



The SD-world:  
a bridge between algebra, topology, and set theory

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- 1. Overview of the SD-world, with a special emphasis on the word probleme of SD.
- 2. The connection with set theory and the Laver tables.

## Plan:

### ● Minicourse I. The SD-world

- 1. A general introduction
  - Classical and exotic examples
  - Connection with topology: quandles, racks, and shelves
  - A chart of the SD-world
- 2. The word problem of SD: a semantic solution
  - Braid groups
  - The braid shelf
  - A freeness criterion
- 3. The word problem of SD: a syntactic solution
  - The free monogenerated shelf
  - The comparison property
  - The Thompson's monoid of SD

### ● Minicourse II. Connection with set theory

- 1. The set-theoretic shelf
  - Large cardinals and elementary embeddings
  - The iteration shelf
- 2. Periods in Laver tables
  - Quotients of the iteration shelf
  - The dictionary
  - Results about periods

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- The **self-distributivity law** SD:

- ▶ **left** version: “left self-distributivity”

$$x(yz) = (xy)(xz) \quad (\text{LD})$$

or 
$$x \triangleright (y \triangleright z) = (x \triangleright y) \triangleright (x \triangleright z) \quad (\text{LD})$$

- ▶ **right** version: “right self-distributivity”

$$(xy)z = (xz)(yz) \quad (\text{RD})$$

or 
$$(x \triangleleft y) \triangleleft z = (x \triangleleft z) \triangleleft (y \triangleleft z) \quad (\text{RD})$$

- Definition: An LD-groupoid, or **left shelf**, is a structure  $(S, \triangleright)$  with  $\triangleright$  obeying (LD).  
An RD-groupoid, or **shelf**, is a structure  $(S, \triangleleft)$  with  $\triangleleft$  obeying (RD).

- Definition: A **rack** is a shelf in which all right-translations are bijections.

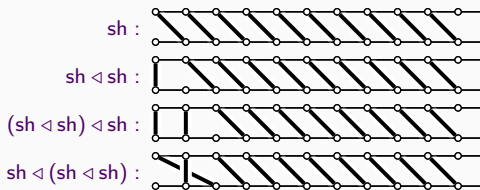
- ▶ Equivalently:  $(S, \triangleleft, \overline{\triangleleft})$  with  $\triangleleft, \overline{\triangleleft}$  obeying (RD) and, in addition

$$(x \triangleleft y) \overline{\triangleleft} y = x \quad \text{and} \quad (x \overline{\triangleleft} y) \triangleleft y = x.$$

- Definition: A **quandle** is an idempotent rack ( $x \triangleleft x = x$  always holds).

- “Trivial” shelves:  $S$  a set,  $f$  a map  $S \rightarrow S$ , and  $x \triangleleft y := f(x)$ .
  - ▶ A rack iff  $f$  is a permutation of  $S$ .
  - ▶ In particular: the **cyclic** rack:  $\mathbb{Z}/n\mathbb{Z}$  with  $p \triangleleft q := p + 1$ .
  - ▶ In particular: the **augmentation** rack:  $\mathbb{Z}$  with  $p \triangleleft q := p + 1$ .
- **Lattice** shelves:  $(L, \vee, 0)$  a (semi)-lattice, and  $x \triangleleft y := x \vee y$ .
  - ▶ Idempotent; never a rack for  $\#L \geq 2$ : always  $0 \triangleleft x = x \triangleleft x (= x)$ .
  - ▶ A non-idempotent related example:  $B$  a Boolean algebra, and  $x \triangleleft y := x \vee y^c$ .  
(i.e., “ $x \leftarrow y$ ”)
- **Alexander** shelves:  $R$  a ring,  $t$  in  $R$ ,  $E$  an  $R$ -module, and  $x \triangleleft y := tx + (1 - t)y$ .
  - ▶ A rack (even a quandle) iff  $t$  is invertible in  $R$ .
  - ▶ In particular: symmetries in  $\mathbb{R}^n$ :  $x \triangleleft y := -x + 2y$  ( $\rightsquigarrow$  root systems).
- **Conjugacy** quandles:  $G$  a group,  $x \triangleleft y := y^{-1}xy$ .
  - ▶ Always a quandle.
  - ▶ In particular: the **free** quandle based on  $X$  when  $G$  is the free group based on  $X$ .  
 ↑  
 when viewed as  $(Q, \triangleleft, \bar{\triangleleft})$ :  $(F_X, \triangleleft)$  is not a free idempotent shelf,  
 it satisfies other laws:  $x \triangleleft (y \triangleleft (y \triangleleft x)) = (x \triangleleft (x \triangleleft y)) \triangleleft (y \triangleleft x)$ , ...  
 (Drápal-Kepka-Mušílek, Larue)
  - ▶ Variants:  $x \triangleleft y := y^{-n}xy^n$ ,  $x \triangleleft y := f(y^{-1}x)y$  with  $f \in \text{Aut}(G)$ , ...

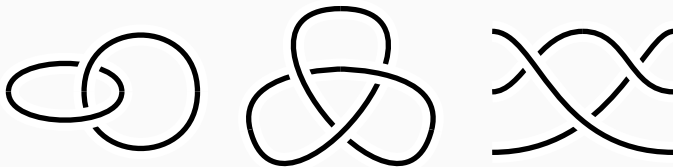
- **Core** (or **sandwich**) quandles:  $G$  a group, and  $x \triangleleft y := yx^{-1}y$ .
- **Half-conjugacy** racks:  $G$  a group,  $X$  a subset of  $G$ ,  
and  $(x, g) \triangleleft (y, h) := (x, h^{-1}y^{-1}gyh)$  on  $X \times G$ .
  - ▶ Not idempotent for  $X \not\subseteq Z(G)$ .
  - ▶ the **free** rack based on  $X$  when  $G$  is the free group based on  $X$ .
- The **injection** shelf:  $X$  an (infinite) set,  $\mathcal{I}_X$  monoid of all injections from  $X$  to itself,  
and  $f \triangleleft g(x) := g(f(g^{-1}(x)))$  for  $x \in \text{Im}(g)$ , and  $f \triangleleft g(x) := x$  otherwise.
  - ▶ In particular,  $X := \mathbb{N}$  ( $= \mathbb{Z}_{>0}$ ) starting with **sh** :  $n \mapsto n + 1$ :



[P.D. Algebraic properties of the shift mapping, Proc. Amer. Math. Soc. 106 (1989) 617-623]

- The **braid** shelf, the **iteration** shelf, **Laver tables**: see below...

- Planar diagrams:



► projections of curves embedded in  $\mathbb{R}^3$

- Generic question: recognizing whether two 2D-diagrams are  
 (projections of) **isotopic** 3D-figures  
 continuously deform the 3D-figure allowing no curve crossing  
 ► find isotopy **invariants**.

- Two diagrams represent isotopic figures iff one can go from the former to the latter using finitely many Reidemeister moves:





- Fix a set (of colors)  $S$  equipped with two operations  $\triangleleft, \bar{\triangleleft}$ , and color the strands in diagrams obeying the rules:

$$\begin{array}{cc}
 b & \xrightarrow{\quad} a \triangleleft b \\
 \quad \searrow & \quad \nearrow \\
 a & \xrightarrow{\quad} b
 \end{array}
 \quad \text{and} \quad
 \begin{array}{cc}
 b & \xrightarrow{\quad} a \\
 \quad \searrow & \quad \nearrow \\
 a & \xrightarrow{\quad} b \bar{\triangleleft} a
 \end{array} .$$

- Action of Reidemeister moves on colors:

$$\begin{array}{ccc}
 \begin{array}{c} c \\ b \\ a \end{array} & \begin{array}{l} b \triangleleft c \\ \nearrow \\ \searrow \\ a \triangleleft c \end{array} & \begin{array}{c} (a \triangleleft c) \triangleleft (b \triangleleft c) \\ b \triangleleft c \\ c \end{array} \\
 & \sim & \\
 \begin{array}{c} c \\ b \\ a \end{array} & \begin{array}{l} \nearrow \\ \searrow \\ a \triangleleft b \end{array} & \begin{array}{c} (a \triangleleft b) \triangleleft c \\ b \triangleleft c \\ c \end{array}
 \end{array}$$

► Hence:

*$(S, \triangleleft)$ -colorings are invariant under Reidemeister move III iff  $(S, \triangleleft)$  is a shelf.*

- Idem for Reidemeister move II:



► Hence:

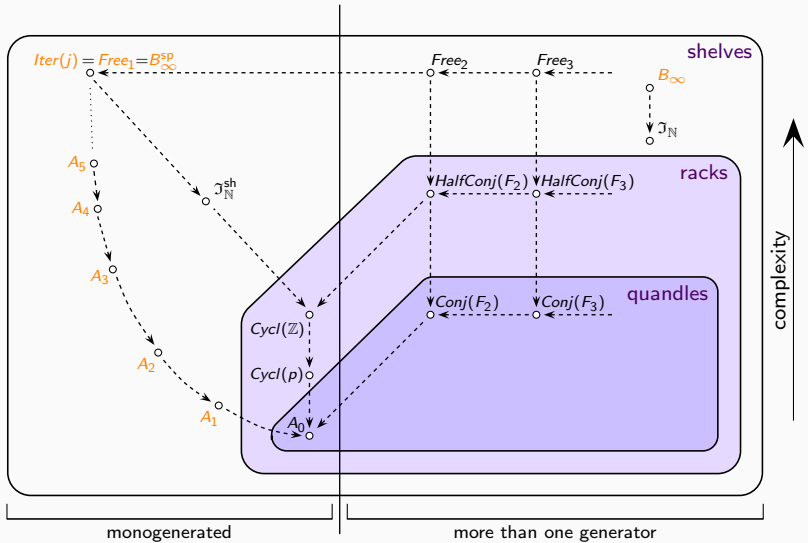
$(S, \triangleleft)$ -colorings are invariant under Reidemeister moves II+III iff  $(S, \triangleleft)$  is a rack.

- Idem for Reidemeister move I:



► Hence:

$(S, \triangleleft)$ -colorings are invariant under Reidemeister moves I+II+III iff  $(S, \triangleleft)$  is a quandle.



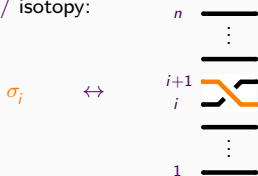
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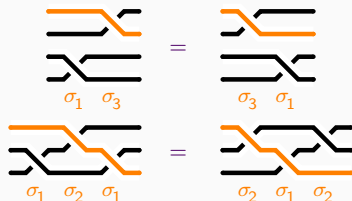
- Definition (Artin 1925/1948): The **braid** group  $B_n$  is the group with presentation

$$\langle \sigma_1, \dots, \sigma_{n-1} \mid \begin{array}{l} \sigma_i \sigma_j = \sigma_j \sigma_i \quad \text{for } |i-j| \geq 2 \\ \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j \quad \text{for } |i-j| = 1 \end{array} \rangle.$$

$\simeq \{ \text{braid diagrams} \} / \text{isotopy}$ :



- Example:

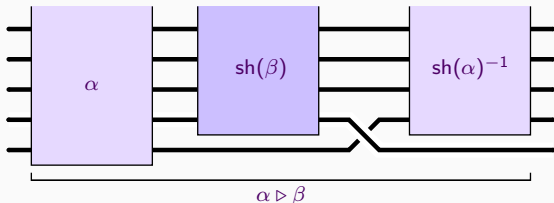


- Adding a strand on the right provides  $i_{n,n+1} : B_n \hookrightarrow B_{n+1}$ 
  - ▶ Direct limit  $B_\infty = \left\langle \sigma_1, \sigma_2, \dots \mid \begin{array}{l} \sigma_i \sigma_j = \sigma_j \sigma_i \text{ for } |i-j| \geq 2 \\ \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j \text{ for } |i-j| = 1 \end{array} \right\rangle$ .
  - ▶ **Shift** endomorphism of  $B_\infty$ :  $\text{sh} : \sigma_i \mapsto \sigma_{i+1}$ .

• Proposition: For  $\alpha, \beta$  in  $B_\infty$ , define

$$\alpha \triangleright \beta := \alpha \cdot \text{sh}(\beta) \cdot \sigma_1 \cdot \text{sh}(\alpha)^{-1}.$$

Then  $(B_\infty, \triangleright)$  is a **left** shelf.



- Examples:  $1 \triangleright 1 = \sigma_1$ ,  $1 \triangleright \sigma_1 = \sigma_2 \sigma_1$ ,  $\sigma_1 \triangleright 1 = \sigma_1^2 \sigma_2^{-1}$ ,  $\sigma_1 \triangleright \sigma_1 = \sigma_2 \sigma_1$ , etc.

$$\begin{aligned}
 \blacktriangleright \text{Proof: } \alpha \triangleright (\beta \triangleright \gamma) &= \alpha \cdot \text{sh}(\beta \cdot \text{sh}(\gamma)) \cdot \sigma_1 \cdot \text{sh}(\beta)^{-1} \cdot \sigma_1 \cdot \text{sh}(\alpha)^{-1} \\
 &= \alpha \cdot \text{sh}(\beta) \cdot \text{sh}^2(\gamma) \cdot \sigma_2 \cdot \text{sh}^2(\beta)^{-1} \cdot \sigma_1 \cdot \text{sh}(\alpha)^{-1} \\
 &= \alpha \cdot \text{sh}(\beta) \cdot \text{sh}^2(\gamma) \cdot \sigma_2 \sigma_1 \cdot \text{sh}^2(\beta)^{-1} \cdot \text{sh}(\alpha)^{-1}.
 \end{aligned}$$

$$\begin{aligned}
 (\alpha \triangleright \beta) \triangleright (\alpha \triangleright \gamma) &= (\alpha \text{sh}(\beta) \sigma_1 \text{sh}(\alpha)^{-1}) \cdot \text{sh}(\alpha \text{sh}(\gamma) \sigma_1 \text{sh}(\alpha)^{-1}) \cdot \sigma_1 \cdot \text{sh}(\alpha \text{sh}(\beta) \sigma_1 \text{sh}(\alpha)^{-1})^{-1} \\
 &= \alpha \text{sh}(\beta) \sigma_1 \text{sh}(\alpha)^{-1} \text{sh}(\alpha) \text{sh}^2(\gamma) \sigma_2 \text{sh}^2(\alpha)^{-1} \sigma_1 \text{sh}^2(\alpha) \sigma_2^{-1} \text{sh}^2(\beta)^{-1} \text{sh}(\alpha)^{-1} \\
 &= \alpha \text{sh}(\beta) \sigma_1 \text{sh}^2(\gamma) \sigma_2 \sigma_1 \sigma_2^{-1} \text{sh}^2(\beta)^{-1} \text{sh}(\alpha)^{-1} \\
 &= \alpha \cdot \text{sh}(\beta) \cdot \text{sh}^2(\gamma) \cdot \sigma_1 \sigma_2 \sigma_1 \sigma_2^{-1} \cdot \text{sh}^2(\beta)^{-1} \cdot \text{sh}(\alpha)^{-1} \quad \square
 \end{aligned}$$

- Remark: Shelf (=right shelf) with

$$\alpha \triangleleft \beta := \text{sh}(\beta)^{-1} \cdot \sigma_1 \cdot \text{sh}(\alpha) \cdot \beta,$$

but less convenient here.

- Remark: Works similarly with

$$x \triangleright y := x \cdot \phi(y) \cdot e \cdot \phi(x)^{-1}$$

whenever  $G$  is a group  $G$ ,  $e$  belongs to  $G$ , and  $\phi$  is an endomorphism  $\phi$  satisfying

$$e \phi(e) e = \phi(e) e \phi(e) \quad \text{and} \quad \forall x (e \phi^2(x) = \phi^2(x) e).$$

- Proposition (D., 1989, Laver, 1989) If  $(S, \triangleright)$  is a monogenerated left shelf, a sufficient condition for  $(S, \triangleright)$  to be free is that the relation  $\sqsubset$  on  $S$  has no cycle.

$$\begin{array}{c} \uparrow \\ x \sqsubset y \text{ if } \exists z (x \triangleright z = y). \end{array}$$

► Equivalently:  $x = (\dots ((x \triangleright z_1) \triangleright z_2) \triangleright \dots) \triangleright z_n$  is impossible.

- Theorem (D., 1991): Every braid in  $B_\infty$  generates in  $(B_\infty, \triangleright)$  a free left shelf.

► Typically: The subshelf of  $(B_\infty, \triangleright)$  generated by  $1$  is a free left shelf.

► Proof (Larue, 1992): Use the (faithful) Artin representation  $\rho$  of  $B_\infty$  in  $\text{Aut}(F_\infty)$ :

$$\rho(\sigma_i)(x_i) := x_i x_{i+1} x_i^{-1}, \quad \rho(\sigma_i)(x_{i+1}) := x_i, \quad \rho(\sigma_i)(x_k) := x_k \text{ for } k \neq i, i+1,$$

Then  $\alpha \sqsubset \beta$  in  $B_\infty$  implies that  $\alpha^{-1}\beta$  has an expression with  $\geq 1$  letter  $\sigma_1$  and no  $\sigma_1^{-1}$ .

For such a braid  $\gamma$ , the word  $\rho(\gamma)(x_1)$  in  $F_\infty$  finishes with the letter  $x_1^{-1}$ .  $\square$

- Corollary: (solution of the wp of SD) Given two terms  $T, T'$ :

► Evaluate  $T$  and  $T'$  at  $x := 1$  in  $B_\infty$ ;

► Then  $T =_{\text{SD}} T'$  iff  $T(1) = T'(1)$  in  $B_\infty$ .



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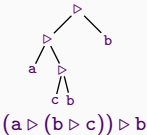
- Describe the **free** (left) shelf based on a set  $X$  (= the most general shelf gen'd by  $X$ )  
(= the shelf generated by  $X$ , every shelf generated by  $X$  is a quotient of)
- Lemma: Let  $\mathcal{T}_X$  be the family of all **terms** built from  $X$  and  $\triangleright$ , and  $=_{SD}$  be the congruence (i.e., compatible equiv. rel.) on  $\mathcal{T}_X$  generated by all pairs  
 $(T_1 \triangleright (T_2 \triangleright T_3), (T_1 \triangleright T_2) \triangleright (T_1 \triangleright T_3))$ .  
 Then  $\mathcal{T}_X / =_{SD}$  is the free left-shelf based on  $X$ .

▶ Proof: trivial. □

▶ ...but says nothing:  $=_{SD}$  not under control so far. In particular, is it **decidable**?

- Terms on  $X$  as **binary trees** with nodes  $\triangleright$  and leaves in  $X$ : assuming  $X = \{a, b, c\}$ ,

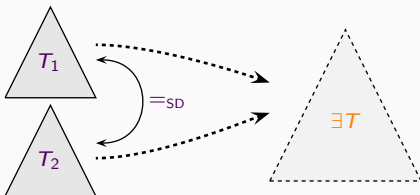
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- Lemma (confluence): Let  $\rightarrow_{SD}$  be the semi-congruence on  $\mathcal{T}_X$  gen'd by all pairs  $(T_1 \triangleright (T_2 \triangleright T_3), (T_1 \triangleright T_2) \triangleright (T_1 \triangleright T_3))$ .

Then  $T_1 =_{SD} T_2$  holds iff one has  $T_1 \rightarrow_{SD} T$  and  $T_2 \rightarrow_{SD} T$  for some  $T$ .

“SD-equivalent iff admit a common SD-expansion”



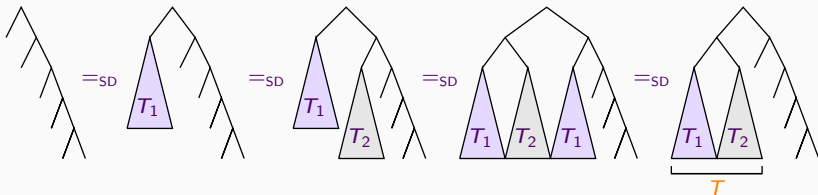
- Lemma (absorption): Define  $x^{[1]} := x$  and  $x^{[n]} := x \triangleright x^{[n-1]}$  for  $n \geq 2$ . For  $T$  in  $\mathcal{T}_x$ ,  $x^{[n+1]} =_{SD} T \triangleright x^{[n]}$

holds for  $n > ht(T)$ , where  $ht(x) := 0$  and  $ht(T_1 \triangleright T_2) := \max(ht(T_1), ht(T_2)) + 1$ .

► Proof: Induction on  $T$ . For  $T = x$ , direct from the definitions.

Assume  $T = T_1 \triangleright T_2$  and  $n > ht(T)$ . Then  $n - 1 > ht(T_1)$  and  $n - 1 > ht(T_2)$ .

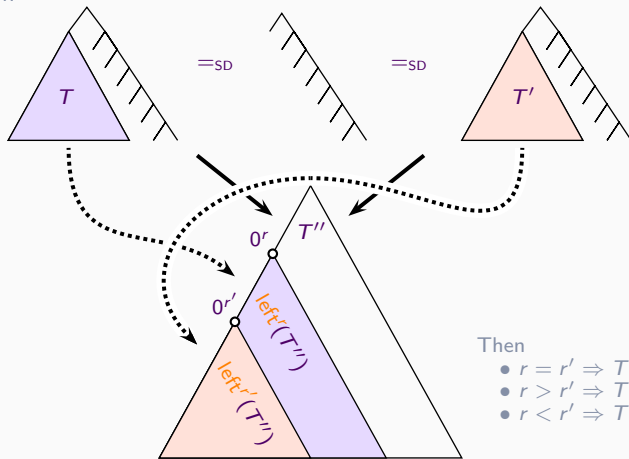
Then  $x^{[n+1]} =_{SD} T_1 \triangleright x^{[n]}$  by induction hypothesis for  $T_1$   
 $=_{SD} T_1 \triangleright (T_2 \triangleright x^{[n-1]})$  by induction hypothesis for  $T_2$   
 $=_{SD} (T_1 \triangleright T_2) \triangleright (T_1 \triangleright x^{[n-1]})$  by applying SD  
 $=_{SD} (T_1 \triangleright T_2) \triangleright x^{[n]}$  by induction hypothesis for  $T_1$   
 $= T \triangleright x^{[n]}$ . □



- Lemma (comparison I): Write  $T \sqsubset_{SD} T'$  for  $\exists T'' (T' =_{SD} T \triangleright T'')$ , and  $\sqsubset_{SD}^*$  for the transitive closure of  $\sqsubset_{SD}$ . Then, for all  $T, T'$  in  $\mathcal{T}_x$ , one has at least one of

$$T \sqsubset_{SD}^* T', \quad T =_{SD} T', \quad T' \sqsubset_{SD}^* T.$$

► Proof:



Then

- $r = r' \Rightarrow T =_{SD} T'$
- $r > r' \Rightarrow T \sqsubset_{SD}^* T'$
- $r < r' \Rightarrow T' \sqsubset_{SD}^* T$

□

- Application: If  $(S, \triangleright)$  is a monogenerated left-shelf, any two distinct elements of  $S$  are  $\sqsubset^*$ -comparable (with  $\sqsubset^*$  = transitive closure of  $\sqsubset$  = iterated left divisibility).
- Proposition (freeness criterion): *If  $(S, \triangleright)$  is a monogenerated left-shelf and  $\sqsubset$  has no cycle, then  $(S, \triangleright)$  is free.*
  - ▶ Proof: Assume  $S$  gen'd by  $g$ . "S is free" means " $T \neq_{SD} T' \Rightarrow T(g) \neq T'(g)$ ". Now  $T \neq_{SD} T'$  implies  $T \sqsubset_{SD}^* T'$  or  $T' \sqsubset_{SD}^* T$ ,  
whence  $T(g) \sqsubset^* T'(g)$  or  $T'(g) \sqsubset^* T(g)$ .  
As  $\sqsubset$  has no cycle in  $S$ , both imply  $T(g) \neq T'(g)$ .  $\square$
- Proposition: *If there exists at least one shelf with  $\sqsubset$  acyclic, then  $\sqsubset_{SD}^*$  has no cycle.*
  - ▶ And such examples do exist: 1. Iteration shelf (Laver, 1989);  
2. Free shelf (Dehornoy, 1991); 3. Braid shelf (D., 1991, Larue, 1992, D., 1994).

- Corollary: (solution of the wp of SD) *Given two terms  $T, T'$ :*
  - ▶ Find a common LD-expansion  $T''$  of  $T \triangleright x^{[n]}$  and  $T' \triangleright x^{[n]}$ ;
  - ▶ Find  $r$  and  $r'$  satisfying  $T \rightarrow_{SD} \text{left}^r(T'')$  and  $T' \rightarrow_{SD} \text{left}^{r'}(T'')$ .
  - ▶ Then  $T =_{SD} T'$  iff  $r = r'$ .

• Definition: For  $\alpha$  a binary address (= finite sequence of 0s and 1s), let  $SD_\alpha$  be the partial operator “apply SD in the expanding direction at address  $\alpha$ ”. The **Thompson's monoid of SD** is the monoid  $\mathcal{M}_{SD}$  gen'd by all  $SD_\alpha$  and their inverses.

• Fact: Two terms  $T, T'$  are SD-equivalent iff some element of  $\mathcal{M}_{SD}$  maps  $T$  to  $T'$ .

• Now, for every term  $T$ , select an element  $\chi_T$  of  $\mathcal{M}_{SD}$  that maps  $x^{[n+1]}$  to  $T \triangleright x^{[n]}$ .  
 ▶ Follow the inductive proof of the absorption property:

$$\chi_x := 1, \quad \chi_{T_1 \triangleright T_2} := \chi_{T_1} \cdot sh_1(\chi_{T_2}) \cdot SD_\emptyset \cdot sh_1(\chi_{T_1})^{-1}. \quad (*)$$

• Next, identify relations in  $\mathcal{M}_{SD}$ :

$$SD_{11\alpha}SD_\alpha = SD_\alpha SD_{11\alpha}, \quad SD_{1\alpha}SD_\alpha SD_{1\alpha}SD_{0\alpha} = SD_\alpha SD_{1\alpha}SD_\alpha, \text{ etc.} \quad (**)$$

▶ When every  $SD_\alpha$  s.t.  $\alpha$  contains 0 is collapsed, only the  $SD_{11\dots 1}$ s remain.

▶ Write  $\sigma_{i+1}$  for the image of  $SD_{11\dots 1}$ ,  $i$  times 1. Then (\*\*) becomes

$$\sigma_i \sigma_j = \sigma_j \sigma_i \text{ for } |j - i| \geq 2, \quad \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j \text{ for } |j - i| = 1.$$

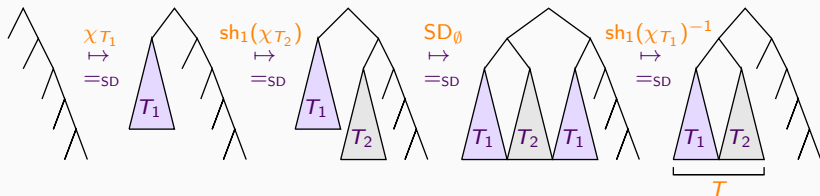
▶ The resulting quotient of  $\mathcal{M}_{SD}$  is  $B_\infty$  (!).

▶ If  $\phi$  maps  $T$  to  $T'$ , then  $sh_0(\phi)$  maps  $T \triangleright x^{[n]}$  to  $T' \triangleright x^{[n]}$ , so collapsing all  $sh_0(\phi)$  must give an SD-operation on the quotient, i.e., on  $B_\infty$ .

▶ Its definition is the projection of (\*), i.e.,

$$a \triangleright b := a \cdot sh(b) \cdot \sigma_i \cdot sh(a)^{-1}.$$

- The “magic” braid operation revisited:

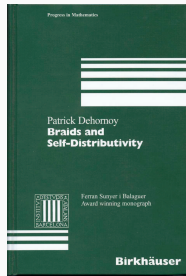


whence  $\chi_{T_1 \triangleright T_2} = \chi_{T_1} \cdot \text{sh}_1(\chi_{T_2}) \cdot \text{SD}_\emptyset \cdot \text{sh}_1(\chi_{T_1}^{-1})$ ,

which projects to the braid operation.

.../...

- See more in [P.D., Braids and selfdistributivity, PM192, Birkhäuser (1999)]





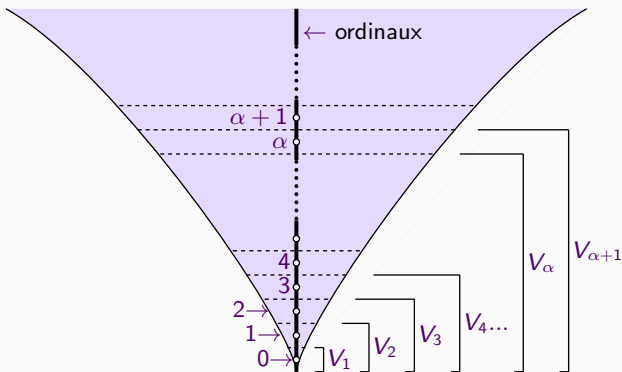
## Plan:

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- Set theory is the theory of infinities.
- The standard axiomatic system ZF is (very) incomplete (Gödel, Cohen).
  - ▶ Identify further properties of infinite sets = explore further axioms.
  - ▶ Typical example: axioms of large cardinal = solutions of
 
$$\frac{\text{super-infinite}}{\text{infinite}} = \frac{\text{infinite}}{\text{finite}}$$
  - ▶ Set theory (as opposed to number theory) begins when “there exists an infinite set” is in the base axioms;
  - ▶ Repeat the process with “super-infinite”.
- Principle: self-similar implies large
  - ▶  $X$  infinite:  $\exists j : X \rightarrow X$  ( $j$  injective not bijective)
  - ▶  $X$  super-infinite:  $\exists j : X \rightarrow X$  ( $j$  inject. not biject. preserving all  $\in$ -definable notions)
    - ↑  
an elementary embedding of  $X$
- Example:  $\mathbb{N}$  is not super-infinite.
  - ▶ A super-infinite set must be so large that it contains undefinable elements (since all definable elements must be fixed).

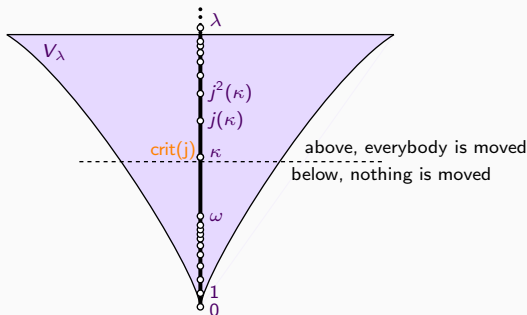


- Fact: There is a canonical filtration of sets by the sets  $V_\alpha$ ,  $\alpha$  an ordinal, def'd by  
 $V_0 := \emptyset$ ,  $V_{\alpha+1} := \mathfrak{P}(V_\alpha)$ ,  $V_\lambda := \bigcup_{\alpha < \lambda} V_\alpha$  for  $\lambda$  limit.



- Fact: If  $\lambda$  is a limit ordinal and  $f : V_\lambda \rightarrow V_\lambda$ ,  
 then  $f = \bigcup_{\alpha < \lambda} f \cap V_\alpha^2$  and  $f \cap V_\alpha^2$  belongs to  $V_\lambda$  for every  $\alpha < \lambda$ .  
 ▶ Proof: Every element of  $V_\lambda$  belongs to some  $V_\alpha$  with  $\alpha < \lambda$ ; The set  $f \cap V_\alpha^2$  is included in  $V_\alpha^2$ , hence in  $V_{\alpha+2}$ , hence it belongs to  $V_{\alpha+3}$ , hence to  $V_\lambda$ .  $\square$

- Definition: A **Laver cardinal** is a cardinal  $\lambda$  s.t. the set  $V_\lambda$  is “super-infinite”, i.e., there exists a non-surjective elementary embedding from  $V_\lambda$  to itself.
- Fact: If there exists a super-infinite set, there exists a super-infinite set  $V_\lambda$  (hence a Laver cardinal).
- Fact: Assume  $j : V_\lambda \rightarrow V_\lambda$  witnesses that  $\lambda$  is a Laver cardinal.
  - ▶ The map  $j$  sends every ordinal  $\alpha$  to an ordinal  $\geq \alpha$ .
  - ▶ There exists an ordinal  $\alpha$  satisfying  $j(\alpha) > \alpha$ .
  - ▶ There exists a smallest ordinal  $\kappa$  satisfying  $j(\kappa) > \kappa$ : the “critical ordinal” of  $j$ .
  - ▶ One necessarily has  $\lambda = \sup_n j^n(\text{crit}(j))$ .



- If  $\lambda$  is a Laver cardinal, let  $E_\lambda$  be the family of all non-trivial (= non-surjective) elementary embeddings from  $V_\lambda$  to itself (which is nonempty).

- Definition: For  $i, j$  in  $E_\lambda$ , the result of applying  $i$  to  $j$  is

$$i[j] := \bigcup_{\alpha < \lambda} i(j \cap V_\alpha^2).$$

- Lemma: The map  $(i, j) \mapsto i[j]$  is a binary operation on  $E_\lambda$ , and  $(E_\lambda, -[-])$  is a left-shelf.

► Proof: The sets  $j \cap V_\alpha^2$  belong to  $V_\lambda$ , and are pairwise compatible partial maps, hence so are the sets  $i(j \cap V_\alpha^2)$ : so  $i[j]$  is a map from  $V_\lambda$  to itself.

“Being an elementary embedding” is definable, hence  $i[j]$  is an elementary embedding.

“Being the image of” is definable, hence  $\ell = j[k]$  implies  $i[\ell] = i[j][i[k]]$ ,

i.e.,  $i[j[k]] = i[j][i[k]]$ : the left SD law. ◻

- Attention! Application is not composition:

$$\text{crit}(j \circ j) = \text{crit}(j), \quad \text{but} \quad \text{crit}(j[j]) > \text{crit}(j).$$

► Proof: Let  $\kappa := \text{crit}(j)$ . For  $\alpha < \kappa$ ,  $j(\alpha) = \alpha$ , hence  $j(j(\alpha)) = \alpha$ , whereas  $j(\kappa) > \kappa$ , hence  $j(j(\kappa)) > j(\kappa) > \kappa$ . We deduce  $\text{crit}(j \circ j) = \kappa$ .

On the other hand,  $\forall \alpha < \kappa (j(\alpha) = \alpha)$  implies  $\forall \alpha < j(\kappa) (j[j](\alpha) = \alpha)$ , whereas  $j(\kappa) > \kappa$  implies  $j[j](j(\kappa)) > j(\kappa)$ . We deduce  $\text{crit}(j[j]) = j(\kappa) > \kappa$ . ◻

- Proposition: If  $j$  is a nontrivial elementary embedding from  $V_\lambda$  to itself, then the iterates of  $j$  make a left-shelf  $\text{Iter}(j)$ .

↑  
closure of  $\{j\}$  under the “application” operation:  $j[j], j[j][j], \dots$

- Theorem (Laver, 1989): If  $j$  is a nontrivial elementary embedding from  $V_\lambda$  to itself, then  $\sqsubset$  has no cycle in  $\text{Iter}(j)$ ; hence,  $\text{Iter}(j)$  is a free left-shelf.

- ▶ A realization (the “set-theoretic realization”) of the free (left)-shelf,
- ▶ ...plus a proof of that a shelf with acyclic  $\sqsubset$  exists,
- ▶ ...whence a proof that  $\sqsubset_{\text{SD}}$  is acyclic on  $\mathcal{T}_x$ ,
- ▶ ...whence a solution for the word problem of SD  
(because both  $=_{\text{SD}}$  and  $\sqsubset_{\text{SD}}^*$  are semi-decidable).

but all this under the (unprovable) assumption that a Laver cardinal exists.

↪ motivation for finding another proof/another realization...

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- Notation: (“left powers”)  $j_{[p]} := j[j][j]\dots[j]$ ,  $p$  times  $j$ .

- Definition: For  $j$  in  $E_\lambda$ ,

$\text{crit}_n(j)$  := the  $(n + 1)$ st ordinal (from bottom) in  $\{\text{crit}(i) \mid i \in \text{Iter}(j)\}$ .

► One can show  $\text{crit}_0(j) = \text{crit}(j)$ ,  $\text{crit}_1(j) = \text{crit}(j[j])$ ,  $\text{crit}_2(j) = \text{crit}(j[j][j][j])$ , etc.

- Proposition (Laver, 1994): Assume that  $\lambda$  is a Laver cardinal. Let  $j$  belong to  $E_\lambda$ . For  $i, i'$  in  $\text{Iter}(j)$  and  $\gamma < \lambda$ , declare  $i \equiv_\gamma i'$  (“ $i$  and  $i'$  agree up to  $\gamma$ ”) if

$$\forall x \in V_\gamma (i(x) \cap V_\gamma = i'(x) \cap V_\gamma).$$

Then  $\equiv_{\text{crit}_n(j)}$  is a congruence on  $\text{Iter}(j)$ , it has  $2^n$  classes,

which are those of  $j, j_{[2]}, \dots, j_{[2^n]}$ , the latter also being the class of  $\text{id}$ .

► Proof: (Difficult...) Starts from  $j \equiv_{\text{crit}(j)} j$  and similar.

Uses in particular  $\text{crit}(j_{[m]}) = \text{crit}_n(j)$  with  $n$  maximal s.t.  $2^n$  divides  $m$ . □



- Recall: The Laver table  $A_n$  is the unique left-shelf on  $\{1, \dots, 2^n\}$  satisfying  $p = 1_{[p]}$  for  $p \leq 2^n$  and  $2^n \triangleright 1 = 1$ .  
(or, equivalently, on  $\{0, \dots, 2^n - 1\}$ ) satisfying  $p = 1_{[p]} \bmod 2^n$  for  $p \leq 2^n$  and  $0 \triangleright 1 = 1$ )
- Corollary: The quotient-structure  $\text{Iter}(j)/\equiv_{\text{crit}_n(j)}$  is (isomorphic to) the table  $A_n$ .
  - ▶ Proof: Write  $p$  for the  $\equiv_{\text{crit}_n(j)}$ -class of  $j_{[p]}$ .  
The proposition says that  $\text{Iter}(j)/\equiv_{\text{crit}_n(j)}$  is a left-shelf whose domain is  $\{1, \dots, 2^n\}$ ;  
By construction,  $p = 1_{[p]}$  holds for  $p \leq 2^n$ .  
Then  $j_{[2^n]} \equiv_{\text{crit}_n(j)} \text{id}$  implies  $j_{[2^n+1]} \equiv_{\text{crit}_n(j)} j$ , whence  $2^n \triangleright 1 = 1$  in the quotient.  $\square$
  - ▶ A (set-theoretic) realization of  $A_n$  as a quotient of the iteration shelf  $\text{Iter}(j)$ .

- **Lemma:** For every  $j$  in  $E_\lambda$ , every term  $t(x)$ , and every  $n$ ,

$$t(1)^{A_n} = 2^n \quad \text{is equivalent to} \quad \text{crit}(t(j)^{\text{Iter}(j)}) \geq \text{crit}_n(j); \quad (*)$$

$$t(1)^{A_{n+1}} = 2^n \quad \text{is equivalent to} \quad \text{crit}(t(j)^{\text{Iter}(j)}) = \text{crit}_n(j). \quad (**)$$

► Proof: For (\*):  $\text{crit}(t(j)) \geq \text{crit}_n(j)$  means  $t(j) \equiv_{\text{crit}_n(j)} \text{id}$ ,  
i.e., the class of  $t(j)$  in  $A_n$ , which is  $t(1)^{A_n}$ , is that of  $\text{id}$ , which is  $2^n$ .

For (\*\*):  $\text{crit}(t(j)) = \text{crit}_n(j)$  is the conjunction  
of  $\text{crit}(t(j)) \geq \text{crit}_n(j)$  and  $\text{crit}(t(j)) \not\geq \text{crit}_{n+1}(j)$ , hence  
of  $t(1)^{A_n} = 2^n$  and  $t(1)^{A_{n+1}} \neq 2^{n+1}$ : the only possibility is  $t(1)^{A_{n+1}} = 2^n$ .  $\square$

- **Proposition** ("dictionary"): For  $m \leq n$  and  $p \leq 2^n$ ,  
the period of  $p$  jumps from  $2^m$  to  $2^{m+1}$  between  $A_n$  and  $A_{n+1}$   
iff  $j_{[p]}$  maps  $\text{crit}_m(j)$  to  $\text{crit}_n(j)$ .

► Proof: Apply the lemma to the term  $x_{[p]}$ .  
As  $\text{crit}_m(j) = \text{crit}(j_{[2^m]})$ , the embedding  $j_{[p]}$  maps  $\text{crit}_m(j)$  to  $\text{crit}(j_{[p]}[j_{[2^m]}])$ ,  
so the RHT is  $\text{crit}(j_{[p]}[j_{[2^m]}]) = \text{crit}_n(j)$ , whence  $(1_{[p]} \triangleright 1_{[2^m]})^{A_{n+1}} = 2^n$  by (\*\*),  
which is also

$$(p \triangleright 2^m)^{A_{n+1}} = 2^n. \quad (***)$$

First, (\*\*\*) implies  $\pi_{n+1}(p) > 2^m$ . Conversely, (\*\*\*) projects to  $(p \triangleright 2^m)^{A_n} = 2^n$ ,  
implying  $\pi_n(p) \leq 2^m$ . As  $\pi_{n+1}(p)$  is  $\pi_n(p)$  or  $2\pi_n(p)$ , (\*\*\*) is equivalent to  
the conjunction  $\pi_n(p) = 2^m$  and  $\pi_{n+1}(p) = 2^{m+1}$ .  $\square$

- Lemma: If  $j$  belongs to  $E_\lambda$ , for every  $\alpha < \lambda$ , one has

$$j[j](\alpha) \leq j(\alpha).$$

► Proof: There exists  $\beta$  satisfying  $j(\beta) > \alpha$ , hence there is a smallest such  $\beta$ , which therefore satisfies  $j(\beta) > \alpha$  and

$$\forall \gamma < \beta \quad (j(\gamma) \leq \alpha). \quad (*)$$

Applying  $j$  to  $(*)$  gives

$$\forall \gamma < j(\beta) \quad (j[j](\gamma) \leq j(\alpha)). \quad (**)$$

Taking  $\gamma := \alpha$  in  $(**)$  yields  $j[j](\alpha) \leq j(\alpha)$ .  $\square$

- Proposition (Laver): If there exists a Laver cardinal,  $\pi_n(2) \geq \pi_n(1)$  holds for all  $n$ .

► Proof: Write  $\pi_n(1) = 2^{m+1}$ , and let  $\bar{n}$  be maximal  $< n$  satisfying  $\pi_{\bar{n}}(1) \leq 2^m$ . By construction, the period of 1 jumps from  $2^m$  to  $2^{m+1}$  between  $A_{\bar{n}}$  and  $A_{\bar{n}+1}$ . By the dictionary,  $j$  maps  $\text{crit}_m(j)$  to  $\text{crit}_{\bar{n}}(j)$ .

Hence, by the lemma,  $j[j]$  maps  $\text{crit}_m(j)$  to  $\leq \text{crit}_{\bar{n}}(j)$ .

Therefore, there exists  $n' \leq \bar{n} \leq n$  s.t.  $j[j]$  maps  $\text{crit}_m(j)$  to  $\text{crit}_{n'}(j)$ .

By the dictionary, the period of 2 jumps from  $2^m$  to  $2^{m+1}$  between  $A_{n'}$  and  $A_{n'+1}$ . Hence, the period of 2 in  $A_n$  is at least  $2^{m+1}$ .  $\square$

- Lemma: If  $j$  belongs to  $E_\lambda$ , then  $\lambda$  is the supremum of the ordinals  $\text{crit}_n(j)$ .
  - ▶ Not obvious:  $\{\text{crit}(i) \mid i \in \text{Iter}(j)\}$  is countable, but its order type might be  $> \omega$ .
  - ▶ Proof: (difficult...) □

• Proposition (Laver): *If there exists a Laver cardinal,  $\pi_n(1)$  tends to  $\infty$  with  $n$ .*

- ▶ Proof: Assume  $\pi_n(1) = 2^m$ . We wish to show that there exists  $\bar{n} \geq n$  s.t.  $\pi_{\bar{n}}(1) = 2^m$  and  $\pi_{\bar{n}+1}(1) = 2^{m+1}$ .  
 By the dictionary, this is equivalent to  $j$  mapping  $\text{crit}_m(j)$  to  $\text{crit}_{\bar{n}}(j)$ .  
 Now  $j$  maps  $\text{crit}_m(j)$ , which is  $\text{crit}(j[j_{[2^m]}])$ , to  $\text{crit}(j[j_{[2^m]}])$ .  
 As  $j[j_{[2^m]}]$  belongs to  $\text{Iter}(j)$ , the lemma implies  $\text{crit}(j[j_{[2^m]}]) = \text{crit}_{\bar{n}}(j)$  for some  $\bar{n}$ . □

- Open questions: Find alternative proofs using no Laver cardinal.

- Are the properties of Laver tables an **application** of set theory?
  - ▶ So far, yes;
  - ▶ In the future, formally no if one finds alternative proofs using no large cardinal.
  - ▶ But, in any case, it is set theory that made the properties first accessible.
- Even if one does not believe that large cardinals exist (or are interesting), one should agree that they can provide useful intuitions.
- An **analogy**:
  - ▶ In physics: using a physical intuition, **guess** statements, then pass them to the mathematician for a formal proof.
  - ▶ Here: using a logical intuition (existence of a Laver cardinal), **guess** statements (periods tend to  $\infty$  in Laver tables), then pass them to the mathematician for a formal proof.

- The two main open questions about Laver tables:
  - ▶ Can one find alternative proofs using no large cardinal?  
(as done for the free shelf using the braid realization)
  - ▶ Can one use them in low-dimensional topology?



Richard Laver  
(1942-2012)