erdős and Sós [4] conjectured that this bound is sharp.

36 **Conjecture 2.2.** *Let  $T_k$  be an arbitrarily fixed  $k$ -vertex tree. If a graph  $G$  is  $T_k$ -free, then*

$$e(G) \leq \frac{1}{2}(k-2)n.$$

37 It is not difficult to see that the Erdős-Sós conjecture holds for stars. It has also been shown  
 38 to hold for some other families of trees including paths [5], trees of order  $\ell$  with a vertex  $x$   
 39 adjacent to at least  $\frac{\ell}{2}$  leaves [15], and trees of diameter at most 4 [14]. A solution for large  
 40  $k$  has been announced by Ajtai, Komlós and Szemerédi in the early 1990's. Although an  
 41 exposition of their solution has never appeared, a recent series of long papers ([9, 10, 11, 12])  
 42 of Hladký, Komlós, Piguet, Simonovits, Stein and Szemerédi proving a related conjecture of  
 43 Loebel-Komlós-Sós has appeared on the arXiv. Its methods involve some of those used by  
 44 Ajtai, Komlós and Szemerédi including a sparse decomposition theorem in the same vein as  
 45 the regularity lemma.

46 In Section 3 we give a bound on the maximum average degree of a  $T_\ell$ -saturated graph that  
 47 is not the union of cliques, when  $T_\ell$  belongs to certain family of trees that we call strongly  
 48 ES-embeddable trees.

49 **Definition 2.3.** *A tree  $T_\ell$  of order  $\ell$  is strongly Erdős-Sós embeddable (or strongly ES-  
 50 embeddable for short) if every connected graph  $G$  of order at least  $\ell$  with  $\bar{d}(G) > \ell - 3$  and  
 51  $\delta(G) \geq \lfloor \frac{\ell}{2} \rfloor$  contains a copy of  $T_\ell$ .*

52 We will observe below that every tree that is strongly ES-embeddable satisfies the Erdős-  
 53 Sós conjecture, justifying the name. The converse is not true: stars, for instance, are not  
 54 strongly ES-embeddable. It seems plausible to us that stars are the *only* trees which satisfy  
 55 the Erdős-Sós conjecture but are not strongly ES-embeddable, however this is not the focus  
 56 of this paper. While we do show that a few basic classes are strongly ES-embeddable, our  
 57 main focus is instead to show how this property can be used to imply gaps in the saturation  
 58 spectrum.

59 We now state our main theorem whose proof will be given in Section 3.

60 **Theorem 2.4.** *Let  $T_\ell$  be a strongly ES-embeddable tree on  $\ell \geq 6$  vertices. If  $G$  is  $T_\ell$ -saturated*  
61 *with  $|G| \equiv 0 \pmod{\ell - 1}$  and  $G$  is not a union of copies of  $K_{\ell-1}$ , then*

$$|E(G)| \leq \frac{|G|}{\ell - 1} \binom{\ell - 1}{2} - \left\lfloor \frac{\ell - 2}{2} \right\rfloor.$$

62 As a consequence of this upper bound we show that the saturation spectrum for strongly  
63 ES-embeddable trees does not contain a consecutive set of values near the extremal number.

64 The saturation spectrum for  $K_3$  was determined in [2]. That result was extended in [1] by  
65 determining the saturation spectrum for  $K_p$ ,  $p \geq 4$ .

66 The saturation spectrum for small paths is also known. Gould, Tang, Wei, and Zhang  
67 [8] observe that  $\mathbf{sat}(n, P_3) = \mathbf{ex}(n, P_3)$ , hence  $\mathbf{spec}(n, P_3)$  is continuous. They also observe  
68 that is straightforward to evolve a graph in  $\mathbf{SAT}(n, P_4)$  into a graph in  $\overline{\mathbf{SAT}}(n, P_4)$ , where  
69 at each step the size of the graph increases by exactly 1. Hence,  $\mathbf{spec}(n, P_4)$  is continuous.  
70 They also determine  $\mathbf{spec}(n, P_5)$  and  $\mathbf{spec}(n, P_6)$ .

71 **Theorem 2.5** (Gould et al. [8]).

72 *Let  $n \geq 5$  and  $\mathbf{sat}(n, P_5) \leq m \leq \mathbf{ex}(n, P_5)$  be integers,  $m \in \mathbf{spec}(n, P_5)$  if and only if*  
73  *$n = 1, 2 \pmod{4}$ , or*

$$m \notin \begin{cases} \left\{ \frac{3n}{2} - 3, \frac{3n}{2} - 2, \frac{3n}{2} - 1 \right\} & \text{if } n \equiv 0 \pmod{4} \\ \left\{ \frac{3n-5}{2} \right\} & \text{if } n \equiv 3 \pmod{4}. \end{cases}$$

74 **Theorem 2.6** (Gould et al. [8]).

75 *Let  $n \geq 10$  and  $\mathbf{sat}(n, P_6) \leq m \leq \mathbf{ex}(n, P_6)$  be integers,  $m \in \mathbf{spec}(n, P_6)$  if and only if*  
76  *$(n, m) \notin \{(10, 10), (11, 11), (12, 12), (13, 13), (14, 14), (11, 14)\}$  and*

$$m \notin \begin{cases} \{2n - 4, 2n - 3, 2n - 1\} & \text{if } n \equiv 0 \pmod{5} \\ \{2n - 4\} & \text{if } n \equiv 2 \pmod{5} \\ \{2n - 4\} & \text{if } n \equiv 4 \pmod{5}. \end{cases}$$

77 Let  $B_{3,t}$  be the tree obtained by subdividing an edge of  $K_{1,t+1}$ . Faudree, Gould, Jacobson  
78 and Thomas determined the saturation spectrum of  $B_{3,2}$  and  $B_{3,3}$ .

79 **Theorem 2.7** (Faudree et al. [7]).

80 *Let  $n \geq 5$  and  $\mathbf{sat}(n, B_{3,2}) \leq m \leq \mathbf{ex}(n, B_{3,2})$ , then  $m \in \mathbf{spec}(n, B_{3,2})$  if and only if  $n \equiv 1, 2$*   
81  *$\pmod{4}$  or*

$$m \notin \begin{cases} \left\{ \frac{3n}{2} - 3, \frac{3n}{2} - 2, \frac{3n}{2} - 1 \right\} & \text{if } n \equiv 0 \pmod{4}, \\ \left\{ \frac{3n-3}{2} \right\} & \text{if } n \equiv 3 \pmod{4}. \end{cases}$$

82 **Theorem 2.8** (Faudree et al. [7]).

83 *Let  $m$  be an integer and  $n$  be an integers such that  $n \geq 17$ . If  $\mathbf{sat}(n, B_{3,3}) \leq m \leq \mathbf{ex}(n, B_{3,3})$ ,*  
84 *then  $m \in \mathbf{spec}(n, B_{3,3})$  if and only if  $n \equiv 1, 2, 3 \pmod{5}$  or*

$$m \notin \begin{cases} \{2n-1, 2n-2, 2n-3, 2n-4\} & \text{if } n \equiv 0 \pmod{5}, \\ \{2n-3, 2n-4\} & \text{if } n \equiv 4 \pmod{5}. \end{cases}$$

85 In the cases of  $P_5$ ,  $P_6$ ,  $B_{3,2}$ , and  $B_{3,3}$  there is a gap in the saturation spectrum when  $n \equiv 0$   
86  $(\text{mod } \ell - 1)$  where  $\ell$  is the order of the tree. Corollary 3.7 shows that in general there is a  
87 gap in the saturation spectrum of  $P_\ell$ ,  $\ell \geq 6$ , and  $B_{3,t}$ .

88

### 3. MAIN

89 In this section, we give general results showing that for given graphs, that there are par-  
90 ticular values,  $e$ , between  $\text{sat}(n, G)$  and  $\text{ex}(n, G)$  for which there can not exist  $G$ -saturated  
91 graphs having  $e$  edges.

92 It is not difficult to show that if  $G$  is a vertex minimum counterexample to the Erdős–Sós  
93 conjecture that  $G$  has order at least  $\ell$  with  $\bar{d}(G) > \ell - 2$  and  $\delta(G) \geq \lfloor \frac{\ell}{2} \rfloor$ . Thus if a tree is  
94 strongly ES-embeddable then the Erdős–Sós conjecture holds for that tree.

95 The following result was obtained by Erdős and Gallai [5] and independently by Dirac (cf.  
96 [3].)

97 **Theorem 3.1.** *If  $G$  is a connected graph with minimum degree  $\delta$  and order at least  $2\delta + 1$ ,*  
98 *then  $G$  contains a path on at least  $2\delta + 1$  vertices.*

99 Since  $2 \lfloor \frac{\ell}{2} \rfloor + 1 \geq \ell$ , we obtain the following corollary.

100 **Corollary 3.2.** *Paths are strongly ES-embeddable.*

101 A tree  $T$  of order  $\ell$ ,  $T \neq K_{1,\ell-1}$ , having a vertex that is adjacent to at least  $\lfloor \frac{\ell}{2} \rfloor$  leaves will  
102 be referred to as a *scrub-grass* tree. The set of scrub-grass trees of order  $\ell$  will be denoted  
103  $SG_\ell$ . We will show that scrub-grass trees are strongly ES-embeddable (a slight strengthening  
104 of the result of Sidorenko from [15]). First we give the following lemma, which is a slight  
105 strengthening of a well-known embedding result.

106 **Lemma 3.3.** *Let  $F_\ell$  be a forest of order  $\ell$  and  $G$  be a graph with  $\delta(G) \geq \ell - 1$ . If  $v \in F_\ell$   
107 and  $u \in G$  then there is an embedding of  $F_\ell$  in  $G$  such that  $u$  is mapped to  $v$ .*

108 *Proof.* We will prove a more general result. Specifically we will show that a forest,  $F_\ell$ , on  
109  $\ell$  vertices can be embedded into a graph,  $G$ , with minimum degree  $\ell - 1$  so that any set of  
110 vertices,  $X$ , containing exactly one vertex from each component of  $F_\ell$  can be mapped into  
111 any set of  $|X|$  vertices of  $G$ .

112 Notice that there are 2 forests on 2 vertices, namely  $P_2$  and  $2K_1$ . In both cases it follows  
113 that the forest can be embedded into any graph  $G$  with  $\delta(G) \geq 1$ . Further this can be done  
114 having any vertex of  $G$  correspond to either vertex of  $P_2$  and any 2 vertices of  $G$  correspond  
115 to the vertices of  $2K_1$ . Hence the result holds when  $\ell = 2$ .

116 Assume the result holds for every forest of order at most  $\ell - 1$ . Let  $F_\ell$  be a forest of order  
117  $\ell$  and  $X$  be a set of vertices of  $F_\ell$  containing exactly one vertex from each component of  $F_\ell$ .  
118 Additionally choose  $v \in X$ . Let  $G$  be a graph with  $\delta(G) \geq \ell - 1$  with a vertex  $u \in V(G)$ .  
119  $F_\ell - v$  is a forest,  $F_{\ell-1}$ , of order  $\ell - 1$  and  $G - u$  has  $\delta(G - u) \geq \ell - 2$ . Set  $Y = X - \{v\}$ .  
120 By the induction hypothesis  $F_{\ell-1}$  can be embedded into  $G - u$  so that the neighbors of  $v$   
121 in  $F_{\ell-1}$  are mapped to neighbors of  $u$  in  $G - u$  and the vertices in  $Y$  are mapped to other  
122 vertices in  $G - u$ . This embedding of  $F_{\ell-1}$  into  $G - u$  together with  $u$  form an embedding of

123  $F_\ell$  in  $G$ . Since the choice of  $v$  and  $u$  were arbitrary, it follows that this embedding satisfies  
 124 the condition of mapping any set of vertices,  $X$ , containing exactly one vertex from each  
 125 component of  $F_\ell$  into any set of  $|X|$  vertices of  $G$ .  $\square$

126 We are now ready to prove that scrub-grass trees are strongly ES-embeddable.

127 **Theorem 3.4.** *Scrub-grass trees are strongly ES-embeddable.*

128 *Proof.* Let  $T_\ell \in SG_\ell$  and suppose that  $v \in T_\ell$  is adjacent to at least  $\lfloor \frac{\ell}{2} \rfloor$  leaves. Let  $G$  be  
 129 a graph with  $\delta(G) \geq \lfloor \frac{\ell}{2} \rfloor$  and  $\bar{d}(G) > \ell - 3$ . Suppose that there is vertex  $u$  in  $G$  such that  
 130  $d(u) \geq \ell - 1$ . Then  $G - u$  is a graph with  $\delta(G - u) \geq \lfloor \frac{\ell}{2} \rfloor - 1$ . Notice the forest obtained  
 131 by removing  $v$  and all the leaf neighbors of  $v$  from  $T_\ell$  has order at most  $\ell - \lfloor \frac{\ell}{2} \rfloor - 1 \leq \lfloor \frac{\ell}{2} \rfloor$ .  
 132 Thus by Lemma 3.3 the forest may be embedded into  $G - u$  so that the non leaf neighbors  
 133 of  $v$  correspond to neighbors of  $u$ . Since  $d(u) \geq \ell - 1$ , there are at least  $\lfloor \frac{\ell}{2} \rfloor$  neighbors of  $u$   
 134 unused in this embedding. It follows that  $G$  contains  $T_\ell$ .

135 Now suppose that  $\Delta(G) = \ell - 2$  and let  $u \in V(G)$  such that  $d(u) = \ell - 2$ . Since  $T_\ell$  is not  
 136 a star, there is some vertex  $w$ , in  $T_\ell$  so that  $d(v, w) = 2$ . There are  $\ell - 1$  vertices in  $N[u]$ ,  
 137 which implies there is a vertex  $x \in G - N[u]$ . More specifically, there is a vertex  $x \in V(G)$   
 138 such that  $d_G(u, x) = 2$ . Choose a vertex  $x'$  such that  $x' \in N_G(u) \cap N_G(x)$  and let  $w'$  be  
 139 the common neighbor of  $v$  and  $w$  in  $T_\ell$ . Now removing  $v$  along with all the leaf neighbors  
 140 of  $v$  and  $w'$  from  $T_\ell$  creates a forest  $T'_\ell$  with at most  $\ell - \lfloor \frac{\ell}{2} \rfloor - 2 \leq \lfloor \frac{\ell}{2} \rfloor - 1$  vertices and  
 141  $G - \{u, x'\}$  is a graph with minimum degree at least  $\lfloor \frac{\ell}{2} \rfloor - 2$ . Lemma 3.3 implies that  $T'_\ell$  can  
 142 be embedded into  $G - \{u, x'\}$  so that the neighbors of  $v$  in  $T_\ell$ , other than  $w'$ , are neighbors  
 143 of  $u$  in  $G$  and neighbors of  $w'$  in  $T_\ell$ , other than  $v$ , are neighbors of  $x'$  in  $G$ . Therefore, there  
 144 is an embedding of  $T_\ell$  in  $G$ .  $\square$

145 The remainder of the results in this section will expand on the properties of the class of  
 146 strongly ES-embeddable trees and use these results to show there is gap in the saturation  
 147 spectrum of all strongly ES-embeddable trees by using Theorem 2.4.

148 Our next result gives a bound on the number of edges in a  $T_\ell$  free graph which satisfies a  
 149 ‘local sparsity’ condition.

150 **Lemma 3.5.** *Let  $T_\ell$  be a strongly ES-embeddable tree on  $\ell \geq 6$  vertices. If  $G$  is a  $T_\ell$ -free  
 151 graph and there is no set of  $\ell - 1$  vertices that induce at least  $\binom{\ell-1}{2} - \lfloor \frac{\ell-2}{2} \rfloor$  edges, then*

$$\sum_{v \in V(G)} d(v) \leq (\ell - 2)|G| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor.$$

152 *Proof.* First note that every graph of order at most  $\ell - 2$  is  $T_\ell$ -free and does not contain a  
 153 set of  $\ell - 1$  vertices. Let  $|G| \leq \ell - 2$  with  $\ell \geq 6$ . The degree sum of the vertices of  $G$  is at  
 154 most

$$\begin{aligned}
\sum_{v \in V(G)} d(v) &\leq |G|(|G| - 1) \\
&\leq (\ell - 2)(|G| - 1) \\
&= (\ell - 2)|G| - (\ell - 2) \\
&\leq (\ell - 2)|G| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor.
\end{aligned}$$

155 Hence,  $\sum_{v \in V(G)} d(v) \leq (\ell - 2)|G| - 2 \lfloor \frac{\ell - 2}{2} \rfloor$  for every graph  $G$  such that  $|G| \leq \ell - 2$ . If  
156  $|G| = \ell - 1$  then the result follows from the condition that no set of  $\ell - 1$  vertices induce  
157 more than  $\binom{\ell - 1}{2} - \lfloor \frac{\ell - 2}{2} \rfloor$  edges.

158 Thus, any counterexample to the theorem must have order at least  $\ell$ . Let  $G$  be a vertex  
159 minimal counterexample to the statement. That is, suppose  $G$  is the graph of smallest order  
160 that is  $T_\ell$ -free and has no set of  $\ell - 1$  vertices which induce more than  $\binom{\ell - 1}{2} - \lfloor \frac{\ell - 4}{2} \rfloor$  edges  
161 and

$$\sum_{v \in V(G)} d(v) > (\ell - 2)|G| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor.$$

162 If  $G$  is disconnected then there is some component  $X$  of  $G$  such that  $\bar{d}(G) \leq \bar{d}(G[X])$ ,  
163 hence  $G[X]$  is a smaller counterexample. Thus we may assume that  $G$  is connected.

164 Suppose there is a vertex  $u \in V(G)$  such that  $d(u) < \lfloor \frac{\ell}{2} \rfloor$ . Notice that since  $G - u$  is a  
165 subgraph of  $G$ , it is  $T_\ell$ -free and does not contain a set of  $\ell - 1$  vertices that induce more  
166 than  $\binom{\ell - 1}{2} - \lfloor \frac{\ell - 2}{2} \rfloor$  edges. Now we calculate the degree sum of  $G - u$  as follows:

$$\begin{aligned}
\sum_{v \in V(G - u)} d(v) &> (\ell - 2)|G| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor - 2 \left( \left\lfloor \frac{\ell}{2} \right\rfloor - 1 \right) \\
&\geq (\ell - 2)|G| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor - (\ell - 2) \\
&= (\ell - 2)|G - u| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor.
\end{aligned}$$

167 Thus  $G - u$  is a smaller order counterexample than  $G$ , hence we may assume that  $\delta(G) \geq$   
168  $\lfloor \frac{\ell}{2} \rfloor$ . Finally note that  $\bar{d}(G) > (\ell - 2) - \frac{2}{|G|} \lfloor \frac{\ell - 2}{2} \rfloor > (\ell - 3)$ . Therefore,  $G$  contains every  
169 strongly ES-embeddable tree on  $\ell$  vertices, a contradiction.  $\square$

170 Our next result allows us to exploit the local sparsity condition in the previous theorem.  
171 We show that a close to complete graph on  $\ell - 1$  vertices, along with a pendant vertex  
172 contains all small trees.

173 **Lemma 3.6.** *Let  $T$  be a tree on  $\ell$  vertices which is not  $K_{1, \ell - 1}$ , and  $G$  be a graph on  $\ell$   
174 vertices consisting of a  $K_{\ell - 1}$ , with at most  $\lfloor \frac{\ell - 2}{2} \rfloor$  edges removed, having a single pendant  
175 edge adjacent to one of the vertices. Then there is an embedding of  $T$  into  $G$ .*

176 *Proof.* We prove the slightly stronger statement: Let  $z^* \in V(T)$  such that all but one of the  
177 neighbors of  $z^*$  are leaves, and which has at most  $\lfloor \frac{\ell - 2}{2} \rfloor$  leaf neighbors. Note that in any  
178 tree that is a non-star, there are always at least two vertices all of whose neighbors except

179 one are leaves, and at least one of these vertices must be adjacent to at most  $\lfloor \frac{\ell-2}{2} \rfloor$  leaves.  
 180 Denote by  $x \in V(G)$  the neighbor of the pendant vertex of  $G$ . We prove that there is an  
 181 embedding of  $T$ , so that  $z^*$  maps to  $x$ .

182 Inspection quickly verifies that this holds for  $4 \leq \ell \leq 5$ . (Note that the statement is false  
 183 if  $\lfloor \frac{\ell-2}{2} \rfloor$  is replaced by  $\lceil \frac{\ell-2}{2} \rceil$  for  $\ell = 3$  so our statement is best possible.) We now assume  
 184  $\ell \geq 6$  and proceed by induction.

185 Let  $H$  be the graph formed by removing  $s \leq \lfloor \frac{\ell-2}{2} \rfloor$  edges from  $K_{\ell-1}$  so that  $G = H + e$ ,  
 186 where  $e$  is the pendant edge. Also, let  $x$  denote the vertex of the  $H$  incident to the pendant  
 187 edge in  $G$ . Note that since  $2 \cdot s \leq \ell - 2$ , there are at least two vertices of  $H$  not incident  
 188 to any removed edges (that is  $H$  contains two dominating vertices), at least one of these  
 189 dominating vertices is not  $x$ , denote it by  $y$ .

190 Now let  $z \in V(T)$  be an internal vertex of  $T$  incident to only one non-leaf and incident to  
 191 as many leaves as possible, and  $z^*$  be such an internal vertex incident to as *few* as possible.  
 192 Suppose  $z$  is incident to  $t$  leaves. Consider  $G' = G - (\{y\} \cup L)$  where  $L$  consists of  $t$  vertices  
 193 of  $G - \{x\}$  which are incident to as many removed edges as possible. In particular, note  
 194 that  $L$  is incident to at least  $\min\{t, s\}$  removed edges, and hence  $G'$  consists of  $H'$ , which is  
 195 obtained by removing at most  $s - \min\{t, s\}$  edges from  $K_{\ell-t-2}$ , and the pendent edge.

196 Let  $T'$  be the subtree of  $T$  obtained by removing from  $T$  the vertex  $Z$  and its  $t$  leaves. We  
 197 now wish to inductively embed  $T'$  into  $H'$ , with  $z^*$  being placed at  $x$ , and then complete the  
 198 embedding to  $T$  by placing  $z$  at  $y$  and its  $t$  leaves at the vertices of  $L$ .

199 There are three possible problems with completing this embedding.

200 (1) The degree of  $x$  in  $H'$  is insufficient, due to removed edges, to embed  $z^*$ .

201 (2) The tree  $T'$  is a star and we cannot apply induction to embed it in  $H'$ .

202 (3) The vertex  $z'$  is embedded at  $w$ , the leaf adjacent to  $x$  in  $H'$ . Hence we cannot embed  
 203  $z$  at  $y$  and have  $z$  and  $z'$  adjacent.

204 To see that (1) does not happen, note that in  $H' - \{x\}$  there remain  $\ell - 2 - (t + 1) = \ell - t - 3$   
 205 vertices. Even if all of the possible  $s - t$  missing edges are incident to  $x$ , then

$$\begin{aligned} d(x) &\geq \ell - t - 3 - (s - t) \\ &= \ell + s - 3 \end{aligned}$$

206 and by our restrictions  $d(x) \leq \lfloor \frac{\ell-2}{2} \rfloor + 1$ . Hence, if  $\lfloor \frac{\ell-2}{2} \rfloor + 1 > \ell + s - 3$  we have a problem.  
 207 But this implies  $4 > \lfloor \frac{\ell-2}{2} \rfloor + s$ , a contradiction as  $\ell \geq 6$ .

208 Thus we need only handle embeddings for cases (2) and (3), as induction applies otherwise.

209 For case (2),  $T'$  is a star. Since  $T$  is not a star, the only way  $T'$  can be a star is if  $T$  is a  
 210 double star, that is,  $z$  and  $z^*$  are adjacent in  $T$ , or  $z$  and  $z^*$  are joined by a path of length 2  
 211 with  $z'$  being the intermediate vertex (which produces the potential problem of case (3)).

212 If  $T$  is a double star, then for  $T'$  we embed  $z^*$  at  $x$ , one of its leaves at  $w$ , and the remaining  
 213 vertices adjacent to  $z^*$  at vertices adjacent to  $x$  in  $H'$ . We know the degree of  $x$  is sufficient  
 214 to allow this. Now embedding  $z$  at  $y$  and its leaves at vertices of  $L$  allows  $z$  to be connected  
 215 to  $z^*$ , completing the embedding of  $T$ .

216 If  $z^*$  and  $z$  are joined by a path of length 2, then we embed  $T'$  as follows: Place  $z^*$  at  $x$ ,  
 217 one of its leaves at  $w$ , and the remaining vertices adjacent to  $z^*$ , including  $z'$ , at neighbors

218 of  $x$  in  $H'$ . Again we know from above this is possible. The embedding is now completed as  
 219 before and  $z$  and  $z'$  are adjacent.

220

□

221 We now restate and prove our main theorem.

222 **Theorem 2.4.** *Let  $T_\ell$  be a strongly ES-embeddable tree on  $\ell \geq 6$  vertices. If  $G$  is  $T_\ell$ -saturated  
 223 with  $|G| \equiv 0 \pmod{\ell - 1}$  and  $G$  is not a union of copies of  $K_{\ell-1}$ , then*

$$|E(G)| \leq \frac{|G|}{\ell - 1} \binom{\ell - 1}{2} - \left\lfloor \frac{\ell - 2}{2} \right\rfloor.$$

224 *Proof.* There is a component  $S$  of  $G$  which is not a copy of  $K_{\ell-1}$ . Note that this implies  
 225  $|S| \neq \ell - 1$ . It will be shown that  $G[S]$  satisfies the hypothesis of Lemma 3.5. Since  $G$  is  
 226  $T_\ell$ -saturated it follows that  $G[S]$  is  $T_\ell$ -free. If  $|S| \leq \ell - 2$  then there is not a set of  $\ell - 1$   
 227 vertices in  $G[S]$ . Suppose that  $|S| \geq \ell$  and there is a set of  $\ell - 1$  vertices  $X$  in  $V(G[S])$   
 228 that induce at least  $\binom{\ell-1}{2} - \lfloor \frac{\ell-2}{2} \rfloor$  edges. Since  $G[S]$  is connected there is an addition vertex  
 229 in  $S$  that is connected to  $G[X]$ . Thus, Lemma 3.6 implies that  $G[X \cup \{v\}]$  contains every  
 230 non-star tree of order  $\ell$ . Therefore  $G[S]$  satisfies the hypothesis of Lemma 3.5. Hence  
 231  $\sum_{v \in V(S)} d(v) \leq (\ell - 2)|S| - 2 \lfloor \frac{\ell-2}{2} \rfloor$  and any component  $S$  that is not a copy of  $K_{\ell-1}$  has  
 232 degree sum at most  $(\ell - 2)|S| - 2 \lfloor \frac{\ell-2}{2} \rfloor$ . Let  $X$  be the vertices of  $G$  that are in a  $K_{\ell-1}$   
 233 component and  $Y = V(G) \setminus X$ . It follows that the degree sum of  $G$  can be bounded as  
 234 follows:

$$\begin{aligned} \sum_{v \in V(G)} d(v) &= \sum_{v \in X} d(v) + \sum_{v \in Y} d(v) \\ &\leq (\ell - 2)|X| + (\ell - 2)|Y| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor \\ &\leq (\ell - 2)|G| - 2 \left\lfloor \frac{\ell - 2}{2} \right\rfloor. \end{aligned}$$

235

□

236 From the proof of Theorem 2.4 it is easy to see that when  $|G| \equiv 0 \pmod{\ell - 1}$  the extremal  
 237 graph is a union of copies of  $K_{\ell-1}$ . Hence, as an immediate corollary to Theorem 2.4 we  
 238 obtain the following result.

239 **Corollary 3.7.** *Let  $T_\ell$  be a path or scrub grass tree on  $\ell \geq 6$  vertices and  $n = |G| \equiv 0$   
 240  $\pmod{\ell - 1}$  and  $m$  be an integer such that  $1 \leq m \leq \lfloor \frac{\ell-2}{2} \rfloor - 1$ . There is no graph of size  
 241  $\frac{|G|}{\ell-1} \binom{\ell-1}{2} - m$  in  $\text{spec}(n, T_\ell)$ . Hence there is a gap in  $\text{spec}(n, T_\ell)$ .*

242 While Corollary 3.7 implies the existence of a gap in the saturation spectrum, it is possible  
 243 that the size of the gap is larger than what the corollary guarantees. However, note that if  
 244  $T_\ell$  is a tree of order  $\ell$ , where  $T_\ell$  is not  $K_{1, \ell-1}$ , then  $2K_{\ell-2} \cup K_2$  is  $T_\ell$ -saturated. This example  
 245 shows that, for any non-star, any gap in the saturation spectrum is at most  $O(\ell)$ , and hence  
 246 our result gives a gap of the correct order.



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