

Essential Toolkit for VOAs and W-algebras

January 5-9, 2026

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History & some motivations

1986: Borchers introduced vertex algebras to study infinite dimensional Lie algebras.

1988: Frenkel, Lepowsky, Meurman reformulated the definition (VOA) + constructed the moonshine module.

1992: Borchers proved the moonshine conjectures (Field medal 1998).

Today, vertex algebras play an important role in many areas of mathematics

- connections between disparate mathematics (modular forms and sporadic groups),

- connections with many algebraic structures (Kac–Moody algebras, quantum groups, affine Yangians),

- source of examples of modular tensor categories,

and in physic in particular 2-dim CFT where VOA formalize the notion of symmetry algebra extending the conformal symmetry (Virasoro algebra), but also in higher dimension (4d/2d duality).

Examples: Virasoro minimal models and WZW models (affine vertex algebras), more recently, W-algebras.

- 1– Vertex operator algebras
- 2– Zhu's correspondence and rationality
- 3– Lisse VOAs and modular tensor categories
- 4– W-algebras and BRST cohomology
- 5– Quasi-lisse VOAs



Warning: all vector spaces are over \mathbb{C} .

1– Vertex operator algebras

Definition [Borcherds'86, I. Frenkel-Lepowsky-Meurman'88]

Let \mathcal{V} be a vector space over \mathbb{C} . We say that \mathcal{V} is a **vertex operator algebra (VOA)** if it is equipped with:

- **vertex operator** : a linear map (state-field correspondence)

$$Y(\cdot, z) : \mathcal{V} \rightarrow \text{End } \mathcal{V}[[z, z^{-1}]]$$

$$a \mapsto Y(a, z) := \sum_{n \in \mathbb{Z}} a_{(n)} z^{-n-1} = a(z),$$

where $a(z)$ is a *field* (ie. $\forall b \in \mathcal{V}, a_{(n)}b = 0, n \gg 0$),

- **vacuum vector** : $|0\rangle \in \mathcal{V}$ such that $Y(|0\rangle, z) = \text{Id}_{\mathcal{V}}$ and for all $a \in \mathcal{V}$,

$$a_{(-1)}|0\rangle = a, \quad \text{and} \quad a_{(n)}|0\rangle = 0 \text{ for } n \geq 0,$$

- **conformal vector** : $\omega \in \mathcal{V}, Y(\omega, z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2} = L(z)$ such that

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{m^3 - m}{12} \delta_{m+n,0} c,$$

where $c \in \mathbb{C}$ is the *central charge*, and

* $(L_{-1}a)(z) = \partial_z a(z)$ for all $a \in \mathcal{V}$,

* L_0 acts semisimply on $\mathcal{V} = \bigoplus_{\Delta \in \mathbb{Z}_{\geq 0}} \mathcal{V}_{\Delta}$, with $\dim \mathcal{V}_{\Delta} < \infty$,
(Δ is the *conformal weight* of $a \in \mathcal{V}_{\Delta}$),

and satisfying the **locality axiom**: for all $a, b \in \mathcal{V}$, there exists $N \in \mathbb{Z}_{\geq 0}$, such that

$$(z - w)^N [a(z), b(w)] = 0.$$

Heisenberg vertex algebra

Let $H = \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}\mathbf{1}$ be the *Heisenberg Lie algebra* with relations

$$[b_m, b_n] = m\delta_{m+n,0}\mathbf{1}, \quad \text{and} \quad [b_n, \mathbf{1}] = 0,$$

for all $m, n \in \mathbb{Z}$ and $b_m = t^m$.

Consider the *Fock representation* of H

$$\mathcal{H} = U(H) \otimes_{U(\mathbb{C}[t] \oplus \mathbb{C}\mathbf{1})} \mathbb{C} \stackrel{\text{v.s.}}{\cong} \mathbb{C}[b_{-1}, b_{-2}, \dots]$$

where \mathbb{C} is a 1-dim. representation of $\mathbb{C}[t] \oplus \mathbb{C}\mathbf{1}$ on which t^n acts trivially and $\mathbf{1}$ acts as $\text{Id}_{\mathbb{C}}$. \mathcal{H} is a VOA called the **Heisenberg VOA** (or *free boson*).

- $|0\rangle = \mathbf{1}$ and $\omega_\alpha = \frac{1}{2}(b_{-1})^2 - \alpha b_{-2}$ has central charge $c_\alpha = 1 - 12\alpha^2$ ($\alpha \in \mathbb{C}$).

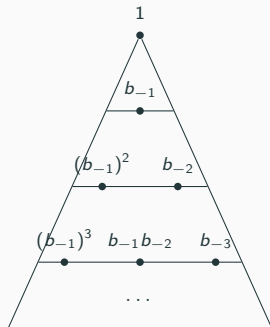
- State-field correspondence:

$$|0\rangle \mapsto \text{Id}_{\mathcal{H}},$$

$$b_{-1} \mapsto b(z) = \sum_{n \in \mathbb{Z}} b_n z^{-n-1},$$

$$b_{-2} \mapsto \partial_z b(z) = \sum_{n \in \mathbb{Z}} (-n-1) b_n z^{-n-2},$$

$$\leadsto b_{-n-1} \mapsto \frac{1}{n!} \partial_z^n b(z),$$



Normally ordered product

First idea: $(b_{-1})^2 \mapsto b(z)^2 = \sum_{n \in \mathbb{Z}} (\sum_{k+l=n} b_k b_l) z^{-n-2}$.

Problem: $(\sum_{k+l=0} b_k b_l) \mathbf{1} = (\sum_{k>0} b_k b_{-k}) \mathbf{1}$ doesn't converge.

$$\rightsquigarrow (b_{-1})^2 \mapsto \cancel{b(z)^2} : b(z)^2 :$$

Definition

For $a, b \in \mathcal{V}$, the **normally ordered product (NO)** is

$$:a(z)b(w): = a(z)_+ b(w) + b(w) a(z)_-$$

where $a(z)_+ = \sum_{n < 0} a(n) z^{-n-1}$ and $a(z)_- = \sum_{n \geq 0} a(n) z^{-n-1}$.



It is not commutative nor associative in general $(:abc: = :a(bc):)$.

For a general state: $b_{-j_1-1} \dots b_{-j_r-1} \mapsto \frac{1}{j_1! \dots j_r!} : \partial_z^{j_1} b(z) \dots \partial_z^{j_r} b(z) :$.

- Locality axiom:

$$[b(z), b(w)] = \sum_{n \in \mathbb{Z}} n z^{-n-1} w^{n+1} = \partial_w \delta(z-w)$$

where $\delta(z-w) = \sum_{n \in \mathbb{Z}} w^n z^{-n-1}$. Hence $(z-w)^2 [b(z), b(w)] = 0$.

Let $\text{Vir} = \mathbb{C}((t))\partial_t \oplus \mathbb{C}C$ be the Virasoro Lie algebra with relations

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{m^3 - m}{12}\delta_{m+n,0}C, \quad \text{and} \quad [L_n, C] = 0,$$

for all $m, n \in \mathbb{Z}$ and $L_m = -t^{m+1}\partial_t$. The Vir -module

$$\text{Vir}^c = U(\text{Vir}) \otimes_{U(\mathbb{C}[[t]]\partial_t \oplus \mathbb{C}C)} \mathbb{C}_c \stackrel{\text{v.s.}}{\simeq} \mathbb{C}[L_{-2}, L_{-3}, \dots]$$

admits a structure of VOA called the **(universal) Virasoro VOA** with central charge c . We have $|0\rangle = 1$ and $\omega = L_{-2} \mapsto L(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$.

Sometimes Vir^c is not simple as Vir -module, then we set N_c its maximal proper graded submodule. The quotient $\text{Vir}_c = \text{Vir}^c / N_c$ is still a VOA (inherits the VOA structure from Vir^c).

Operator Product Expansions

Proposition

Let $a, b \in \mathcal{V}$ and $N \in \mathbb{Z}_{\geq 0}$, the following assertions are equivalent:

- (i) $(z - w)^N [a(z), b(w)] = 0$,
- (ii) $[a(z), b(w)] = \sum_{n=0}^{N-1} \frac{(a_{(n)}b)(w)}{n!} \partial_w^n \delta(z - w)$,

When the conditions are satisfied, we denote the **Operator Product Expansion (OPE)** of $a, b \in \mathcal{V}$ by

$$a(z)b(w) \sim \sum_{n=0}^{N-1} \frac{(a_{(n)}b)(w)}{n!} \frac{1}{(z - w)^{n+1}}.$$

\rightsquigarrow **Borcherds' identities:** for all $a, b \in \mathcal{V}$, $m, n \in \mathbb{Z}$,

- 1) $[a_{(m)}, b_{(n)}] = \sum_{i \geq 0} \binom{m}{i} (a_{(i)}b)_{(m+n-i)}$,
- 2) $(a_{(m)}b)_{(n)} = \sum_{j \geq 0} (-1)^j \binom{m}{j} (a_{(m-j)}b_{n+j} - (-1)^m b_{(m+n-j)}a_{(j)})$.

Examples:

- \mathcal{H} is strongly generated by b satisfying $b(z)b(w) \sim \frac{1}{(z-w)^2}$,
- Vir^c is strongly generated by L such that

$$L(z)L(w) \sim \frac{c}{2(z-w)^4} + \frac{2L(w)}{(z-w)^2} + \frac{\partial_w L(w)}{(z-w)}.$$

Affine vertex algebras

Let \mathfrak{g} be a finite dim. simple Lie algebra with $(\cdot, \cdot) = \frac{1}{2h^\vee} \kappa(\cdot, \cdot)$.

The *affine Kac-Moody algebra* is the central extension $\widehat{\mathfrak{g}} = \mathfrak{g}[t, t^{-1}] \oplus \mathbb{C}K$.

For $k \in \mathbb{C} \setminus \{-h^\vee\}$, the (universal) affine VOA is the $\widehat{\mathfrak{g}}$ -module

$$\mathcal{V}^k(\mathfrak{g}) = U(\widehat{\mathfrak{g}}) \otimes_{U(\mathfrak{g}[t] \oplus \mathbb{C}K)} \mathbb{C}_k \stackrel{\text{v.s.}}{\simeq} U(t^{-1}\mathfrak{g}[t^{-1}]),$$

where \mathbb{C}_k is a 1-dim. rep. of $\mathfrak{g}[t] \oplus \mathbb{C}K$ on which $\mathfrak{g}[t]$ acts trivially and K acts as $k \text{ Id}$.

It is a VOA strongly generated by $x^i(z) = \sum_{n \in \mathbb{Z}} x_{(n)}^i z^{-n-1}$ where $\{x^i\}_i$ basis of \mathfrak{g} (and $x_{(n)}^i = x^i t^n$), satisfying the OPEs

$$x(z)y(w) \sim \frac{(x, y)k}{(z-w)^2} + \frac{[x, y](w)}{(z-w)}, \quad x, y \in \mathfrak{g}.$$

A conformal vector is given by the Segal-Sugawara vector

$$L(z) = \frac{1}{2(k+h^\vee)} \sum_i :x^i(z)x^{i*}(z):, \quad \text{with central charge } c_k = \frac{k \dim \mathfrak{g}}{k+h^\vee}.$$

Here $\{x^{i*}\}_i$ is the dual basis of $\{x^i\}_i$.

Denote by $L_k(\mathfrak{g}) = \mathcal{V}^k(\mathfrak{g})/N_k$ the simple affine VOA.

2– Zhu's correspondence and rationality

Let \mathcal{V} be a VOA. A \mathcal{V} -module is a vector space M equipped with a linear map

$$Y_M(\cdot, z) : \mathcal{V} \rightarrow \text{End } M[[z, z^{-1}]]$$
$$a \mapsto \sum_{n \in \mathbb{Z}} a_{(n)}^M z^{-n-1} = a^M(z),$$

compatible with the VOA structure, eg.

- for all $a \in \mathcal{V}$, $a^M(z)$ is a field over M (ie. for all $m \in M$, $a_{(n)}^M m = 0$ for $n \gg 0$),
- $Y_M(|0\rangle, z) = \text{Id}_M$,
- $Y_M(a_{(n)}b, z) = Y_M(a, z)_{(n)} Y_M(b, z)$, etc.

Remarks:

- \mathcal{V} is always a \mathcal{V} -module.
- We also have the natural notion of submodules, quotients, ideals of \mathcal{V} , etc.

Examples of modules

- 1) Irreducible representations of \mathcal{H} are Fock modules: for $\lambda \in \mathbb{C}$, π_λ is the \mathcal{H} -module generated by $|\lambda\rangle$ on which, b_{-n} acts freely for $n > 0$ and

$$b_n|\lambda\rangle = \begin{cases} 0 & \text{if } n > 0, \\ \lambda|\lambda\rangle & \text{for } n = 0. \end{cases}$$

- 2) A $\widehat{\mathfrak{g}}$ -module M is *smooth* if for all $m \in M$, $x \in \mathfrak{g}$, $x_{(n)}m = 0$ for $n \gg 0$. There is an equivalence of category between the category of $\mathcal{V}^k(\mathfrak{g})$ -modules and the category of smooth $\widehat{\mathfrak{g}}$ -modules at level k .

Let \mathcal{V} be a VOA. Assume L_0 acts semisimply on a \mathcal{V} -module M :

$$M = \bigoplus_{\Delta \in \mathbb{C}} M_\Delta, \quad M_\Delta = \{m \in M \mid L_0^M m = \Delta m\}.$$

Then,

- M is a **positive energy representation** if $M = \bigoplus_{\Delta \in \Delta_0 + \mathbb{Z}_{\geq 0}} M_\Delta$ with $M_{\text{top}} := M_{\Delta_0} \neq 0$.
- M is an **ordinary representation** if $\dim M_\Delta < \infty$ for all $\Delta \in \mathbb{C}$ and $M_{\Delta-n} = 0$ for $n \gg 0$.

Zhu's algebra and Zhu's correspondence [Zhu'96]

Let \mathcal{V} be a VOA. For $a, b \in \mathcal{V}$ homogeneous, set

$$a \circ b = \text{Res}_z \left(a(z) b \frac{(z+1)^{\Delta_a}}{z^2} \right) = \sum_{i \geq 0} \binom{\Delta_a}{i} a_{(i-2)} b.$$

The quotient $\text{Zhu}(\mathcal{V}) = \mathcal{V}/\mathcal{V} \circ \mathcal{V}$ is a unital associative algebra called the **Zhu algebra** of \mathcal{V} . The unit is given by the image of $|0\rangle$ and the multiplication is defined by

$$a * b = \text{Res}_z \left(a(z) b \frac{(z+1)^{\Delta_a}}{z} \right) = \sum_{i \geq 0} \binom{\Delta_a}{i} a_{(i-1)} b.$$

Remark: The Zhu algebra is also referred to as the *algebra of degree zero modes*, as $\text{Zhu } \mathcal{V}$ acts on the top spaces of positive energy rep. via $\bar{a} \mapsto a_{(n_0)}$ such that $a_{(n_0)}|0\rangle$ has conformal weight 0.

Examples: $\text{Zhu}(\mathcal{H}) \simeq \mathbb{C}[x] \simeq \text{Zhu}(\text{Vir}^c)$, $\text{Zhu}(\mathcal{V}^k(\mathfrak{g})) \simeq U(\mathfrak{g})$.

⚠ The Zhu algebras of Vir^c and $\mathcal{V}^k(\mathfrak{g})$ don't depend on c/k contrary to those of their simple quotients that are quotients of $\mathbb{C}[x]$ and $U(\mathfrak{g})$ respectively.

Theorem [Zhu'96]

There is a one-to-one correspondence between irreducible positive energy representations of \mathcal{V} and the simple $\text{Zhu}(\mathcal{V})$ -modules given by $M \mapsto M_{\text{top}}$.

A VOA \mathcal{V} is **rational** if $\text{Rep}(\mathcal{V})$ is semisimple.

[Dong-Li-Mason'98]: If \mathcal{V} is rational, then \mathcal{V} has finitely many irreducible positive energy representations (up to isomorphism) and their graded components are finite-dim. (\Rightarrow ordinary modules).

Examples:

- 1) All weight \mathcal{H} -modules are completely reducible but existence of modules with non-trivial Jordan block for L_0 : \mathcal{H} -module generated by two vectors v_0, v_1 with

$$b_0 v_0 = 0, \quad b_0 v_1 = v_0, \quad b_n v_j = 0$$

and b_{-n} acts freely for $n > 0$. $\rightsquigarrow \mathcal{H}$ not rational.

- 2) [Frenkel-Zhu'92]: $L_k(\mathfrak{g})$ is rational $\Leftrightarrow L_k(\mathfrak{g})$ is an *integrable* $\widehat{\mathfrak{g}}$ -module ($\Leftrightarrow k \in \mathbb{Z}_{\geq 0}$).
Indeed for $k \in \mathbb{Z}_{\geq 0}$,

$$L_k(\mathfrak{g}) = \mathcal{V}^k(\mathfrak{g}) / \langle e_{\theta, (-1)}^{k+1} \rangle \quad \text{and} \quad \text{Zhu}(L_k(\mathfrak{g})) \simeq U(\mathfrak{g}) / \langle e_{\theta}^{k+1} \rangle.$$

Irreducible highest-weight $L_k(\mathfrak{g})$ -modules are given by $\{L(\lambda), \lambda \in P_+^k\}$.

\rightsquigarrow the corresponding CFT is the **Wess-Zumino-Witten models**.

- 3) [Wang'93]: Vir_c rational $\Leftrightarrow c = 1 - \frac{6(p-q)^2}{pq}$ for $p, q \in \mathbb{Z}_{\geq 2}, (p, q) = 1$.
 \rightsquigarrow corresponding to **minimal models** of the Virasoro Lie algebra.
- 4) *exceptional W-algebras* $\mathcal{W}_k(\mathfrak{g}, f)$.

3– Lisse VOAs and modular tensor categories

Li filtration and Zhu C_2 -algebra

Any VOA \mathcal{V} is canonically filtered. For $p \geq 0$, let $F^p\mathcal{V}$ the subset of \mathcal{V} spanned by

$$a_{(-n_1-1)}^1 a_{(-n_2-1)}^2 \cdots a_{(-n_r-1)}^r |0\rangle,$$

with $a^1, \dots, a^r \in \mathcal{V}$ strong generators, $n_i \geq 0$, $\sum_i n_i \geq p$. Then,

$$\mathcal{V} = F^0\mathcal{V} \supset F^1\mathcal{V} \supset \dots$$

is a decreasing filtration called the **Li filtration**. It is separated ($\cap_{p \geq 0} F^p\mathcal{V} = 0$) and the associated graded space

$$\mathrm{gr}^F \mathcal{V} = \bigoplus_{p \geq 0} F^p\mathcal{V} / F^{p+1}\mathcal{V}$$

is a *Poisson vertex algebra* with product $\bar{a} \cdot \bar{b} = \overline{a_{(-1)}b}$ and derivation $\partial \bar{a} = \overline{L_{-1}a}$.

Let $C_2(\mathcal{V}) := F^1\mathcal{V} = \mathrm{Span}_{\mathbb{C}}\{a_{(-2)}b \mid a, b \in \mathcal{V}\}$. The quotient $R_{\mathcal{V}} = \mathcal{V}/C_2(\mathcal{V})$ is a Poisson algebra called the **Zhu C_2 -algebra** of \mathcal{V} with bracket: $\{\bar{a}, \bar{b}\} = \overline{a_{(0)}b}$.

Remarks:

- $R_{\mathcal{V}}$ generates $\mathrm{gr}^F \mathcal{V}$ as differential algebra.
- \mathcal{V} is finitely strongly generated $\Leftrightarrow R_{\mathcal{V}}$ is finitely generated as a ring.

Associated variety and C_2 -cofiniteness

The **associated variety** of a VOA \mathcal{V} is the reduced scheme $X_{\mathcal{V}} = (\text{Spec } R_{\mathcal{V}})_{\text{red}}$.

Examples:

1) $C_2(\mathcal{H}) \simeq \mathbb{C}[b_{-n}, n \geq 2]$. Hence $R_{\mathcal{H}} \simeq \mathbb{C}[x]$ and $X_{\mathcal{H}} \simeq \mathbb{C}$.

Same for Vir^c .

2) $C_2(\mathcal{V}^k(\mathfrak{g})) = t^{-2}\mathfrak{g}[t^{-1}]\mathcal{V}^k(\mathfrak{g})$. Hence

$$R_{\mathcal{V}^k(\mathfrak{g})} = \mathcal{V}^k(\mathfrak{g})/t^{-2}\mathfrak{g}[t^{-1}]\mathcal{V}^k(\mathfrak{g}) \xleftarrow{\sim} S[\mathfrak{g}] \simeq \mathbb{C}[\mathfrak{g}^*]$$

$$\overline{x_{(-1)}^1 \cdots x_{(-1)}^r | 0\rangle} \longleftarrow x^1 \cdots x^r.$$

Thus $X_{\mathcal{V}^k(\mathfrak{g})} \simeq \mathfrak{g}^*$.

If $\mathcal{V} = \mathcal{V}^k(\mathfrak{g})/N$, $R_{\mathcal{V}^k(\mathfrak{g})} \twoheadrightarrow R_{\mathcal{V}}$ and $R_{\mathcal{V}} \simeq R_{\mathcal{V}^k(\mathfrak{g})}/I_N \rightsquigarrow X_{\mathcal{V}}$ is the zero locus of I_N in \mathfrak{g}^* . In particular, $X_{\mathcal{V}}$ is a conical, G -invariant, closed subvariety of \mathfrak{g}^* .

A VOA \mathcal{V} is **C_2 -cofinite** (or *lisse*) if $R_{\mathcal{V}}$ is finite dimensional ($\Leftrightarrow \dim X_{\mathcal{V}} = 0$).

Examples:

1) [Dong-Mason'06]: $L_k(\mathfrak{g})$ is C_2 -cofinite $\Leftrightarrow L_k(\mathfrak{g})$ is rational.

2) [Arakawa'15]: Vir_c is C_2 -cofinite $\Leftrightarrow \text{Vir}_c$ is rational.

3) exceptional W-algebras.

There are examples of C_2 -cofinite non-rational VOA (eg. triplet VOA [Adamovic-Milas'07]).

Modular tensor category

If \mathcal{V} is C_2 -cofinite, then all simple \mathcal{V} -modules are ordinary modules and there are finitely many of them. Let M_1, \dots, M_s be the irreducible \mathcal{V} -modules and set

$$\text{ch}_{M_i}(q) := \text{tr}_{M_i} q^{L_0 - c/24} = \sum_{n \geq 0} \dim(M_i)_n q^{n - c/24}, \quad q = e^{2i\pi\tau}.$$

Then, $\text{Span}_{\mathbb{C}}\{\text{ch}_{M_i}(q)\}$ generates a finite dimensional representation of $\text{SL}_2(\mathbb{Z})$.

In addition, if \mathcal{V} is rational, $\text{Span}_{\mathbb{C}}\{\text{ch}_{M_i}(q)\}$ is invariant under the $\text{SL}_2(\mathbb{Z})$ -action.

Theorem [Huang'08]

If \mathcal{V} is a rational, C_2 -cofinite VOA, then $\text{Rep}(\mathcal{V})$ is a **modular tensor category** (\Rightarrow finite, semisimple, rigid, monoidal tensor category), and the categorical action of $\text{SL}_2(\mathbb{Z})$ via Hopf links and twists matches the modular transformations of characters (\Rightarrow **Verlinde's formula** describes the decomposition of the tensor product).

Examples of fusion rules:

$L_0(\mathfrak{sl}_2)$	0						
0	0						
		$L_1(\mathfrak{sl}_2)$	0	ϖ_1			
		0	0	ϖ_1			
		ϖ_1	ϖ_1	0			
					$L_2(\mathfrak{sl}_2)$	0	ϖ_1
					0	0	ϖ_1
					ϖ_1	ϖ_1	$0 \oplus 2\varpi_1$
					$2\varpi_1$	$2\varpi_2$	ϖ_1
						ϖ_1	0

4– W-algebras and BRST cohomology

Bosonic VS fermionic ghosts

The **bosonic ghosts** (or $\beta\gamma$ -system) is the VOA strongly generated by the fields β, γ with only non-trivial OPE

$$\beta(z)\gamma(w) \sim \frac{1}{(z-w)}.$$

It has a one-parameter family of conformal vectors:

$$L_\mu(z) = \mu : \beta(z) \partial_z \gamma(z) : + (\mu - 1) : \partial_z \beta(z) \gamma(z) :$$

with central charge $c_\mu = 1 - 6\mu + 6\mu^2$.

The **fermionic ghosts** (or bc -system) is the VOA strongly generated by the *odd* fields b, c ($\Rightarrow b_{(n)}^2 = c_{(n)}^2 = 0$) with only non-trivial OPE

$$b(z)c(w) \sim \frac{1}{(z-w)}.$$

Bosonic and fermionic ghosts are examples of *free field VOAs*.

Let $f \in \mathfrak{g}$ a nilpotent element that we embed in an \mathfrak{sl}_2 -triple (e, h, f) . Set $x = \frac{h}{2}$, then

$$\mathfrak{g} = \bigoplus_{j \in \frac{1}{2}\mathbb{Z}} \mathfrak{g}_j, \quad \mathfrak{g}_j = \{a \in \mathfrak{g}, [x, a] = ja\}.$$

Define the vertex algebra $\mathcal{C}(\mathfrak{g}, f, k) := \mathcal{V}^k(\mathfrak{g}) \otimes (bc)^{\otimes \dim \mathfrak{g}_{>0}} \otimes (\beta\gamma)^{\otimes \dim \mathfrak{g}_{1/2}}$, with differential

$$d(z) = \sum_{\alpha \in S_+} : (e_\alpha(z) + (f, e_\alpha)|0\rangle(z)) c_\alpha(z) : + \dots$$

where S_+ is a basis of $\mathfrak{g}_{>0}$. Then $(\mathcal{C}(\mathfrak{g}, f, k), d_{(0)})$ is a \mathbb{Z} -graded cohomology complex whose zero-th cohomology is the (affine) W-algebra associated with (\mathfrak{g}, f) at level k

$$\mathcal{W}^k(\mathfrak{g}, f) := H_f^0(\mathcal{V}^k(\mathfrak{g})).$$

Examples:

$$\mathcal{W}^k(\mathfrak{g}, 0) = \mathcal{V}^k(\mathfrak{g}), \quad \mathcal{W}^k(\mathfrak{sl}_2, f_{\neq 0}) \simeq \text{Vir}^{q_k}, \quad \mathcal{W}^k(\mathfrak{sl}_n, f_{\text{reg}}) \simeq \mathcal{W}_n, \quad \mathcal{W}^k(\mathfrak{sl}_3, f_{2,1}) \simeq BP^k.$$

Remarks:

- $\mathcal{W}^k(\mathfrak{g}, f)$ depends on the nilpotent orbit $\mathbb{O}_f = G.f$ rather than f .
- When $\mathcal{W}^k(\mathfrak{g}, f)$ is not simple, we denote by $\mathcal{W}_k(\mathfrak{g}, f)$ its simple quotient.
Conjecture: $\mathcal{W}_k(\mathfrak{g}, f) \simeq H_f^0(L_k(\mathfrak{g}))$ when the second does not vanish.

Zhu's algebra and associated variety

W-algebras can be viewed as:

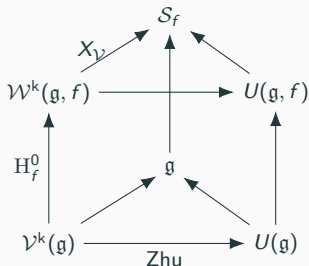
- a generalization of affine vertex algebras, *but* contrary to our previous examples ($\mathcal{V}^k(\mathfrak{g})$, Vir^c), there are *not* Lie algebras.

$\mathcal{W}^k(\mathfrak{g}, f)$ is strongly generated by a basis of \mathfrak{g}^f compatible with the x -eigenspaces decomposition. However, the OPEs are largely unknown.

Example: W_3 -algebra [Zamolodchikov'85] generated by $L(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$ and $W(z) = \sum_{n \in \mathbb{Z}} W_n z^{-n-4}$ with

$$\begin{aligned} W(z)W(w) \sim & \frac{w_k c_k}{3(z-w)^6} + \frac{2w_k}{(z-w)^4} L(w) + \frac{w_k}{2(z-w)^3} \partial L(w) + \dots \\ & + \frac{1}{(z-w)} \left(\frac{2(k+3)^3}{3} :L(w)\partial L(w): - \frac{(3+k)^2(18+14k+3k^2)}{18} \partial^3 L(w) \right). \end{aligned}$$

- an affinisation of *finite* W-algebras $U(\mathfrak{g}, f)$, which themselves are seen as the enveloping algebras of *Slodowy slices* $S_f = f + \mathfrak{g}^e$ [Premet'02].



A level $k \in \mathbb{C}$ is said **admissible** if it is of the form

$$k = -h^\vee + \frac{p}{q}, \quad p, q \in \mathbb{Z}_{>0}, \quad (p, q) = 1, \quad \text{and} \quad \begin{cases} p \geq h^\vee, & \text{if } (r^\vee, q) = 1, \\ p \geq h, & \text{if } (r^\vee, q) = r^\vee. \end{cases}$$

[Arakawa'12]: When k is admissible then $X_{L_k(\mathfrak{g})} = \overline{\mathbb{O}}_q$ where \mathbb{O}_q is a nilpotent orbit of \mathfrak{g} that only depends on q . Since $\mathcal{W}_k(\mathfrak{g}, f)$ is a quotient of $H_f^0(L_k(\mathfrak{g})) \neq 0$,

$$X_{\mathcal{W}_k(\mathfrak{g}, f)} \subset X_{H_f^0(L_k(\mathfrak{g}))} = X_{L_k(\mathfrak{g})} \cap X_{H_f^0(\mathcal{V}^k(\mathfrak{g}))} = \overline{\mathbb{O}}_q \cap \mathcal{S}_f.$$

Hence, if $f \in \mathbb{O}_q$, $X_{H_f^0(L_k(\mathfrak{g}))} = \{f\}$ and, $H_f^0(L_k(\mathfrak{g}))$ and $\mathcal{W}_k(\mathfrak{g}, f)$ are C_2 -cofinite.

$\rightsquigarrow \mathcal{W}^k(\mathfrak{g}, f)$ is called **exceptional** if k is admissible and $f \in \mathbb{O}_q$.

Theorem [Arakawa'15, Arakawa-van Ekeren'19, McRae'21, etc.]

The simple exceptional W-algebra $\mathcal{W}_k(\mathfrak{g}, f)$ is rational.

Examples of fusion rules for exceptional W-algebras

- [E. Frenkel-Kac-Wakimoto'92]: $k = -h^\vee + \frac{p}{n}$

$$\mathcal{F}(\mathcal{W}_k(\mathfrak{sl}_n, f_{\text{reg}})) \simeq \mathcal{F}(L_{p-h^\vee}(\mathfrak{sl}_n)).$$

- [Arakawa-van Ekeren'19]: $k = -h^\vee + \frac{p}{n-1}$

$$\mathcal{F}(\mathcal{W}_k(\mathfrak{sl}_n, f_{\text{subreg}})) \simeq \mathcal{F}(L_{p-h^\vee}(\mathfrak{sl}_n)).$$

- Conjecture [F.-Nakatsuka'23]: $k = -h^\vee + \frac{p}{q}$,

$$\mathcal{F}(\mathcal{W}_k(\mathfrak{so}_{2n+1}, f_{\text{subreg}})) \simeq \begin{cases} \mathcal{F}(L_{p-h^\vee}(\mathfrak{so}_{2n+1})) \otimes_{\mathbb{Z}[\mathbb{Z}_2]} \mathbb{Z}[\mathbb{Z}_{2q}], & q = 2n - 1. \\ \mathcal{F}(L_{p-h}(\mathfrak{sp}_{2n})) \otimes_{\mathbb{Z}[\mathbb{Z}_2]} \mathbb{Z}[\mathbb{Z}_q], & q = 2n. \end{cases}$$

There are many examples of rational W-algebras outside exceptional levels (eg. $\mathcal{W}_k(\mathfrak{so}_{2n}, f_{\text{min}})$ when $k = -1, -2$ and other *collapsing levels*).

Open problem: exhaustive classification of rational W-algebras.

5– Quasi-lisse VOAs

A VOA \mathcal{V} is **quasi-lisse** if $X_{\mathcal{V}}$ has finitely many symplectic leaves.

Theorem [Arakawa-Kawasetsu'18]

Let \mathcal{V} be a quasi-lisse VOA, then

- (i) \mathcal{V} has finitely many ordinary representations,
- (ii) their normalised characters satisfy certain modular invariance properties (modular linear differential equation).

Examples: $L_k(\mathfrak{g})$ is quasi-lisse $\Leftrightarrow X_{L_k(\mathfrak{g})} \subset \mathcal{N}$.

In particular, for k admissible, $L_k(\mathfrak{g})$ is quasi-lisse (recall $X_{L_k(\mathfrak{g})} = \overline{\mathbb{O}}_q$).

$\mathcal{W}_k(\mathfrak{g}, f)$ is quasi-lisse as well as $X_{\mathcal{W}_k(\mathfrak{g}, f)} \subset \mathcal{S}_f \cap \overline{\mathbb{O}}_q$.

Also examples outside admissible levels, eg.

- [Arakawa-Moreau'18] $X_{L_k(\mathfrak{g})} = \overline{\mathbb{O}}_{\min}$ with \mathfrak{g} in the *Deligne exceptional series*

$$A_1 \subset A_2 \subset G_2 \subset D_4 \subset F_4 \subset E_6 \subset E_7 \subset E_8, \quad \text{and} \quad k = -\frac{h^\vee}{6} - 1.$$

- $X_{L_{-2}(B_3)} = \overline{\mathbb{O}}_{\text{short}}$ [A.-M.'18], $X_{L_{-2}(G_2)} = \overline{\mathbb{O}}_{\text{subreg}}$ [A.-Dai-F.-Li-M.'24], etc.

Conjecture [Arakawa-Moreau'18]: If \mathcal{V} is a quasi-lisse VOA, then $X_{\mathcal{V}}$ is irreducible.

Admissible representations

An **admissible representation** of $L_k(\mathfrak{g})$ is a highest-weight $\widehat{\mathfrak{g}}$ -module whose highest-weight is *admissible*. Admissible representations are in the **category \mathcal{O}** . In particular, they are finitely generated, semisimple, locally finite positive energy representations with finite dimensional weight-spaces.

[Kac-Wakimoto'88]: There are finitely many admissible representations and they form a “modular invariant” category (carry a representation of $SL_2(\mathbb{Z})$).

BUT, at fractional levels, they do not satisfy the Verlinde formula [Koh-Sorba'88].

[Adamovic-Milas'95]: Classification of irreducible positive energy representations of $L_k(\mathfrak{sl}_2)$ at admissible levels $k = -2 + \frac{p}{q}$: **admissibles**, **conjugates**, **relaxed**.



[Gaberdiel'01, Ridout'09]: Highest-weight admissible representations are not closed under fusion. Example: $\text{Irr}_{\mathbb{Z}_{\geq 0}}(L_{-\frac{1}{2}}(\mathfrak{sl}_2)) = \left\{ \mathcal{L}_0, \mathcal{L}_1, \mathcal{D}_{\mp \frac{3}{2}}^{\pm}, \mathcal{D}_{\mp \frac{1}{2}}^{\pm}, \mathcal{E}_{\lambda} \right\}$

$$\mathcal{D}_{-\frac{3}{2}}^+ \boxtimes \mathcal{D}_{-\frac{3}{2}}^+ \simeq \sigma(\mathcal{D}_{-\frac{1}{2}}^+), \quad \mathcal{E}_{\lambda} \boxtimes \mathcal{E}_{\mu} \simeq \begin{cases} \sigma(\mathcal{E}_{\lambda+\mu+\frac{1}{2}}) \otimes \sigma^{-1}(\mathcal{E}_{\lambda+\mu-\frac{1}{2}}), & \text{if } \lambda + \mu \notin \mathbb{Z}, \\ \mathcal{S}_{\lambda+\mu}, & \lambda + \mu \in \mathbb{Z} \end{cases}$$

\rightsquigarrow enlarge the considered category to add *relaxed* and *spectrally flowed* modules.

The right category to consider seems to be the one $\text{Rep}_{\text{wt}}(L_k(\mathfrak{sl}_2))$ of *weight* modules, including the relaxed ones. It is neither finite nor semisimple.

A **relaxed module** is one that is generated by a relaxed highest weight state, this is for $L_k(\mathfrak{sl}_2)$, an eigenstate of h_0 which is annihilated by the modes e_n, h_n and $f_n, n > 0$.

[\[Creutzig-Ridout'12-'13\]](#): Generalised Verlinde formula and conjectural fusion rules.

[\[Arakawa-Creutzig-Kawasetsu'23\]](#): $\text{Rep}_{\text{wt}}(L_k(\mathfrak{sl}_2))$ has enough *projectives*.

[\[Creutzig'23\]](#): Logarithmic tensor category structure on $\text{Rep}_{\text{wt}}(L_k(\mathfrak{sl}_2))$.

Theorem [\[Nakano-Orosz Hunziker-Ros Camacho-Wood'24, Creutzig-McRae-Yang'24, Creutzig'24\]](#)

$\text{Rep}_{\text{wt}}(L_k(\mathfrak{sl}_2))$ is a (non-finite, non semisimple) modular tensor category (rigidity) + Verlinde's formula.

Important ingredient: [\[Adamovic'19\]](#) when $k = -2 + \frac{p}{q}, q > 1$,

$$L_k(\mathfrak{sl}_2) \hookrightarrow \underbrace{\text{Vir}_{c_k}}_{\text{rational}} \otimes \beta\gamma^{\text{loc}}.$$

To go further / generalisations:

- $\mathcal{W}_k(\mathfrak{sl}_3, f_{\min})$ [\[Fehily-Ridout'21, Adamovic-Kawasetsu-Ridout'21-'24\]](#)
- \mathfrak{sl}_3 [\[Kawasetsu-Ridout-Wood'21, Adamovic-Creutzig-Genra'22, F.-Raymond-Ridout'24\]](#)
- singlet/triplet vertex algebras
[\[Adamovic-Milas'08, Wood'10, Creutzig-Milas'13, Ridout-Wood'13, Creutzig-Milas-Wood'14\]](#)
- VOAs extensions / rigidity [\[Creutzig-Kanade-McRae'21, Creutzig-McRae-Shimizu-Yadav'25\]](#), etc.